

Environmental and Social Impact Assessment (ESIA) for the Proposed Prospecting and Exploration Activities on Exclusive Prospecting License (EPL) No.9238 located northwest of Helmeringhausen, Hardap and //Karas Regions.

**ENVIRONMENTAL ASSESSMENT REPORT: FINAL** 

**ECC Application Reference: APP-005953** 

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### **EXECUTIVE SUMMARY**

Excel Dynamic Solutions (Pty) Ltd (Consultant) has been appointed by Max Kefas Nuseb (Proponent) to act on their behalf in obtaining an Environmental Clearance Certificate (ECC) for the proposed mineral exploration activities on Exclusive Prospecting License (EPL) No. 9238. The project area is located approximately 58 km northwest of Helmeringhausen in Hardap and //Karas Regions and is 19638.0034 ha in size. The proposed site is accessible from the C27 which runs from Helmeringhausen, which connects to the D0707 road, which runs through the EPL. The EPL overlies (covers) Farm Klein Haremub No. 1, Farm Saffier No. 148, Remainder of Farm Sonop No. 105, Portion of Farm Sonop No. 105, and Farm Vergenoeg No. 56.

The target commodities for the proposed prospecting and exploration activities are **Base & Rare**Metals, Dimension Stone, Industrial Minerals, and Precious Metals.

Prospecting and exploration-related activities are among the listed activities that may not be undertaken without an ECC under the Environmental and Social Impact Assessment (ESIA) Regulations, Subsequently, to ensure that the proposed activity is compliant with the national environmental legislation, the project Proponent, appointed an independent environmental consultant, Excel Dynamic Solutions (Pty) Ltd to undertake the required Environmental Assessment (EA) process and apply for the ECC on their behalf.

The application for the ECC was compiled and submitted to the competent authority, Ministry of Environment, Forestry and Tourism (MEFT) as the environmental custodian for project registration purposes. Upon submission of an Environmental and Social Impact Assessment (ESIA) Report and Draft Environmental Management Plan (EMP), an ECC for the proposed project may be considered by the Environmental Commissioner at the MEFT's Department of Environmental Affairs and Forestry (DEAF).

Max Kefas Nuseb: EPL No. 9238 ii

## **Brief Project Description**

Planned Activities: Proposed Exploration Methods

The Proponent intends to adopt a systematic prospecting and exploration approach to the project as follows:

#### 1. Non-invasive Technique:

- Desktop Study: Geological mapping: Mainly entails a desktop review of geological maps and ground observations. This includes the review of geological maps of the area, on-site ground traverses and observations and an update of the information obtained during previous geological studies of the area and aerogeophysics survey.
- Lithology geochemical surveys: Rock and soil samples shall be collected and taken for trace element analysis to be conducted by analytical chemistry laboratories to determine if enough target commodities are present. Also, trenches or pits may be dug depending on the commodity (in a controlled environment e.g., fencing off and labelling activity sites) adopting a manual or excavator to further investigate the mineral potential. Soil sampling consists of small pits being dug where 1kg samples can be extracted and sieved to collect 50g of material. As necessary, and to ensure adequate risk mitigations, all major excavations will both be opened and closed immediately after obtaining the needed samples or the sites will be secured until the trenches or pits are closed. At all times, the farm owners and other relevant stakeholders will be engaged to obtain authorization where necessary.
- Geophysical surveys: This will entail data collection of the substrata (in most cases service of an aero-geophysical contractor will be soured), by air or ground, through sensors such as radar, magnetic, and electromagnetic to detect any mineralization in the area to ascertain the mineralization. Ground geophysical surveys shall be conducted, where necessary using vehicle-mounted sensors or handheld by staff members, while in the case of air surveys, the sensors will be mounted to an aircraft, which then flies over the target area.

Max Kefas Nuseb: EPL No. 9238 iii

#### 2. Invasive Technique:

analytical laboratory be positive, holes are drilled, and drill samples collected for further analysis. This will determine the depth of the potential mineralization. If necessary new access tracks to the drill sites will be created and drill pads will be cleared in which to set up the rig. Two widely used drilling options may be adopted, these are either Reverse Circulation (RC) drilling and/or diamond drilling. RC drilling uses a pneumatic hammer, which drives a rotating tungsten-steel bit. The technique produces an uncontaminated large-volume sample, which is comprised of rock chips. It is relatively quicker and cheaper when compared to other techniques like Diamond Drilling. However, diamond drilling may also be considered for this exploration program, for better geological control and to perform processing trials. A typical drilling site will consist of a drill-rig, and support vehicles as well as a drill core and geological samples store. A drill core equipment parking and maintenance yard may be set up (including a fuel and lubricants storage facility).

#### **Public Consultation**

#### **Public Consultation Activities**

Regulation 21 of the EIA Regulations details steps to be taken during a public consultation process and these have been used in guiding this process. The public consultation process assisted the Environmental Consultant in identifying all potential impacts and aided in the process of identifying possible mitigation measures and alternatives to certain project activities. The communication with I&APs about the proposed prospecting and exploration activities was done through the following means in this order to ensure that the public is notified and allowed to comment on the proposed project:

 A Background Information Document (BID) containing information about the proposed exploration activities was compiled and emailed to pre-identified Interested and Affected Parties (I&APs), and upon request to all new registered I&APs.

Max Kefas Nuseb: EPL No. 9238 iv

- Project Environmental Assessment notices were published in New Era Newspaper (23 and 27 May 2025) and The Namibian Newspaper (16 and 23 May 2025), briefly explaining the activity and its locality, inviting members of the public to register as I&APs and submit their comments/concerns.
- A consultation meeting was scheduled and held with the affected landowners on the 11<sup>th</sup> of August 2025 at 11:00, Helmeringhausen Hall, //Karas Region.
- The issues and concerns raised were noted and used to form a basis for the ESA Report and EMP.

# **Potential Impacts identified**

The following potential impacts are anticipated:

- Positive impacts: Socio-economic development through employment creation (primary, secondary, and tertiary employment) and skills transfer; Opens up other investment opportunities and infrastructure-related development benefits; Produces a trained workforce and small businesses that can serve communities and may initiate related businesses; Boosts the local economic growth and regional economic development and; Increased support for local businesses through the procurement of consumable items such as Personal Protective Equipment (PPE), machinery spare parts, lubricants, etc.
- Negative impacts: Potential disturbance of existing pastoral systems; Physical land/soil disturbance; Impact on local biodiversity (fauna and flora); Habitat disturbance and potential illegal wildlife and domestic hunting in the area; Potential impact on water resources and soils particularly due to pollution; Air quality issue: potential dust generated from the project; Potential occupational health and safety risks, Vehicular traffic safety and impact on services infrastructures such as local roads, Vibrations, and noise associated with drilling activities may be a nuisance to locals; Environmental pollution (solid waste and wastewater), Archaeological and heritage impact and Potential social nuisance and conflicts (theft, damage to properties, etc.).

The potential negative impacts were assessed, and mitigation measures were provided accordingly.

#### CONCLUSIONS AND RECOMIMENDATIONS

#### Conclusions

The potential impacts that are anticipated from the proposed project activities were identified, described, and assessed. For the significant adverse (negative) impacts with a medium rating, appropriate management, and mitigation measures were recommended for implementation by the Proponent, their contractors, and project-related employees.

The public was consulted as required by the EMA and its 2012 EIA Regulations (Sections 21 to 24). This was done via the two newspapers (New Era and The Namibian) used for this environmental assessment. A consultation through a face-to-face meeting with directly affected landowners at the Helmeringhausen Hall, whereby they raised comments and concerns on the proposed project activities.

The issues and concerns raised by the registered I&APs formed the basis for this Report and the Draft EMP. The issues were addressed and incorporated into this Report whereby mitigation measures have been provided thereof to avoid and/or minimize their significance on the environmental and social components. Most of the potential impacts were found to be of medium-rating significance. With the effective implementation of the recommended management and mitigation measures, will particularly see a reduction in the significance of adverse impacts that cannot be avoided completely (from medium rating to low). To maintain the desirable rating, the implementation of management and mitigation measures should be monitored by the Proponent directly, or their Environmental Control Officer (ECO) is highly recommended. The monitoring of this implementation will not only be done to maintain the reduced impacts' rating or maintain a low rating but to also ensure that all potential impacts identified in this study and other impacts that might arise during implementation are properly identified in time and addressed right away too.

It is crucial for the Proponent and their contractors as well as to effectively implement the recommended management and mitigation measures to protect both the biophysical and social environment throughout the project duration. All these would be done to promote environmental sustainability while ensuring a smooth and harmonious existence and purpose of the project activities in the community and environment at large.

Max Kefas Nuseb: EPL No. 9238 vi

#### Recommendations

The Environmental Consultant is confident that the potential negative impacts associated with the proposed project activities can be managed and mitigated by the effective implementation of the recommended management and mitigation measures and with more effort and commitment put into monitoring the implementation of these measures.

It is, therefore, recommended that the proposed prospecting and exploration activities be granted an ECC, provided that:

- All the management and mitigation measures provided herein are effectively and progressively implemented.
- All required permits, licenses, and approvals for the proposed activities should be obtained
  as required. These include permits and licenses for land use access agreements to
  explore and ensure compliance with these specific legal requirements.
- The Proponent and all their project workers or contractors comply with the legal requirements governing their project and its associated activities and ensure that project permits and or approvals required to undertake specific site activities are obtained and renewed as stipulated by the issuing authorities.
- Site areas where exploration activities have ceased are rehabilitated, as far as practicable, to their pre-exploration state.
- Environmental Compliance monitoring reports should be compiled and submitted to the DEAF Portal as per the provision made on the MEFT/DEAF's portal.

#### **Disclaimer**

Excel Dynamic Solutions (EDS) warrants that the findings and conclusion contained herein were accomplished following the methodologies outlined in the Scope of Work and Environmental Management Act (EMA) of 2007. These methodologies are described as representing good customary practice for conducting an EIA of a property to identify recognized environmental conditions. There is a possibility that even with the proper application of these methodologies there may exist subject property conditions that could not be identified within the scope of the assessment, or which were not reasonably identifiable from the available information. The Consultant believes that the information obtained from the record review and during the public consultation processes concerning the proposed exploration work is reliable. However, the

Max Kefas Nuseb: EPL No. 9238 vii

Consultant cannot and does not warrant or guarantee that the information provided by the other sources is accurate or complete. The conclusions and findings outlined in this report are strictly limited in time and scope to the date of the evaluations. No other warranties are implied or expressed.

Some of the information provided in this report is based on personal interviews, and research of available documents, records, and maps held by the appropriate government and private agencies. This report is subject to the limitations of historical documentation, availability, and accuracy of pertinent records, and the personal recollections of those persons contacted.

Max Kefas Nuseb: EPL No. 9238 viii

# **TABLE OF CONTENTS**

Е	XECU.	TIVE	SUMMARY	ii
L	IST OF	FIC	SURES	xi
L	IST OF	TA	3LES	xii
L	IST OF	- AP	PENDICES	xii
L	IST OF	- AB	BREVIATIONS	xiii
1	INT	ROE	DUCTION	1
	1.1	Pro	ject Background	1
	1.2	Ter	ms of Reference, Scope of Works, and Appointed EA Practitioner	3
	1.3	Мо	tivation for the Proposed Project	3
2	PR	OJE	CT DESCRIPTION: PROPOSED EXPLORATION ACTIVITY	4
	2.1	Pros	specting Phase (Non- Invasive Techniques)	4
	2.2	Exp	oloration Phase (Invasive Techniques)	5
	2.3	De	commissioning and Rehabilitation Phase	8
3	PR	OJE	CT ALTENATIVES	8
	3.1	Тур	es of Alternatives Considered	9
	3.1.	.1	The "No-go" Alternative	9
	3.1.	.2	Exploration Location	9
	3.1.	.3	Exploration Methods	10
4	LEC	GAL	FRAMEWORK: LEGISLATION, POLICIES, AND GUIDELINES	16
	4.1	The	Environmental Management Act (No. 7 of 2007)	16
	4.2	Inte	rnational Policies, Principles, Standards, Treaties, and Conventions	26
5	EΝ	VIRC	NMENTAL AND SOCIAL BASELINE	30
	5.1	Bio	physical Environment	31
	5.1.1	C	Climate	31
	5.1.	.2	Topography	32
	5.1.	.3	Geology	33
	5.1.	.4	Soil	34
	5.1.	.5	Hydrology, Groundwater Vulnerability to Pollution, and Water Resources	36
	5.1.	.6	Flora and Fauna	37
	5.2	Hei	itage and Archaeology	40
	5.2.1	Loca	ll Level and Archaeological Findings	40
	5.3	Sur	rounding Land Uses	40
	5.4	Soc	cio-Economic conditions	41

6	PUBLIC	CONSULTATION PROCESS	42
	6.1 Pre	-identified and Registered Interested and Affected Parties (I&APs)	43
	6.2 Cor	nmunication with I&APs	43
7	IMPAC1	IDENTIFICATION, ASSESSMENT, AND MITIGATION MEASURES	45
	7.1 Imp	act Identification	45
	7.2 Imp	act Assessment Methodology	46
	7.2.1	Extent (spatial scale)	47
	7.2.2	Duration	47
	7.2.3	Intensity, Magnitude/severity	48
	7.2.4	Probability of occurrence	48
	7.2.5	Significance	49
	7.3 Ass	essment of Potential Negative Impacts	50
	7.3.1	Disturbance to the grazing areas	50
	7.3.2	Land Degradation and Loss of Biodiversity	51
	7.3.3	Generation of Dust (Air Quality)	52
	7.3.4	Water Resources Use	52
	7.3.5	Soil and Water Resources Pollution	53
	7.3.6	Waste Generation	54
	7.3.7	Occupational Health and Safety Risks	54
	7.3.8	Vehicular Traffic Use and Safety	55
	7.3.9	Noise and vibrations	56
	7.3.10	Disturbance to Archaeological and Heritage Resources	56
	7.3.11	Impact on Local Roads/Routes	57
	7.3.12	Social Nuisance: Local Property intrusion and Disturbance/Damage	57
	7.4 Cur	nulative Impacts Associated with Proposed Exploration	58
	Mitigatio	ns and Recommendations for Rehabilitation	58
8	RECOM	MENDATIONS AND CONCLUSION	59
	8.1 Red	commendations	59
	8. 2 Cor	nclusion	60
$\sim$	DEEED		64

# **LIST OF FIGURES**

Figure 1: Locality map for EPL No. 9238	2
Figure 2: The location of EPL 9238 on the National Mining Cadastre	10
Figure 3: Climate condition around the project area (source:	
https://weatherandclimate.com/namibia/karas/helmeringhausen)	31
Figure 4: Landscape and Topographic map of the project area	32
Figure 5: Landscape observed within the project area	33
Figure 6: A map of the general geology of the project area	34
Figure 7: shows the soil types found within the EPL	35
Figure 8: Type of soil observed on the EPL	35
Figure 9: Hydrological map – EPL 9238	37
Figure 10: Vegetation map of the EPL	38
Figure 11: Vegetation observed on EPL 9238	38
Figure 12: Map showing farms overlaid by the EPL	41
Figure 13: Consultation meeting held on 11 August 2025, at Helmeringhausen Hall, //Karas	
region	44

# **LIST OF TABLES**

Table 1: Presentation of pitting, and trenching as well as comparison of reverse circulation a	ınd
diamond drilling methods	11
Table 2: Applicable local, national, and international standards, policies, and guidelines	
governing the proposed development	17
Table 3: International Policies, Principles, Standards, Treaties, and Convention applicable to	the
projectproject	26
Table 4: List of animals observed on the EPL	39
Table 5: Summary of Interested and Affected Parties (I&APs)	43
Table 6: Summary of main issues raised, and comments received during public meeting	
engagements	44
Table 11: Probability of occurrence impact rating	48
Table 12: Significance rating scale	49
Table 13: Assessment of the impacts of exploration on grazing areas	51
Table 14: Assessment of the impacts of exploration on biodiversity	51
Table 15: Assessment of the impacts of exploration on air quality	52
Table 16: Assessment of the project impact on water resource use and availability	53
Table 17: Assessment of the project impact on soils and water resources (pollution)	53
Table 18: Assessment of waste generation impact	54
Table 19: Assessment of the impacts of exploration on health and safety	55
Table 20: Assessment of the impacts of exploration on-road use (vehicular traffic)	55
Table 21: Assessment of the impacts of noise and vibrations from exploration	56
Table 22: Assessment of the impacts of exploration on archaeological & heritage resources.	56
Table 23: Assessment of exploration of local services (roads and water)	57
Table 24: Assessment of the social impact of community property damage or disturbance	57

# **LIST OF APPENDICES**

Appendix A: Copy of the Environmental Clearance Certificate (ECC) Application Form 1

**Appendix B:** Draft Environmental Management Plan (EMP)

**Appendix C:** Curricula Vitae (CV) for the Environmental Assessment Practitioner (EAP)

Appendix D: Proof of Public Consultation for EPL 9238

Appendix E: Intention to Grant EPL 9238

Appendix F: Plant species covering EPL 9238

# **LIST OF ABBREVIATIONS**

Abbreviation	Meaning
AMSL	Above Mean Sea Level
BID	Background Information Document
CV	Curriculum Vitae
DEAF	Department of Environmental Affairs, and Forestry
EA	Environmental Assessment
EAP	Environmental Assessment Practitioner
ECC	Environmental Clearance Certificate
EDS	Excel Dynamic Solutions
ESIA	Environmental and Social Impact Assessment
EMA	Environmental Management Act
EMP	Environmental Management Plan
EPL	Exclusive Prospecting Licence
GG	Government Gazette
GN	Government Notice
I&APs	Interested and Affected Parties
MEFT	Ministry of Environment, Forestry and Tourism
MIME	Ministry of Industries, Mines and Energy
PPE	Personal Protective Equipment
Reg	Regulation
S	Section
TOR	Terms of Reference

# **DEFINITION OF TERMS**

Alternative	A possible course of action, in place of another would meet the	
	same purpose and need of the proposal.	
Baseline	Work done to collect and interpret information on the	
Dasenne	condition/trends of the existing environment.	
	Condition/frends of the existing environment.	
Biophysical	That part of the environment does not originate with human	
	activities (e.g. biological, physical, and chemical processes).	
Cumulative	About an activity, means the impact of an activity that in it may	
Impacts/Effects	not be significant but may become significant when added to the	
Assessment	existing and potential impacts eventuating from similar or diverse	
	activities or undertakings in the area.	
Decision-maker	The person(s) entrusted with the responsibility for allocating	
	resources or granting approval to a proposal.	
Ecological Processes	Processes play an essential part in maintaining ecosystem	
Lcological Flocesses		
	integrity. Four fundamental ecological processes are the cycling	
	of water, the cycling of nutrients, the flow of energy, and	
	biological diversity (as an expression of evolution).	
Environment	As defined in the Environmental Management Act - the complex	
	of natural and anthropogenic factors and elements that are	
	mutually interrelated and affect the ecological equilibrium and the	
	quality of life, including – (a) the natural environment that is land,	
	water, and air; all organic and inorganic matter and living	
	organisms and (b) the human environment that is the landscape	
	and natural, cultural, historical, aesthetic, economic and social	
	heritage and values.	
Environmental	As defined in the EIA Regulations (Section 8(j)), a plan that	
Management Plan describes how activities that may have significant env		
effects are to be mitigated, controlled, and monitor		

the land of up to 1000 km² in size for an initial period of three years, renewable twice for a maximum of two years at a time  Interested and Affected Party (I&AP)  Concerning the assessment of a listed activity includes - (a) any person, group of persons, or organization interested in or affected by the activity; and (b) any organ of state that may have jurisdiction over any aspect of the activity.  Mitigate  Proponent  as defined in the Environmental Management Act, a person who proposes to undertake a listed activity.  Significant impact  means an impact that by its magnitude, duration, intensity, or probability of occurrence may have a notable effect on one or more aspects of the environment.  Fauna  All of the animals that are found in a given area.  Flora  All of the plants are found in a given area.  Mitigation  The purposeful implementation of decisions or activities that are designed to reduce the undesirable impacts of a proposed action on the affected environment.  Monitoring  Activity involving repeated observation, according to a predetermined schedule, of one or more elements of the environment to detect their characteristics (status and trends).  Nomadic Pastoralism  Nomadic pastoralists live in societies in which the husbandry of grazing animals is viewed as an ideal way of making a living and the regular movement of all or part of the society is considered a normal and natural part of life. Pastoral nomadism is commonly found where climatic conditions produce seasonal pastures but cannot support sustained agriculture.  Proponent  Organization (private or public sector) or individual intending to implement a development proposal.	Exclusive Prospecting	Is a license that confers exclusive mineral prospecting rights over	
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Proponent Organization (private or public sector) or individual intending to		found where climatic conditions produce seasonal pastures but	
· · · · · · · · · · · · · · · · · · ·		cannot support sustained agriculture.	
implement a development proposal.	Proponent	Organization (private or public sector) or individual intending to	
		implement a development proposal.	

Public	A range of techniques can be used to inform, consult or interact	
Consultation/Involvement	with stakeholders affected by the proposed activities.	
Protected Area	Refers to a protected area that is proclaimed in the Government	
	Gazette,	
	according to the Nature Conservation Ordinance number 4 of	
	1975, as amended	
Scoping	An early and open activity to identify the impacts that are most	
	likely to be significant and require specialized investigation	
	during the EIA work. Can, also be used to identify alternative	
	project designs/sites to be assessed, obtain local knowledge of	
	the site and surroundings, and prepare a plan for public	
	involvement. The results of scoping are frequently used to	
	prepare a Terms of Reference for the specialized input into full	
	EIA.	
Terms of Reference (ToR)	Written requirements governing full EIA input and	
,	implementation, consultations to be held, data to be produced,	
	and form/contents of the EIA report. Often produced as an output	
	from scoping.	

#### 1 INTRODUCTION

## 1.1 Project Background

Max Kefas Nuseb (*The Proponent*) has applied to the Ministry of Industries, Mines and Energy (MIME) for the Exclusive Prospecting License (EPL) No. 9238 on the 22<sup>nd</sup> of December 2022. However, the approval and granting of the EPL requires an ECC, before any proposed prospecting and exploration works may occur. The EPL covers a total surface area of 19638.0034 ha, located approximately 58 km northwest of Helmeringhausen in Hardap and //Karas Regions, (**Figure 1**). The EPL overlays five (5) farms, namely: Farm Klein Haremub No. 1, Farm Saffier No. 148, Remainder of Farm Sonop No. 105, Portion of Farm Sonop No. 105, and Farm Vergenoeg No. 56, as depicted in **Figure 13**.

The target commodities for prospecting and exploration are **Base & Rare Metals**, **Dimension Stone**, **Industrial Minerals**, and **Precious Metals**.

Section 27 (1) of the Environmental Management Act (EMA) (No. 7 of 2007) and its 2012 Environmental and Social Impact Assessment (ESIA) Regulations, provides a list of activities that may not be carried out without an EIA undertaken and an ECC obtained. Exploration activities are listed among activities that may not occur without an ECC. Therefore, individuals or organizations may not carry out exploration activities without an ECC awarded to the Proponent.

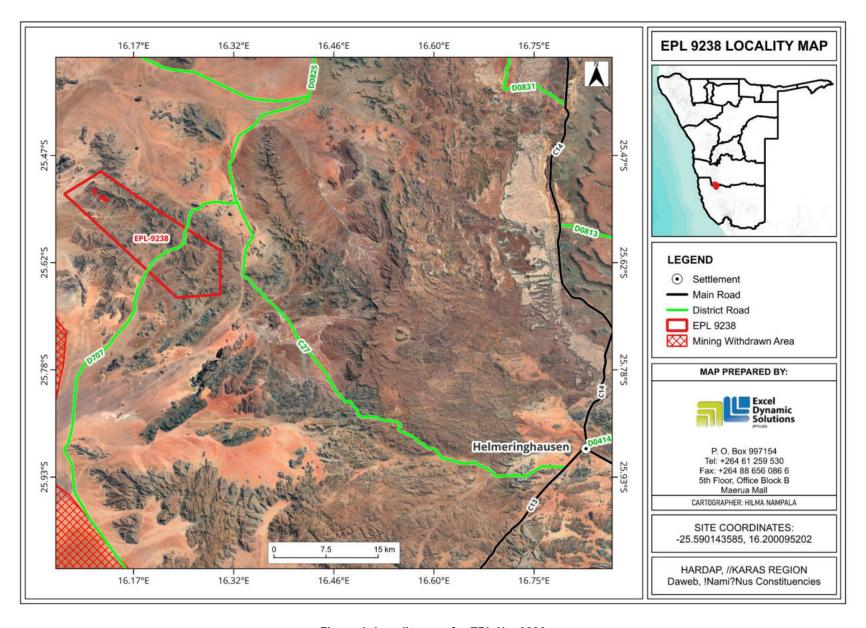


Figure 1: Locality map for EPL No. 9238

## 1.2 Terms of Reference, Scope of Works, and Appointed EA Practitioner

To satisfy the requirements of the EMA and its 2012 EIA Regulations, The Proponent appointed EDS to conduct the required Environmental Assessment (EA) process on their (Proponent's) behalf, and thereafter, apply for an ECC for exploration works on the EPL. There were no formal Terms of Reference (ToR) provided to EDS by the Proponent. The consultant, instead, relied on the requirements of the Environmental Management Act (No. 7 of 2007) (EMA) and its EIA Regulations (GN. No. 30 of 2012) to conduct the study.

The application for the ECC (**Appendix A**) is compiled and submitted to the Ministry of Environment, Forestry, and Tourism (MEFT), the environmental custodian for project registration purposes. Upon submission of an Environmental and Social Impact Assessment (ESIA) Report and Draft Environmental Management Plan (EMP) (**Appendix B**), an ECC for the proposed project may be considered by the Environmental Commissioner at the MEFT Department of Environmental Affairs and Forestry (DEAF).

The EIA project is headed by Mr. Nerson Tjelos, a qualified and experienced Geoscientist and experienced EAP. The consultation process and reporting are done by Ms. Hilma Nampala and Reviewed by Mr. Silas David. Ms. Hilma Nampala and Mr. Silas David's CVs are presented in **Appendix C.** 

# 1.3 Motivation for the Proposed Project

The mining industry is one of the largest contributors to the Namibian economy, it contributes to the improvement of local livelihoods. In Namibia, the exploration of minerals is done mainly by the private sector. Exploration activities have a great potential to enhance and contribute to the development of other sectors and their activities do provide temporary employment, and taxes that fund social infrastructural development. The minerals sector yields foreign exchange and accounts for a significant portion of the gross domestic product (GDP). Additionally, the industry produces a trained workforce and small businesses that can serve communities and may initiate related businesses. Exploration activity fosters several associated activities such as the manufacturing of exploration and mining equipment, and the provision of engineering and environmental services. The mining sector forms a vital part of some of Namibia's development plans, namely: Vision 2030 and National Development Plan 6 (NDP6). Mining is essential to the development goals of Namibia in contributing to meeting the ever-increasing global demand for

minerals, and for national prosperity. Successful exploration of EPL No. 9238 would lead to the mining of the target mineral, which would contribute towards achieving the goals of the national development plans.

# 2 PROJECT DESCRIPTION: PROPOSED EXPLORATION ACTIVITY

Prospecting and exploration of minerals are the first components of any potential mining project. These are carried out to acquire the necessary data required for further decision-making and investment options. These activities are anticipated to last for about three years. The exploration process includes three phases - prospecting, exploration, and the decommissioning of works.

# 2.1 Prospecting Phase (Non-Invasive Techniques)

#### 2.1.1 Desktop Study

This mainly entails a desktop review of geological maps of the area, on-site ground traverses and observations, and an update, where relevant, of the information obtained during previous geological studies of the area.

# 2.1.2 Geophysical surveys

Geophysical surveys entail data collection of the substrata by air or ground, through sensors such as radar, magnetic, and/or electromagnetic sensors, to detect and ascertain any mineralization in the area. Ground geophysical surveys shall be conducted, where necessary, using vehicle-mounted sensors or handheld by staff members, while in the case of air surveys, the sensors are mounted to an aircraft, which navigates over the target area.

#### 2.1.3 Lithology geochemical surveys

Rock and soil samples shall be collected and taken for trace element analysis to be conducted by analytical chemistry laboratories to determine the sufficiency of the mineral and the feasibility of mining the mineral. Additionally, trenches or pits may be dug depending on the commodity (in a controlled environment e.g., fencing off and labelling activity sites) adopting a manual or excavator to further investigate the mineral potential.

Soil sampling consists of small pits being dug, where 1kg samples can be extracted and sieved to collect about 50g of material. As necessary, and to ensure adequate risk mitigation, all major excavations will be closed immediately after obtaining the needed samples, or the sites will be

secured until the trenches or pits are closed. The landowner and other relevant stakeholders will be engaged to obtain authorization where necessary.

The proponent, is therefore, required to ensure that the affected parties are consulted before the commencement of the ground surveys, during the prospecting phase of the proposed project.

## 2.2 Exploration Phase (Invasive Techniques)

The selection of the potential mineralization model and exploration targets will be based on the local geology, and the trenching, drilling, and assay results of the samples collected. The planned exploration activities are aimed at delineating the mineral deposits and determining whether the deposits are economically feasible mining resources. **No explosives will be used during the exploration phase.** 

#### 2.2.1 Detailed Exploration (Drilling)

Should analyses by an analytical laboratory yield positive results, holes are drilled, and drill samples are collected for further analysis. This determines the depth of the potential mineralization. If necessary, new access tracks to the drill sites will be created and drill pads at which to set up the rig will be cleared. Two widely used drilling options may be adopted - the Reverse Circulation (RC) drilling method and/or the Diamond (Core) drilling method. The RC drilling method uses a pneumatic hammer, which drives a rotating tungsten-steel bit. RC Drilling produces an uncontaminated large-volume sample, which comprises rock chips. It is relatively quicker and cheaper when compared to other techniques like Diamond Drilling. However, diamond drilling may also be considered for this exploration program, for better geological control and to perform processing trials.

A typical drilling site consists of a drill-rig and support vehicles as well as a drill core and geological samples store. A drill equipment parking and maintenance yard may be set up (including a fuel and lubricants storage facility).

The Proponent is required to ensure that the affected parties are consulted before any exploration work commences within the EPL and the mitigation measures stipulated in the Environmental Management Plan (EMP) must be adhered to.

Other aspects of the proposed exploration operations include:

#### 2.2.2 Accessibility to Site

Max Kefas Nuseb: EPL No. 9238

5

The EPL is accessible from the C27 which runs from Helmeringhausen, which connects to the D0707 road, which runs through the EPL (*refer to Figure 1*). Project-related vehicles will use existing roads to access the EPL. It is also anticipated that, if necessary, new tracks to the different targeted exploration sites within the EPL will be created. The Proponent may need to do some upgrading on the site access roads to ensure that it is fit to accommodate project-related vehicles, such as heavy trucks.

#### 2.2.3 Material and Equipment

The requirements of the exploration program in terms of vehicles (4X4), a truck, water tanks and equipment such as, drill rigs and drilling machines, and a power generator. Equipment and vehicles will be stored at a designated area near the accommodation site or a storage site established within the EPL area.

#### 2.2.4 Services and Infrastructure

- Water: Water for the exploration operations on the EPL will be obtained from the nearest
  existing boreholes, or the Proponent will drill boreholes within the EPL, upon obtaining
  necessary permits and signed agreements with the landowners or traditional authorities in the
  area. The estimated monthly water consumptions are 2000 liters. This includes water for
  drinking, sanitation, cooking, dust control (if necessary), drilling, as well as washing of
  equipment.
- **Power supply:** Power required during the operation phase will be provided by diesel generators. About 200 liters of diesel will be used per day.
- Fuel (diesel for generators and other equipment): The fuel (diesel) required for exploration equipment will be stored in a tank mounted on a mobile trailer, and drip trays will be readily available on this trailer and monitored to ensure that accidental fuel spills are cleaned up as soon as they have been detected/observed. Fuel may also be stored in a bunded diesel bowser on site, and in jerry cans placed on plastic sheeting to avoid unnecessary contamination of soils.

#### 2.2.5 Waste Management

The site will be equipped with secured waste bins for each type of waste (i.e., domestic, hazardous, and recyclable). Depending on the amount generated, waste will be sorted and collected as regularly as possible and taken to the nearest certified landfill site. An agreement will need to be reached with different waste management facility operators/owners and authorization

or permits will be obtained before utilizing these facilities, in the case of production of any hazardous waste.

- Sanitation and human waste: Portable ablution facilities will be used, and the sewage will
  be disposed of according to the approved disposal or treatment methods of the facility
  manufacturer.
- **Hazardous waste**: Drip trays and spill control kits will be available on-site to ensure that oil/fuel spills and leaks from vehicles and equipment are captured on time and contained correctly before polluting the site.

The waste produced on-site can also be categorized as mineral or non-mineral waste:

- Mineral Waste: Consists of solid products of exploration and mineral concentration to acquire
  the targeted minerals. Mineral waste will potentially be produced throughout the project
  exploration phase. This waste will be stripped and dumped in allocated areas as stipulated in
  the EMP.
- Non-mineral Waste: Consists primarily of auxiliary materials that will support the exploration
  phase. This includes but is not limited to items such as empty containers, plastic, etc., and
  other domestic waste. This waste will be collected, sorted, and taken to the dumpsite as
  regularly as necessary.

#### 2.2.6 Safety and Security

- Storage Site: Temporary storage areas for exploration material, equipment, and machinery
  will be required at the campsite and/or exploration sites. Security will be supplied on a 24hour basis at the delegated sites for storage. A temporary support fence surrounding the
  storage site will be constructed to ensure people and domestic animals are not put at risk.
- **Fire management:** A minimum of basic firefighting equipment, i.e., fire extinguishers will be readily available in vehicles, at the working sites and camps. The exploration crew is required to have the contact details of the nearest fire station at hand in case of a larger scale of fires at the site.
- Health and Safety: Adequate and appropriate Personal Protective Equipment (PPE) will be
  provided to every project personnel while on and working at the site. A first aid kit will be
  readily available on-site to attend to potential minor injuries.

#### 2.2.7 Accommodation

The exploration crew will be accommodated on the farms, or a campsite will be set up for the exploration crew near the exploration sites. If the accommodation camp is to be set up on a farm, necessary arrangements will be made with the farm owner(s). Exploration activities will take place during daytime only and staff will commute to the exploration site(s) from their place of accommodation if they are not accommodated on site.

## 2.3 Decommissioning and Rehabilitation Phase

Once the exploration activities on the EPL come to an end, the Proponent will need to put site rehabilitation measures in place. Decommissioning and rehabilitation are primarily reinforced through a decommissioning and rehabilitation plan, which consists of safety, health, environmental, and contingency aspects. An unfavourable economic situation or unconvincing exploration results might force the Proponent to cease the exploration program before the predicted closure. Therefore, it is best practice for the Proponent to ensure the project activities cease in an environmentally friendly manner and the site is rehabilitated.

# 3 PROJECT ALTENATIVES

Alternatives are defined as the "different means of meeting the general purpose and requirements of the activity" (EMA, 2007). This section highlights the different ways in which the project can be undertaken, and identifies alternatives that may be the most practical, but least damaging to the environment.

Once the alternatives have been established, these are examined by asking the following three questions:

- What alternatives are technically and economically feasible?
- What are the environmental effects associated with the feasible alternatives?
- What is the rationale for selecting the preferred alternative?

The alternatives considered for the proposed development are discussed in the following subsections.

8

# 3.1 Types of Alternatives Considered

# 3.1.1 The "No-go" Alternative

The "no action" alternative implies that the status quo remains, and nothing happens. Should the proposal of exploration activities on the EPL, be discontinued, none of the potential impacts (positive and negative) identified would occur. If the proposed project is to be discontinued, the current land use for the proposed site will remain unchanged.

This no-go option is considered and a comparative assessment of the environmental and socioeconomic impacts of the "no action" alternative, is undertaken to establish what benefits might be lost if the project is not implemented. The key losses that may never be realized if the proposed project does not go ahead include:

- Loss of foreign direct investment.
- About ten (10) temporary job opportunities for community members will not be realized.
- No realization of local business supports through the procurement of consumable items such as Personal Protective Equipment (PPE), machinery spare parts, lubricants, etc.
- Loss of potential income to the local and national government through land lease fees, license lease fees, and various tax structures.
- Improved geological understanding of the site area regarding the targeted commodities.
- Socio-economic benefits such as skills acquisition for local community members would be not realized.

Considering the above losses, the "no-action/go" alternative may not necessarily be considered a viable option for this project, although, in the case where parts of the project site are considered environmentally sensitive and/or protected, one or several sections of the site may be identified as no-go zones.

# 3.1.2 Exploration Location

The prospecting/exploration location is dependent on the geological setting (regional and local), the economic geology, and the exploration and mining history of the EPL area. Therefore, finding an alternative location for the planned exploration activities is not possible. This means that the mineralization of the target commodities is area-specific, and exploration targets are primarily

determined by the geology (host rocks) and the tectonic environment of the site (an ore-forming mechanism). The tenement has a sufficient surface area for future related facilities, should an economic mineral deposit be defined.

The potential locations of mineral resources nationwide are mapped and categorized by the Ministry of Industries, Mines and Energy as exclusive prospecting licenses, mining licenses and claims, mineral deposit retention licenses, reconnaissance licenses, and exclusive reconnaissance licenses on the Namibia Mining Cadastral Map <a href="https://portals.landfolio.com/namibia/">https://portals.landfolio.com/namibia/</a>. Cadastral information on EPL 9238 is shown in figure 2

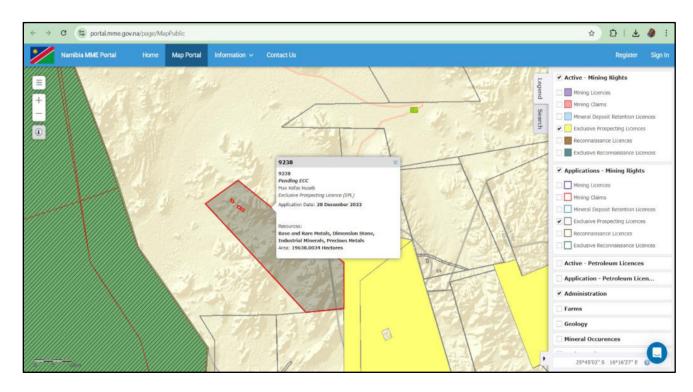


Figure 2: The location of EPL 9238 on the National Mining Cadastre

# 3.1.3 Exploration Methods

Both invasive and non-invasive exploration techniques are expected to be used for exploration works. If an economically viable discovery is made, the project will proceed to the mining phase upon approval of a mining license. If any other alternative viable exploration methods are found to achieve the purpose more effectively and/or efficiently without aggravating any environmental measures put in place, they can be implemented.

Table 1: Presentation of pitting, and trenching as well as comparison of reverse circulation and diamond drilling methods

Invasive exploration Method (Alternatives Considered)	Short Description	Justification for selected option
Pitting and trenching	-Pits and trenches, or to use the old Cornish mining term, costeans, can be a quick, cheap way of obtaining lithological and structural information in areas of shallow cover.  -Pitting is usually employed to test shallow, extensive, flatlying bodies of mineralization. An ideal example of this would be a buried heavy mineral placer.  -The main advantage of pitting over a pattern-drill program on the same deposit is that pits can provide a very large volume sample. Large sample sizes are necessary to overcome problems of variable grade distribution, which are characteristic features of such deposits.  -Trenches are usually employed to expose steep dipping bedrock buried below shallow overburden and are normally dug across the strike of the rocks or mineral zone being tested (Marjoribanks, 1997).	<ul> <li>- Quick, cheap way of obtaining lithological and structural information in areas of shallow cover.</li> <li>-Pits can provide a very large volume sample. Large sample sizes are necessary to overcome problems of variable grade distribution, which are characteristic features of such deposits.</li> <li>-Trenches are an excellent adjunct to RC drilling programs, where the structural data from trench mapping are needed to complement the lithological information obtained from the drill cuttings (Marjoribanks, 1997).</li> </ul>

Invasive exploration Method (Alternatives Considered)	Short Description	Justification for selected option
Reverse Circulation (RC)	-Crushed rock is collected in the form of cuttings samples called back within stems contrast to conventional drilling that puts the air inside the stems and cuttings outside. Here the air passes downwards through the annular space between the inner shaft and the outer tube.  -Water is often used down the hole to cool the drill bit and reduce dust as well as assist with the transportation of sample bits to the surface.  -RC drilling is designed for drilling through and crushing hard rock.  -RC is fundamentally different from diamond core drilling, both in terms of equipment and sampling. One major difference is that RVC drilling creates small rock chips instead of a solid core.  Furthermore, according to Technidrill (2020), the RC method:  -Allows full recovery of samples continuously	-Compared to diamond drilling, RC requires less water. Therefore, RC drilling will put less pressure on the water supply and use.  The major differences between RC and diamond drilling are in the rate of penetration and cost per foot. RVC drilling is much faster than diamond core drilling and much less expensive.  -Unlike diamond drilling, this process creates rock chips that can be analyzed, rather than a solid, cylindrical piece of rock.  -Some types of information, such as structural details, are not possible to obtain in the absence of solid rock. Despite this disadvantage, much valuable information

Invasive exploration Method (Alternatives Considered)	Short Description	Justification for selected option
	-Quick installation  -There is no contact between the walls and cuttings taken at the bottom.  -The penetration rate is fast (Techndrill, 2020)	can still be obtained from the rock chips. For example, the chips are much easier to examine under a microscope. Testing of fluorescence and effervescence is easily accomplished (Earth Science Australia, 2020).
Infill drilling	The progress of an exploration project mostly depends on the result of the primary boreholes. Therefore, primary exploration boreholes must intersect high-grade mineralization zones with considerable thickness. On the other hand, the infill boreholes are designed based on obtained results from the primary boreholes (Fatehi, et al., 2017). Therefore, infill drilling is intended to support an update to a higher classification of the Mineral Resource estimate. The metallurgical test-work results will improve the understanding of blending designs in the exploration	It is for these reasons that RC will be the most preferred method and mainly used. However, the RC drilling would be combined with Diamond drilling where necessary for more reliable data collection and analysis. Diamond drilling would more applicable where deeper holes are required than is possible using RC drilling.

Invasive exp Method (Alter Considered)		Short Description	Justification for selected option
		schedules for the product offtake specifications (Canyon Resources, 2021).	-In-fill drilling would also be applied to support an update to a higher classification
Diamond drilling	(Core)	-Diamond core drilling uses a diamond bit, which rotates at the end of the drill rod (or pipe). The opening at the end of the diamond bit allows a solid column of rock to move up into the drill pipe and be recovered at the surface.	of the Mineral Resource estimate.
		-The diamond bit is rotated slowly with gentle pressure while being lubricated with water to prevent overheating. As a result, this drilling method is known to use a huge amount of water compared to RC, thus may put pressure on water supply sources.	
		-While the drill cuttings obtained with RC drilling can be analysed to provide a limited amount of information, the scope of these tests is limited, and their locations are less precise. Core samples, on the other hand, will identify actual veins of materials and give you their precise location (BG Drilling, 2016). Therefore, for accuracy's sake, diamond	

Invasive exploration Method (Alternatives	Short Description	Justification for selected option
Considered)		
	drilling would provide a better result. In other words, RC results are reliable but may not be accurate.	
	- As diamond is one of the strongest materials in the world, it has no trouble drilling through most surfaces. Therefore, it works well across a wider range of ground types and conditions.	
	-Time-consuming and more effort is required to obtain the drill coreLow initial investment, but generally more expensive to meters drilled because of the limitation of the speed.	

The final drilling technique would be determined by the mineralization type. However, based on the information presented in the Table above regarding the detailed exploration methods (drilling), it was found and pre-determined that Reverse Circulation (RC) drilling would be preferable as much as possible given its efficiency in terms of costs, operating speed and environmentally friendly (water demand) compared to Diamond drilling (which not likely to be used for this proposed exploration).

Although RC drilling is known to have its shortcomings, particularly lack of solid drill recovery and inaccuracy, it is usually combined with Diamond drilling for the exploration of some minerals, if the borehole(s) needs to be deeper than what RC can achieve.

# 4 LEGAL FRAMEWORK: LEGISLATION, POLICIES, AND GUIDELINES

Prospecting and exploration activities have legal implications associated with certain applicable legal standards. A summary of applicable and relevant international policies and Namibian legislation, policies, and guidelines for the proposed development is given in this section (**Table 2**). This summary serves to inform the project Proponent, Interested and Affected Parties, and the decision-makers at the DEAF, of the requirements and expectations, as laid out in terms of these instruments, to be fulfilled to establish the proposed prospecting and exploration activities.

# 4.1 The Environmental Management Act (No. 7 of 2007)

This EIA was carried out according to the Environmental Management Act (EMA) and its Environmental Impact Assessment (EIA) Regulations (GG No. 4878 GN No. 30).

The EMA has stipulated requirements to complete the required documentation to obtain an ECC for permission to undertake certain listed activities. These activities are listed under the following Regulations:

- 3.1 The construction of facilities for any process or activities which requires a license, the right of other forms of authorization, and the renewal of a license, right, or other forms of authorization, in terms of the Minerals (Prospecting and Mining Act, 1992).
- 3.2 other forms of mining or extraction of any natural resources whether regulated by law or not.
- 3.3 Resource extraction, manipulation, conservation, and related activities.

The Environmental Impact Assessment (EIA) Regulations GN 28-30 (GG 4878) detail requirements for public consultation within a given environmental assessment process (GN 30 S21). The EIA regulations also outline the required details of a Scoping Report (GN 30 S8) and an Assessment Report (GN 30 S15).

Other legal obligations that are relevant to the proposed activities of EPL No. 9238 and related activities are presented.

Table 2:Applicable local, national, and international standards, policies, and guidelines governing the proposed development

Legislation /	Relevant Provisions	Implications for this project
Policy / Guideline:		
Custodian		
The Constitution of the Republic of Namibia, 1990 as amended: Government of the Republic of Namibia	The Constitution of the Republic of Namibia (1990 as amended) addresses matters relating to environmental protection and sustainable development. Article 91(c) defines the functions of the Ombudsman to include:  "the duty to investigate complaints concerning the over-utilization of living natural resources, the irrational exploitation of non-renewable resources, the degradation and destruction of ecosystems and failure to protect the beauty and character of Namibia"  Article 95(I) commits the state to actively promoting and maintaining the welfare of the people by adopting policies aimed at the:  "Natural resources situated in the soil and on the subsoil, the internal waters, in the sea, in the continental shelf, and in the exclusive economic zone are property of the State."	By implementing the environmental management plan, the establishment will be conformant to the constitution in terms of environmental management and sustainability.  Ecological sustainability will be the main priority for the proposed development.
Minerals (Prospecting and Mining) Act (No. 33 of 1992):	Section 52 requires mineral license holders to enter into a written agreement with affected landowners before	The Proponent should enter into a written agreement with landowners before exploring

Legislation /	Relevant Provisions	Implications for this project
Policy /		
Guideline:		
Custodian		
Guideline:	exercising rights conferred upon the license holder.  Section 52(1) mineral license holder may not exercise his/her rights in any town or village, on or in a proclaimed road, land utilized for cultivation, within 100m of any water resource (borehole, dam, spring, drinking trough, etc.) and boreholes, or no operations in municipal areas, etc.), which should individually be checked to ensure compliance.  Section 54 requires a written notice to be submitted to the Mining Commissioner if the holder of a mineral license intends to abandon the mineral license area.  Section 68 stipulates that an application for an exclusive prospecting license (EPL) shall contain the particulars of the condition of, and any existing damage to,	their land. On commercial land.  An assessment of the impact on the receiving environment should be carried out.  The Proponent should include as part of their application for the EPL, measures by which they will rehabilitate the areas where they intend to carry out mineral exploration activities.  The Proponent may not carry out exploration activities within the areas limited by Section 52 (1) of this Act.
	the environment in the area to which the application relates and an estimate of the effect which the proposed prospecting operations may have on the environment and the measures to be taken to prevent or minimize any such effect.  Section 91 requires that rehabilitation measures should be included in an application for a mineral license.	

Legislation / Policy / Guideline: Custodian	Relevant Provisions	Implications for this project
Nature Conservation Amendment Act, No. 3 of 2017: Ministry of Environment, Forestry and Tourism (MEFT)	National Parks are established and gazetted following the Nature Conservation Ordinance, 1975 (4 of 1975), as amended. The Ordinance provides a legal framework concerning the permission of entering a state-protected area, as well as requirements for individuals damaging objects (geological, ethnological, archaeological, and historical) within a protected area. Though the Ordinance does not specifically refer to mining as an activity within a protected area (PA) or recreational area (RA), it does restrict access to PAs and prohibits certain acts therein as well as the purposes for which permission to enter game parks and nature reserves may be granted.	The Proponent will be required to enhance the conservation of biodiversity and the maintenance of the ecological integrity of protected areas and another State land in the Project Site area.  The Proponent will also be required to comply with the existing and planned local operational management plans, regulations, and guidelines of the three conservancies.
The Parks and Wildlife Management Bill of 2008: Ministry of Environment, Forestry and Tourism (MEFT)	Aims to provide a regulatory framework for the protection, conservation, and rehabilitation of species and ecosystems, the sustainable use and sustainable management of indigenous biological resources, and the management of protected areas, to conserve biodiversity and contribute to national development.	

Legislation /	Relevant Provisions	Implications for this project
Policy /		
Guideline:		
Custodian		
Mine Health &	Makes provision for the health and safety	The Proponent should comply
	•	
Safety	of persons employed or otherwise	with all these regulations
Regulations, 10th	present in the mineral licenses area.	concerning their employees.
Draft: Ministry of Health and	These deal with among other matters;	
	clothing and devices; design, use,	
Social Services	operation, supervision, and control of	
(MHSS)	machinery; fencing and guards; and	
	safety measures during repairs and	
	maintenance.	
Petroleum	Regulation 3(2)(b) states that "No	The Proponent should obtain
Products and	person shall possess [sic] or store any	the necessary authorization
Energy Act (No.	fuel except under the authority of a	from the MIME for the storage
13 of 1990)	license or a certificate, excluding a	of fuel on-site.
Regulations	person who possesses or stores such	
(2001): <b>Ministry</b>	fuel in a quantity of 600 liters or less in	
of Industries,	any container kept at a place outside a	
Mines and	local authority area"	
Energy (MIME)		
The Regional	This Act sets out the conditions under	The relevant Regional
Councils Act (No.	which Regional Councils must be	Councils are IAPs and must
22 of 1992):	elected and administer each delineated	be consulted during the
Ministry of	region. From a land use and project	Environmental Assessment
Urban and Rural	planning perspective, their duties	(EA) process. The project site
Development	include, as described in section 28 "to	falls under the Hardap and
(MURD)	undertake the planning of the	//Karas Regional Council;
	development of the region for which it	therefore, they should be
	has been established with a view to	consulted.
	physical, social and economic	
	characteristics, urbanization patterns,	

Legislation / Policy / Guideline: Custodian	Relevant Provisions	Implications for this project
	natural resources, economic development potential, infrastructure, land utilization pattern and sensitivity of the natural environment.	
Water Act 54 of 1956: Ministry of Agriculture, Fisheries, Water and Land Reform (MAFWLR)	The Water Resources Management Act 11 of 2013 is present without regulations; therefore, the Water Act No 54 of 1956 is still in force:  Prohibits the pollution of water and implements the principle that a person disposing of effluent or waste has a duly of care to prevent pollution (S3 (k)).  Provides for control and protection of groundwater (S66 (1), (d (ii)).  Liability of clean-up costs after closure/abandonment of an activity (S3 (I)). (I)).	The protection (both quality and quantity/abstraction) of water resources should be a priority.  The permits and license required thereto should be obtained from MAFWLR's relevant Departments (these permits include Borehole Drilling Permits, Groundwater Abstraction & Use Permits, and when required, Wastewater / Effluent Discharge Permits).
Water Resources Management Act (No 11 of 2013): Ministry of Agriculture, Fisheries, Water and Land Reform (MAFWLR)	The Act provides for the management, protection, development, use, and conservation of water resources; provides for the regulation and monitoring of water services, and provides for incidental matters. The objects of this Act are to:  Ensure that the water resources of Namibia are managed, developed, used, conserved, and protected in a manner	

Legislation /	Relevant Provisions	Implications for this project
Policy /		
Guideline:		
Custodian		
	consistent with an applicative to the	
	consistent with, or conducive to, the	
	fundamental principles set out in Section	
	66 - protection of aquifers, Subsection 1	
	(d) (iii) provide for preventing the	
	contamination of the aquifer and water	
	pollution control (S68).	
National Heritage	To provide for the protection and	The Proponent should ensure
Act No. 27 of	conservation of places and objects of	compliance with this act's
2004: Ministry of	heritage significance and the registration	requirements. The necessary
Education,	of such places and objects; to establish	management measures and
Innovation,	a National Heritage Council; to establish	related permitting
Youth, Sport,	a National Heritage Register; and to	requirements must be taken.
Arts, and Culture	provide for incidental matters.	This is done by consulting with
(MEIYSAC)		the National Heritage Council
The National	The Act enables the proclamation of	(NHC) of Namibia. The
Monuments Act	national monuments and protects	management measures
(No. 28 of 1969):	archaeological sites.	should be incorporated into
Ministry of	3	the Draft EMP.
Education,		
Innovation,		
Youth, Sport,		
Arts, and Culture		
(MEIYSAC)		
. ,	The Act makes provision for the	Duty of care must be applied
Soil Conservation	The Act makes provision for the	Duty of care must be applied to soil conservation and
Act (No 76 of	•	
1969): Ministry	and the protection, improvement, and	management measures must
of Agriculture,	conservation of soil, vegetation, and	be included in the EMP.
Fisheries, Water	water supply sources and resources,	

Legislation /	Relevant Provisions	Implications for this project
Policy /		
Guideline:		
Custodian		
and Land	through directives declared by the	
Reform	Minister.	
(MAFWLR)		
Forestry Act (Act	The Act provides for the management	The proponent will apply for
No. 12 of 2001:	and use of forests and forest products.	the relevant permit under this
Ministry of	Section 22. (1) provides: "Unless	Act if it becomes necessary.
Environment,	otherwise authorized by this Act, or by a	
Forestry and	license issued under subsection (3), no	
Tourism (MEFT)	person shall on any land which is not part	
	of a surveyed erven of a local authority	
	area as defined in section 1 of the Local	
	Authorities Act, 1992 (Act No. 23 of	
	1992) cut, destroy or remove - (a)	
	vegetation which is on a dune or drifting	
	sand or a gully unless the cutting,	
	destruction or removal is done to	
	stabilize the sand or gully; or (b) any	
	living tree, bush or shrub growing within	
	100 m of a river, stream or watercourse."	
Public Health Act	Section 119 states that "no person shall	The Proponent and all its
(No. 36 of 1919):	cause a nuisance or shall suffer to exist	employees should ensure
Ministry of	on any land or premises owned or	compliance with the
Health and	occupied by him or of which he is in	provisions of these legal
Social Services	charge any nuisance or other condition	instruments.
(MHSS)	liable to be injurious or dangerous to	
	health."	
Health and Safety	Details various requirements regarding	
Regulations GN	the health and safety of labourers.	

Legislation /	Relevant Provisions	Implications for this project
Policy /		
Guideline:		
Custodian		
156/1997 (GG		
1617): <b>Ministry</b>		
of Health and		
Social Services		
(MHSS)		
Public and	The Act serves to protect the public from	The Proponent should ensure
Environmental	nuisance and states that no person shall	that the project infrastructure,
Health Act No. 1	cause a nuisance or shall suffer to exist	vehicles, equipment, and
of 2015: Ministry	on any land or premises owned or	machinery are designed and
of Health and	occupied by him or of which he is in	operated in a way that is safe,
Social Services	charge any nuisance or other condition	or not injurious or dangerous
(MHSS)	liable to be injurious or dangerous to	to public health, and that the
	health.	noise and dust emissions
		which could be considered a
		nuisance remain at
		acceptable levels.
		Public and environmental
		health should be preserved
		and remain uncompromised.
Atmospheric	This ordinance provides for the	The proposed project and
Pollution	prevention of air pollution and is affected	related activities should be
Prevention	by the Health Act 21 of 1988. Under this	undertaken in such a way that
Ordinance	ordinance, the entire area of Namibia,	they do not pollute or
(1976): <b>Ministry</b>	apart from East Caprivi, is proclaimed as	compromise the surrounding
of Health and	a controlled area for section 4(1) (a) of	air quality. Mitigation
Social Services	the ordinance.	measures should be put in
(MHSS)		place and implemented on-
		site.

Legislation /	Relevant Provisions	Implications for this project
Policy /		
Guideline:		
Custodian		
Hamandaya	The audio area provides for the applied of	The Draw are and about discussion
Hazardous	The ordinance provides for the control of	The Proponent should handle
Substance	toxic substances. It covers manufacture,	and manage the storage and
Ordinance, No. 14	sale, use, disposal, and dumping as well	use of hazardous substances
of 1974: Ministry	as import and export. Although the	on site so that they do not
of Health and	environmental aspects are not explicitly	harm or compromise the site
Social Services	stated, the ordinance provides for the	environment
(MHSS)	importing, storage, and handling.	
Road Traffic and	The Act provides for the establishment of	Mitigation measures should
Transport Act, No.	the Transportation Commission of	be provided for, if the roads
22 of 1999:	Namibia; for the control of traffic on	and traffic impact cannot be
Ministry of	public roads, the licensing of drivers, the	avoided, the relevant permits
Works and	registration and licensing of vehicles, the	must be applied for.
Transport	control and regulation of road transport	
(Roads	across Namibia's borders; and for	
Authority of	matters incidental thereto. Should the	
Namibia)	Proponent wish to undertake activities	
	involving road transportation or access	
	to existing roads, the relevant permits	
	will be required.	
Labour Act (No. 6	Ministry of Labour, Industrial Relations	The Proponent should ensure
of 1992): Ministry	and Employment Creation is aimed at	that the prospecting and
of Justice and	ensuring harmonious labour relations	exploration activities do not
Labour	through promoting social justice,	compromise the safety and
Relations	occupational health and safety, and	welfare of workers.
(MJLR)	enhanced labour market services for the	
	benefit of all Namibians. This ministry	
	insures the effective implementation of	
	the Labour Act No. 6 of 1992.	

# 4.2 International Policies, Principles, Standards, Treaties, and Conventions

The international policies, principles, standards, treaties, and conventions applicable to the project are listed in **Table 3** below.

Table 3: International Policies, Principles, Standards, Treaties, and Convention applicable to the project

Statute	Provisions	Project Implications
The International Finance Corporation (IFC) Performance Standards	Principle 7: Independent Review Principle 8: Covenants Principle 9: Independent Monitoring and Reporting Principle 10: Reporting and Transparency The International Finance Corporation's (IFC) Sustainability Framework articulates the Corporation's strategic commitment to sustainable development and is an integral part of the IFC's approach to risk management. The Sustainability Framework comprises IFC's Policy and Performance Standards on Environmental and Social Sustainability, and IFC's Access to Information Policy. The Policy on Environmental and Social Sustainability describes IFC's commitments, roles, and responsibilities related to environmental and social sustainability.  As of 28 October 2018, there are ten (10) Performance Standards (Performance Standards on Environmental and Social Sustainability) that the IFC requires project Proponents to meet throughout the life of an investment. These standard requirements are briefly described below.	The Performance Standards are directed toward clients, guiding how to identify risks and impacts, and are designed to help avoid, mitigate, and manage risks and impacts as a way of doing business sustainably, including stakeholder engagement and disclosure obligations of the Client (Borrower) concerning project-level activities. In the case of its direct investments (including project and corporate finance provided through financial intermediaries), IFC requires its clients to apply the Performance Standards to manage environmental and social risks and impacts so that

Statute	Provisions	Project Implications
Statute	Performance Standard 1: Assessment and Management of Environmental and Social Risks and Impacts  Performance Standard 2: Labour and Working Conditions  Performance Standard 3: Resource Efficient and Pollution Prevention and Management  Performance Standard 4: Community Health and Safety  Performance Standard 5: Land Acquisition, Restrictions on Land Use, and Involuntary Resettlement  Performance Standard 6: Biodiversity Conservation and Sustainable Management of Living Natural Resources  Performance Standard 7: Indigenous Peoples/Sub-Saharan African Historically Undeserved Traditional Local Communities  Performance Standard 8: Cultural Heritage  Performance Standard 9: Financial Intermediaries (FIs)	-

Statute	Provisions	Project Implications
	http://www.worldbank.org/en/projects- operations/environmental-and-social- framework/brief/environmental-and- social- standards?cq_ck=1522164538151#ess1	
The United Nations Convention to Combat Desertification (UNCCD) 1992	Addresses land degradation in arid regions with the purpose to contribute to the conservation and sustainable use of biodiversity and the mitigation of climate change.  The convention's objective is to forge a global partnership to reverse and prevent desertification/land degradation and to mitigate the effects of drought in affected areas to support poverty reduction and environmental sustainability United Nations Convention.	The project activities should not be such that they contribute to desertification.
Convention on Biological Diversity 1992	Regulate or manage biological resources important for the conservation of biological diversity whether within or outside protected areas, to ensure their conservation and sustainable use.  Promote the protection of ecosystems, and natural habitats, and the maintenance of viable populations of species in natural surroundings.  It recognizes the need for: "a common	Removal of vegetation cover and destruction of natural habitats should be avoided and where not possible minimized.  Protection of natural
Declaration on the Human	outlook and common principles to inspire and guide the people of the world in the	resources and prevention of any form of pollution.

Statute	Provisions	Project Implications
Environment,	preservation and enhancement of the	
Stockholm (1972)	human environment.	

## Relevant international Treaties and Protocols ratified by the Namibian Government

- Convention on International Trade and Endangered Species of Wild Fauna and Flora (CITES), 1973.
- Convention on Biological Diversity, 1992.
- World Heritage Convention, 1972.

#### 5 ENVIRONMENTAL AND SOCIAL BASELINE

The proposed exploration program will be undertaken in specific environmental and social conditions. The understanding of these conditions helps in identifying the sensitive environmental features that may need to be protected through the implementation of certain management and mitigation measures. The summary of selected physical, biological and social baseline information of the project area is provided below as per the site visit conducted by the Environmental consultant on the 11<sup>th</sup> of August 2025 and relevant published reports and books.

The climatic conditions of the project area is described using the available nearest data for the area obtained from the Weather and climate website.

## 5.1 Biophysical Environment

#### **5.1.1 Climate**

Climate has a major influence on the proposed exploration activities on the EPL. An understanding of climatic conditions helps to determine the appropriate and/or inappropriate times to conduct exploration activities.

Helmeringhausen has a Subtropical desert climate (Classification: BWh) and typically receives about 18.53 mm of precipitation annually with 35.42 rainy days (9.7% of the time). Annual high temperature is 40.9°C while low temperature is at -1.02°C. Warmest month is January at 35.01°C while the coldest is at 9.47°C in July. February is the wettest month receiving 66.83 mm of rain. The lowest average relative humidity is at 20.69% in October. **Figure 3** shows the climate condition around Helmeringhausen as the closest to the EPL.

## Climate Helmeringhausen: Weather By Month

Month	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Nov	Oct	Dec	Year
Record high °C (°F)	39.88	39.88	39.88	34.77	32.72	29.65	29.65	33.74	38.85	40.9	40.9	39.88	40.9
	(103.78)	(103.78)	(103.78)	(94.59)	(90.9)	(85.37)	(85.37)	(92.73)	(101.93)	(105.62)	(105.62)	(103.78)	(105.62)
Average high °C (°F)	35.01	34.24	32.99	28.78	25.72	21.08	21.18	23.76	28.06	30.94	33.05	34.7	29.13
	(95.02)	(93.63)	(91.38)	(83.8)	(78.3)	(69.94)	(70.12)	(74.77)	(82.51)	(87.69)	(91.49)	(94.46)	(84.43)
Daily mean °C (°F)	31.1	30.58	29.36	25.09	21.81	16.82	16.57	18.68	23.2	26.37	28.61	30.46	24.89
	(87.98)	(87.04)	(84.85)	(77.16)	(71.26)	(62.28)	(61.83)	(65.62)	(73.76)	(79.47)	(83.5)	(86.83)	(76.8)
Average low °C (°F)	23.97	24.09	23.01	18.82	15.66	10.34	9.47	10.72	14.61	17.57	19.68	22.06	17.49
	(75.15)	(75.36)	(73.42)	(65.88)	(60.19)	(50.61)	(49.05)	(51.3)	(58.3)	(63.63)	(67.42)	(71.71)	(63.48)
Record low °C (°F)	12.27 (54.09)	14.31 (57.76)	12.27 (54.09)	8.18 (46.72)	6.13 (43.03)	0.0	1.02 (33.84)	-1.02 (30.16)	2.04 (35.67)	2.04 (35.67)	9.2 (48.56)	10.22 (50.4)	-1.02 (30.16)
Average precipitation mm (inches)	41.93	66.83	38.83	17.22	13.89	3.38	4.35	2.3	6.48	6.49	4.99	15.63	18.53
	(1.65)	(2.63)	(1.53)	(0.68)	(0.55)	(0.13)	(0.17)	(0.09)	(0.26)	(0.26)	(0.2)	(0.62)	(0.73)
Average precipitation days (≥ 1.0 mm)	6.41	8.0	6.04	3.16	1.86	0.46	0.56	0.84	1.86	1.58	1.3	3.34	2.96
Average relative humidity (%)	26.85	29.93	28.57	31.73	30.69	35.36	33.87	28.06	23.79	21.76	20.69	22.98	27.85
Mean monthly sunshine hours	11.83	11.72	11.75	11.41	11.07	10.89	11.0	11.32	11.67	11.74	13.89	14.14	11.87

Figure 3: Climate condition around the project area (source: https://weatherandclimate.com/namibia/karas/helmeringhausen)

## 5.1.2 Topography

The EPL is primarily defined by the Namib Plains landscape. The terrain is mostly composed of gravel and thin sand layers, from which hills and rock outcrops may emerge. Some of these consist of sills and dykes, as well as vast hills or mountains with numerous smaller granite outcrops. Because to the extremely dry coastal climate, there is little water erosion and the terrain is primarily flat. On the other hand, a number of sizable rivers periodically carry water from intense interior rainfall as they run through valleys that cut through to the ocean (Mendelsohn J. M., 2023). The elevation of the EPL lies between 767 – 1974 meters (m) above sea level. **Figure 4** below illustrates the elevation and landscape map of the project area.

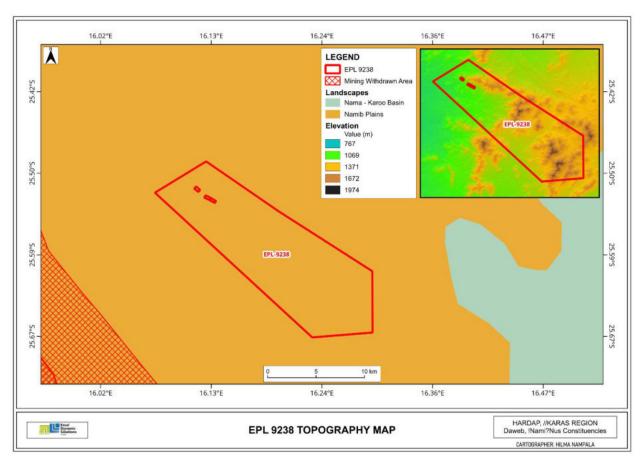


Figure 4: Landscape and Topographic map of the project area





Figure 5: Landscape observed within the project area

## 5.1.3 Geology

<u>Local Geology</u>: The geological units exposed within EPL 9238 correspond to the Sinclair Supergroup and associated intrusions, overlain by Cenozoic cover deposits. The basal unit is represented by the Kunjas Formation, comprising conglomerate and arkosic sandstone, which outcrop in the central to southern section of the mining licence. Overlying this is the Barby Formation, characterised by rhyolites, basaltic trachyandesites, trachydacites, and volcaniclastic rocks, forming part of the second volcanic cycle of the Sinclair Supergroup found in the northwestern section of the license area (Miller, 2008); (Thomas, 2006).

The youngest volcanic cycle is represented by the Guperas Formation, consisting of rhyolitic to dacitic volcanic rocks and coarse clastics, whose stratigraphic position and lithological characteristics correspond with regional descriptions (Miller, 2008; Thomas et al., 2006). The sequence is intruded by several granitoid bodies, including the Nubib Granite. The bedrock is not exposed in some parts because it is overlain by younger unconsolidated Cenozoic sediments, comprising aeolian dune sands of the Sossus Formation and unconsolidated surficial deposits of sand, gravel, and calcrete belonging to the Kalahari Group (Miller, 2008). **Figure 6** below illustrates the main lithology map for the EPL.

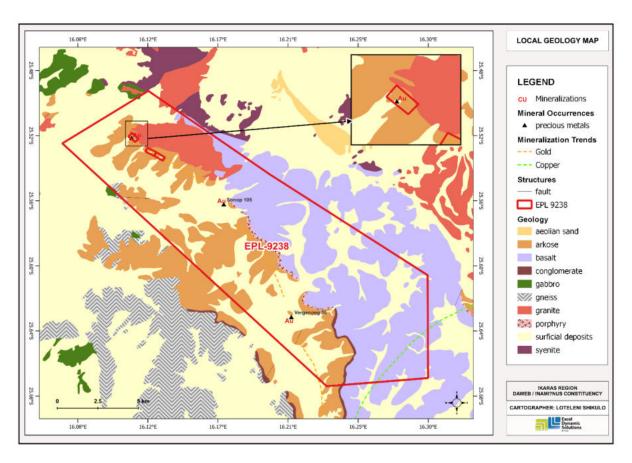


Figure 6: A map of the general geology of the project area

#### 5.1.4 Soil

<u>Lithic Leptosols</u>: Makes up majority of the EPL, which (very thin or shallow soils) accommodates very shallow soils over hard rock or highly calcareous material, but also deeper soils that are extremely gravelly and/or stony. Lithosols are azonal soils with an incomplete solum and/or without clearly expressed morphological features. They are particularly common in mountain regions. Thin or with many coarse fragments, these coarse-textured soils are characterized by their limited depth caused by the presence of a continuous layer within 80 cm of the surface, (Mendelsohn, 2003). The rest of the EPL is periodically covered by Eutric Regosols (medium-orfine-textured soils) Despite not being as shallow as the leptosol, these soils never go deeper than 50 cm. In areas where there is some slope, these are prone to erosion. They are more likely to support low-density livestock farming or wildlife since they don't provide enough water or nutrients to support a dense vegetation cover. **Figure 7** below is a map of the soil types on the EPL and **Figure 8** shows the soil found within the EPL area.

It is notable that during the prospecting and exploration phase of the project, soil sampling may be conducted. *Therefore, the Soil Conservation Act (No 76 of 1969) should be taken into account to ensure that soils are conserved in a way that does not promote soil erosion.* (Refer to the EMP).

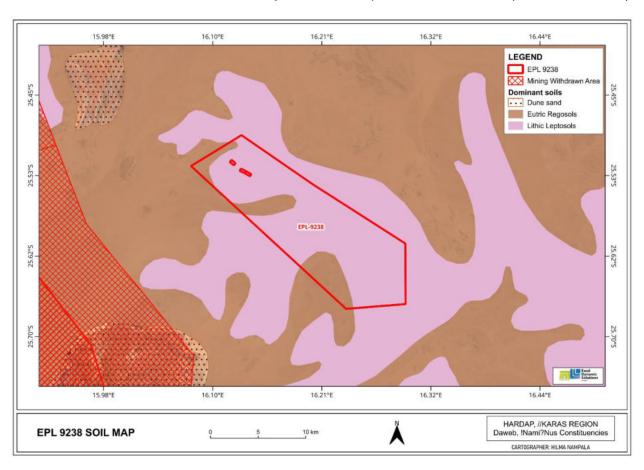


Figure 7: shows the soil types found within the EPL



Figure 8: Type of soil observed on the EPL

## 5.1.5 Hydrology, Groundwater Vulnerability to Pollution, and Water Resources

The project area is covered by rock bodies with little groundwater potential. The texture of the bedrocks is partially permeable due to the limited groundwater potential, making the south-eastern part of the project area prone to moderate groundwater pollution. Although there is limited data made available pertaining to the groundwater vulnerability in the majority of the project area, it is assumed that the potential of groundwater pollution is moderate due to the permeability of the bedrock. The Tsauchab River and its tributaries originate in the Tsaris catchment area, which is mainly made up of the Tsaris and Naukluft Mountains. It is the source of the Tsauchab River and its tributaries. This area is critical for the intermittent Tsauchab River's water supply, which runs into Sossusvlei, Namibia's most popular tourist attraction. A stream of the Tsaris watershed crosses through the EPL's northwestern region. **Figure 9** shows the map of hydrology and groundwater pollution vulnerability in the EPL.

In case of considering groundwater abstraction from onsite water sources, the Proponent should obtain an abstraction permit, if necessary, as required under the Water Act No. 54 of 1956 (enforced), and the Water Resources Management Act, No. 11 of 2013. The mitigation measures outlined in the EMP, to avoid or minimize groundwater pollution, should strictly be adhered.

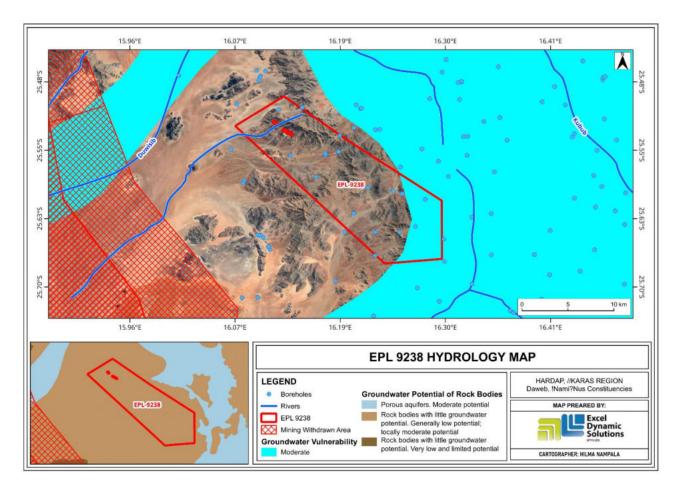


Figure 9: Hydrological map - EPL 9238.

#### 5.1.6 Flora and Fauna

#### 5.1.6.1 Flora

The dwarf shrub savannah is a vegetation type found in the southern most regions of Namibia. This particular savannah is characterized by its relatively statured shrubs and sparse tree cover, adapted to the arid and semi-arid conditions and desert landscapes. Low statured shrubs classified as dwarf shrubs are low-growing shrubs that attain heights of less than one metre. These shrub species, including the genus eriocephalus, also known as wild rosemary, have small, thick leaves that helps limit water loss. Trees in this vegetation type are often tiny and widely spaced. The Acacia erioloba (camelthorn tree) and the Boscia albitrunca (shepherd's tree) can be found in solitary patches along dry riverbanks. The area is also home to Aristida spp. (three awn grass) and Stipagrostis spp. (feather grass); these species are well adapted to arid environments and can tolerate repeated droughts (EDS, 2024)

**Appendix F** shows the plant species with their respective conservation status found within the EPL area. **Figure 10** illustrates the vegetation map for the project area, and **figure 11** illustrates the observed vegetation on the EPL.

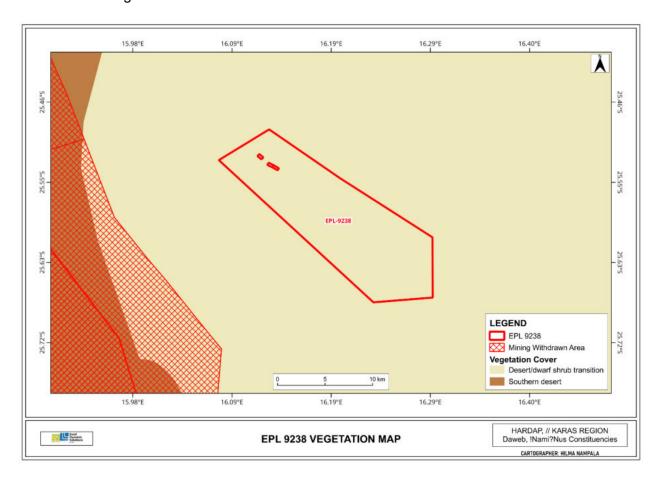


Figure 10: Vegetation map of the EPL

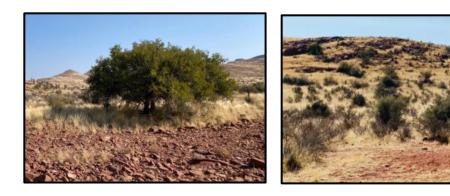


Figure 11: Vegetation observed on EPL 9238

The Proponent should ensure that the Forestry Act No. 12 of 2001 and its relevant regulations are taken into account and all necessary permits for vegetation clearing and removal of protected plants within the targeted exploration site should be obtained before the exploration phase.

#### 5.1.6.2 Fauna

The social weaver (Philetairus socius), also known as the sociable weaver is a small passerine bird native to southern Africa. Social weavers are known for their remarkable large built communal nests. These nests can be massive, resembling haystacks and weighing several tons. They are assembled using grass, twigs, and other plant materials, and are typically built in trees, particularly camelthorn trees and other acacia species. The nests are of complex structures with numerous chambers and entrances. They provide shelter and nesting sites for multiple families of social weavers, as well as protection from predators and the harsh desert climate.

The arid and semi-arid conditions of the region is suitable for extensive livestock farming, and these animals are well-adapted to the harsh environment. Commercial farmers in the Hardap Region participate in livestock farming, primarily raising cattle, sheep, and goats. Beef cattle breeds such as Hereford, Brahman, and Simmental are commonly reared for meat production.

Sheep farming is also prevalent in the Hardap Region. Goats are also highly suited to the arid environment and can efficiently graze on low-quality vegetation. Common goat breeds include Boer, Kalahari Red, and Savannah goats which are primarily raised for meat production (EDS, 2024). Below, Table 4 illustrates the animals observed in the project area.

Table 4: List of animals observed on the EPL

Kudu	Cheetah
Springbok	Leopard
Steenbok	Linx
Aardwolf (Proteles cristatus) [endangered]	African Wild Cat
Snakes [various species]	Honey badger [endangered]

## 5.2 Heritage and Archaeology

## 5.2.1 Local Level and Archaeological Findings

During the site visit conducted, there were a number of archaeological features observed. Hence, the mitigation measures listed in the EMP should be strictly adhered to.

In the case where an archaeological discovery is made on site during exploration works, the procedures outlined in the National Heritage Act, No. 27 of 2004, requires that any archaeological or paleontological object or meteorite discovered is reported to the National Heritage Council as soon as practicable.

## 5.3 Surrounding Land Uses

The EPL falls within a commercial farms area and overlays (cover) farms namely: Farm Klein Haremub No. 1, Farm Saffier No. 148, Reminder of Farm Sonop No. 105, Portion of Farm Sonop No. 105, and Farm Vergenoeg No. 56, as indicated in **Figure 12**.

The Proponent is required to secure a signed agreement from the affected landowners/farmers owners to gain access to the areas of interest for prospecting and exploration investigations as per Section 52 of the Minerals (Prospecting and Mining) Act No. 33 of 1992 and Section 2.2.3 of the Minerals Policy of Namibia.

- 1. Section 52 (1) The holder of the mineral license shall not exercise any rights conferred upon such holder by this Act or under any terms and conditions of such mineral license
  - (a) In, on, or under any and until such holder has agreed in writing with the owner of such land containing terms and conditions relating to the payment of compensation, or the owner of such land has in writing waived any right to such compensation and has submitted a copy of such agreement or waiver to the Commissioner.

Section 2.2.3 of the Draft Minerals Policy of Namibia states that the License Holder and/or mineral explorers currently have to negotiate a contract with landowners to gain access for mining purposes.

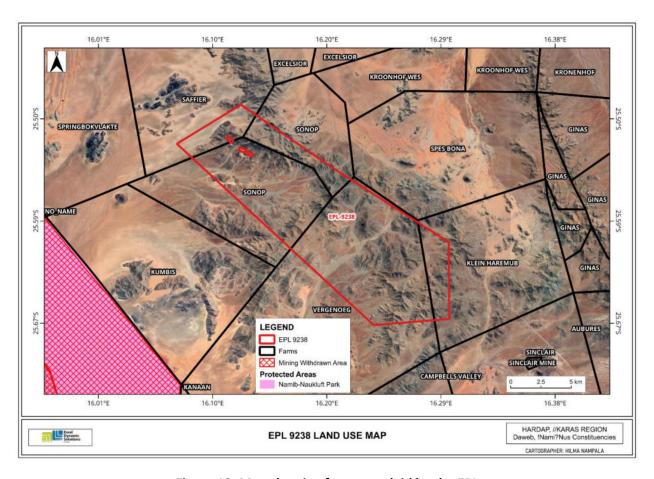


Figure 12: Map showing farms overlaid by the EPL

#### 5.4 Socio-Economic conditions

#### Helmeringhausen

#### Location and population demographics

According to Namibweb (Namibweb, 2025), Helmeringhausen is a settlement in southern Namibia within the Berseba Constituency in the //Karas Region. It is located 200 km northeast of Lüderitz and 500 km south of Windhoek on the crossing of the national roads C14 (Goageb - Walvis Bay) and C13 (Rosh Pinah - Helmeringhausen), and the road D414 (Aus - Mariental). The settlement of Helmeringhausen lies 120 km south of Maltahohe on the district road C14, en route to Bethanie, Aus and Luderitz. According to the 2023 Population and Housing Census, Berseba Constituency which houses Helmeringhausen settlement has a total population of 11,258 of which 6,025 are males and 5,233 are females (Namibia Statistics Agency, 2023).

**Economic Activities** 

The settlement became famous for its Karakul sheep breeding. Livestock farming is a significant

economic activity in the //Karas Region. Many communities rely on commercial and subsistence

farming for their livelihoods. Cattle, sheep, and goat farming play a crucial role in supporting the

local economy and providing employment opportunities. Another crucial economic activity in the

Region is mining, which concentrated towards the south and near the Orange River

Institutions and infrastructures

Helmeringhausen does not have an official governing body nor status as it is completely situated

on private land, and all infrastructure except the roads are part of Farm Helmeringhausen. The

settlement features a petrol station, a general store, bottle store, a small airfield, a country hotel,

and a private agricultural museum.

**Tourism activities and attractions** 

In recent years, Helmeringhausen has gained popularity as a stopover destination for tourists

traveling through the Karas Region. The settlement's rustic charm, surrounding natural

landscapes, and the historical attractions, such as the Helmeringhausen Hotel which was

originally built in 1908.

6 PUBLIC CONSULTATION PROCESS

Public consultation is an important component of an Environmental Assessment (EA) process. It

provides potential Interested and Affected Parties (I&APs) with an opportunity to comment on and

raise any issues relevant to the project for consideration as part of the assessment process, thus

assisting the Environmental Assessment Practitioner (EAP) in identifying all potential impacts and

what extent further investigations are necessary. Public consultation can also aid in the process

of identifying possible mitigation measures. Public consultation for this scoping study has been

done following the EMA and its EIA Regulations.

Max Kefas Nuseb: EPL No. 9238

42

## 6.1 Pre-identified and Registered Interested and Affected Parties (I&APs)

Relevant and applicable national, regional, and local authorities, local leaders, and other interested members of the public were identified. Pre-identified I&APs were contacted directly, while other parties who contacted the Consultant after project advertisement notices in the newspapers, were registered as I&APs upon their request. Newspaper advertisements of the proposed exploration activities were placed in two widely read national newspapers in the region (New Era Newspaper and The Namibian Newspaper). The project advertisement/announcement ran for two consecutive weeks inviting members of the public to register as I&APs and submit their comments. The summary of pre-identified and registered I&APs is listed in **Table 5** below and the complete list of I&APs is provided in **Appendix D**.

Table 5:Summary of Interested and Affected Parties (I&APs)

National (Ministries and State-Owned Enterprises)
Ministry of Environment, Forestry, and Tourism
Ministry of Industries, Mines and Energy
Regional, Local, and Traditional Authorities
Hardap Regional Council
//Karas Regional Council
General Public
Landowners /Interested members of the public
Helmeringhausen Farmers Association

#### 6.2 Communication with I&APs

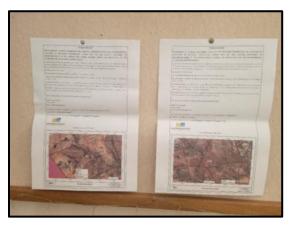
Regulation 21 of the EIA Regulations details the steps to be taken during a public consultation process and these have been used in guiding this process. Communication with I&APs concerning the proposed development was facilitated through the following means and in this order:

 A Background Information Document (BID) containing brief information about the proposed exploration works was compiled and emailed to pre-identified Interested and Affected Parties (I&APs), and upon request to all new registered I&APs;

- Project Environmental Assessment notices were published in the New Era Newspaper (23<sup>rd</sup> and 27<sup>th</sup> May 2025) and The Namibian Newspaper (16<sup>th</sup> and 23<sup>rd</sup> May 2025), briefly explaining the activity and its locality and inviting members of the public to register as I&APs and submit their comments/concerns.
- Public notices were placed at a public place at Helmeringhausen Farmers Association Hall
   (Figure 14) to inform members of the public about the EIA process.
- Public meeting was scheduled and held on the 11 August **2025** at 11H00, Helmeringhausen Hall, //Karas region (**Figure 14**).



Figure 13: Consultation meeting held on 11 August 2025, at Helmeringhausen Hall, //Karas region.



Issues raised by I&APs have been recorded and incorporated in the environmental report and EMP. The summarized issues raised during the public meeting are presented in **Table 6** below. The issues raised and responses by EDS are attached under **Appendix G** and **H** 

Table 6: Summary of main issues raised, and comments received during public meeting engagements

Issue	Concern

Drone Activity	Drone flying in the area for almost 3 months and
	noted to be doing geophysical data without
	farmers permission or concern.
	5 11 150 11 1
Past mining activities in the area	For the past 50 years, there has been a mine
	nearby, which has left open ditches and pits
	and caused field fires. After exploration or
	mining operations, which steps will you take to
	restore the area? What safeguards are in place
	to guarantee that ecological risks that adversely
	impact our livelihood are addressed?
Environmental and social impact assessment,	To provide transparency between operations,
and Helmeringhausen biodiversity	phase 1 of the ESIA process (prospecting and
	exploration) must be divided.
	Given the delicate ecology of the region
	surrounding Helmeringhausen, a specialised
	report detailing the local flora and wildlife is
	necessary to record the distinctive biodiversity.

# 7 IMPACT IDENTIFICATION, ASSESSMENT, AND MITIGATION MEASURES

## 7.1 Impact Identification

Proposed developments/activities are usually associated with different potential positive and/or negative impacts. For an environmental assessment, the focus is placed mainly on the negative impacts. This is done to ensure that these impacts are addressed by providing adequate mitigation measures such that an impact's significance is brought under control while maximizing the positive impacts of the development. The potential positive and negative impacts that have been identified from the prospecting and exploration activities are listed as follows:

#### Positive impacts:

• Creation of jobs for the locals (primary, secondary, and tertiary employment).

- Producing a trained workforce and small businesses that can service communities and may initiate related businesses.
- Boosting local economic growth and regional economic development.
- Open up other investment opportunities and infrastructure-related development benefits.

#### Negative impacts:

- Disturbance to grazing areas
- Land degradation and Biodiversity Loss.
- Generation of dust
- Water Resources Use
- Soil & Water Resources Pollution
- Waste Generation
- Occupational Health & Safety risks
- Vehicular Traffic Use & Safety
- Noise & Vibrations
- Disturbance to Archaeological & Heritage Resources
- Impacts on local Roads
- Social Nuisance: local property intrusion & disturbance
- Social Nuisance: Job seeking & differing Norms, Culture & values
- Impacts associated with closure and decommissioning of exploration works

## 7.2 Impact Assessment Methodology

The Environmental Assessment process primarily ensures that potential impacts that may occur from project activity are identified and addressed with environmentally cautious approaches and legal compliance. The impact assessment method used for this project is following Namibia's Environmental Management Act (No. 7 of 2007) and its Regulations of 2012, as well as the International Finance Corporation (IFC) Performance Standards.

The identified impacts were assessed in terms of scale/extent (spatial scale), duration (temporal scale), magnitude (severity), and probability (likelihood of occurring), as presented in **Table 7**, **Table 8**, **9**, and **Table 10**, respectively.

To enable a scientific approach to the determination of the environmental significance, a numerical value is linked to each rating scale. This methodology ensures uniformity and that potential impacts can be addressed in a standard manner so that a wide range of impacts are

comparable. It is assumed that an assessment of the significance of a potential impact is a good indicator of the risk associated with such an impact. The following process will be applied to each potential impact:

- Provision of a brief explanation of the impact.
- · Assessment of the pre-mitigation significance of the impact; and
- Description of recommended mitigation measures.

The recommended mitigation measures prescribed for each of the potential impacts contribute towards the attainment of environmentally sustainable operational conditions of the project for various features of the biophysical and social environment. The following criteria were applied in this impact assessment:

#### 7.2.1 Extent (spatial scale)

The extent is an indication of the physical and spatial scale of the impact. **Table 8** shows the rating of impact in terms of the extent of spatial scale.

Table 8: Extent or spatial impact rating

Low (1)	Low/Medium (2)	Medium (3)	Medium/High (4)	High (5)
The impact is localized within the site boundary: Site only	The impact is beyond the site boundary: Local	Impacts felt within adjacent biophysical and social environments:	Impact widespread far beyond site boundary: Regional	The impact extends National or international boundaries

#### 7.2.2 Duration

Duration refers to the timeframe over which the impact is expected to occur, measured concerning the lifetime of the project. **Table 9** shows the rating of impact in terms of duration.

**Table 9: Duration impact rating** 

Low (1)	Low/Medium (2)	Medium (3)	Medium/High (4)	High (5)
Immediate mitigating measures, immediate progress	The impact is quickly reversible, and short-term impacts (0-5 years)	Reversible over time; medium-term (5-15 years)	Impact is long-term	Long-term; beyond closure; permanent; irreplaceable or irretrievable commitment of resources

#### 7.2.3 Intensity, Magnitude/severity

Intensity refers to the degree or magnitude to which the impact alters the functioning of an element of the environment. The magnitude of alteration can either be positive or negative. These ratings were also taken into consideration during the assessment of severity. **Table 10** shows the rating of impact in terms of intensity, magnitude, or severity.

Table 10: Intensity, magnitude, or severity impact rating

Type of criteria		Negative							
Cittoria	H- M/H-		M-	M/L-	L-				
	(10)	(8)	(6)	(4)	(2)				
Qualitativ e	Very high deterioratio n, high quantity of deaths, injury or illness / total loss of habitat, total alteration of ecological processes, extinction of rare species	Substantial deterioration, death, illness or injury, loss of habitat/diversit y or resource, severe alteration or disturbance of important processes	Moderate deterioration, discomfort, partial loss of habitat/biodiversit y or resource, moderate alteration	Low deterioratio n, slight noticeable alteration in habitat and biodiversity. Little loss in species numbers	Minor deterioration, nuisance or irritation, minor change in species/habitat/diversi ty or resource, no or very little quality deterioration.				

#### 7.2.4 Probability of occurrence

Probability describes the likelihood of the impacts occurring. This determination is based on previous experience with similar projects and/or based on professional judgment. **Table 11** shows impact rating in terms of probability of occurrence.

Table 7: Probability of occurrence impact rating

Low (1)	Medium/Low (2)	Medium (3)	Medium/High (4)	High (5)
Improbable; low likelihood; seldom. No known risk or vulnerability to natural or induced hazards.	Likely to occur from time to time. Low risk or vulnerability to natural or induced hazards	A possible, distinct possibility, frequent. Low to medium risk or vulnerability to natural or induced hazards.	Probable if mitigating measures are not implemented. Medium risk of vulnerability to natural or induced hazards.	Definite (regardless of preventative measures), highly likely, and continuous. High risk or vulnerability to natural or induced hazards.

#### 7.2.5 Significance

Impact significance is determined through a synthesis of the above impact characteristics. The significance of the impact "without mitigation" is the main determinant of the nature and degree of mitigation required. As stated in the introduction to this section, for this assessment, the significance of the impact without prescribed mitigation actions is measured.

Once the above factors (**Table 8**, **Table 9**, **Table 10**, and **Table 11**) have been ranked for each potential impact, the impact significance of each is assessed using the following formula:

#### SIGNIFICANCE POINTS (SP) = (MAGNITUDE + DURATION + SCALE) X PROBABILITY

The maximum value per potential impact is 100 significance points (SP). Potential impacts were rated as high, moderate, or low significance, based on the following significance rating scale (**Table 12**).

Table 8: Significance rating scale

Significance	Environmental Significance Points	Colour Code
High (positive)	>60	Н
Medium (positive)	30 to 60	М
Low (positive)	1 to 30	L
Neutral	0	N
Low (negative)	-1 to -30	L
Medium (negative)	-30 to -60	M
High (negative)	-60<	Н

**Positive (+)** – Beneficial impact

Negative (-) - Deleterious/ adverse+ Impact

**Neutral** – Impacts are neither beneficial nor adverse

For an impact with a significance rating of high (-ve), mitigation measures are recommended to reduce the impact to a medium (-ve) or low (-ve) significance rating, provided that the impact with a medium significance rating can be sufficiently controlled with the recommended mitigation measures. To maintain a low or medium significance rating, monitoring is recommended for a period to enable the confirmation of the significance of the impact as low or medium and under control.

The assessment of the exploration phases is done for pre-mitigation and post-mitigation.

The risk/impact assessment is driven by three factors:

**Source**: The cause or source of the contamination.

**Pathway**: The route taken by the source to reach a given receptor

**Receptor**: A person, animal, plant, ecosystem, property, or a controlled water source. If contamination is to cause harm or impact, it must reach a receptor.

A pollutant linkage occurs when a source, pathway, and receptor exist together. Mitigation measures aim firstly, to avoid risk and if the risk cannot be avoided, mitigation measures to minimize the impact are recommended. Once mitigation measures have been applied, the identified risk would reduce to lower significance (Booth, 2011).

This assessment focuses on the three project phases namely, prospecting, exploration (and possible analysis), and decommissioning. The potential negative impacts stemming from the proposed activities of the EPL are described and assessed and mitigation measures are provided thereof. Further mitigation measures in a form of management action plans are provided in the Draft Environmental Management Plan.

## 7.3 Assessment of Potential Negative Impacts

The main potential negative impacts associated with the operation and maintenance phase are identified and assessed below:

#### 7.3.1 Disturbance to the grazing areas

The EPL is overlying small-scale commercial farms that have livestock and wildlife. Exploration activities such as site clearing, trenching, and drilling can potentially lead to the disturbance of grazing land. This will potentially affect the grazing land available to wildlife, and since the wildlife greatly depends on the little available flora, their livelihood will be impacted.

Max Kefas Nuseb: EPL No. 9238

The effect of exploration work on the land (when done over a wider spatial extent), if not mitigated, may hinder grazing areas. Under the status quo, the impact can consider being of a medium significance rating. With the implementation of appropriate mitigation measures, the rating will be reduced to a lower significance. The impact is assessed in **Table 13** below.

Table 9: Assessment of the impacts of exploration on grazing areas

Mitigation Status	Extent	Duration	Intensity	Probability	Significance
Pre mitigation	M: -4	M: -3	M: -4	M/H: 5	M: -55
Post mitigation	L/M: -2	L/M: -2	L/M: -4	L/M: 3	L: -24

#### 7.3.2 Land Degradation and Loss of Biodiversity

**Fauna:** The trenching, pitting, and drilling activities carried out during exploration would result in land degradation, leading to habitat loss for a diversity of flora and fauna ranging from microorganisms to large animals and trees. Endemic species are most at risk since even the slightest disruption in their habitat can result in extinction.

The presence and movement of the exploration workforce and operation of project equipment and heavy vehicles would disturb livestock and wildlife present on farms. The proposed activities may also carry the risk of the potential illegal hunting of local wildlife. This could lead to the reduction of specific faunal species, which may limit tourism (sightseeing and safari) activity in the area.

Additionally, if the exploration sites are not rehabilitated, they could pose a high risk of injuries to animals by falling into holes and pits.

**Flora:** Direct impact of exploration works on flora will mainly occur through clearing for exploration access routes and associated infrastructure. The dust emissions from drilling may also affect surrounding vegetation through the fall of dust, if excessive. Some loss of vegetation is an inevitable consequence of the development. However, given the abundance of shrubs and site-specific areas of exploration on the EPL, the impact will be localized, therefore manageable.

Under the status, the impact can be of a medium significance rating. With the implementation of appropriate mitigation measures, the rating will be reduced to a low significance rating. The impact is assessed in **Table 14** below.

Table 10: Assessment of the impacts of exploration on biodiversity

Mitigation Status	Extent	Duration	Intensity	Probability	Significance
Pre mitigation	M: -4	M: -4	M: -6	M/H: 4	M: -56
Post mitigation	L/M: -3	L/M: -3	L/M: -4	L/M: 3	L: -30

#### 7.3.3 Generation of Dust (Air Quality)

Dust emanating from site access routes when transporting exploration equipment and supply to and from the site may compromise the air quality in the area. Vehicular movements from heavy vehicles such as trucks would potentially create dust, even if it is not anticipated to be low. Additionally, activities carried out as part of the exploration works such as drilling would contribute to the dust levels in the air. The medium significance of this impact can be reduced to a low significance rating by properly implementing mitigation measures. The impact is assessed in **Table 15** below.

Table 11: Assessment of the impacts of exploration on air quality

Mitigation Status	Extent	Duration	Intensity	Probability	Significance
Pre mitigation	M: -3	M: -3	M/L: -4	M/H: 4	M: -40
Post mitigation	L - 2	L - 2	L- 2	L - 1	L - 6

#### 7.3.4 Water Resources Use

Water resources are impacted by project developments/activities in two ways - through pollution (water quality) or over-abstraction (water quantity) or at times both.

The abstraction of more water than can be replenished from low groundwater potential areas would negatively affect the local communities that depend on the same low potential groundwater resource (aquifer).

The impact of the project activities on the resources would be dependent on the water volumes required by each project activity. Exploration activities use a lot of water, mainly for drilling. However, this depends on the type of drilling methods employed (diamond drilling is more water-consuming compared to drilling methods such as reverse circulation for instance) and the type of mineral being explored.

The drilling method to be employed for this project's exploration activities is Reverse Circulation. Given the low to medium groundwater potential of some project site areas, the Proponent may

consider carting some of the water volumes from outside the area and stored in industry-standard water reservoirs/tanks on site. The exact amounts of water required for proposed operations would be dependent on the duration of the exploration works and the number of exploration boreholes required to make a reliable interpretation of the commodities explored. The exploration period is temporally limited, therefore, the impact will only last for the duration of the exploration activities, and ceases upon their completion.

Without the implementation of any mitigation measures, the impact can be rated as medium, but upon effective implementation of the recommended measures, the impact significance would be reduced to low as presented in **table 16** below.

Table 12: Assessment of the project impact on water resource use and availability

Mitigation Status	Extent	Duration	Intensity	Probability	Significance
Pre mitigation	M - 3	M/H - 3	L/M - 4	M/H - 4	M - 40
Post mitigation	L/M - 1	L/M - 1	L - 2	L/M - 3	L - 12

#### 7.3.5 Soil and Water Resources Pollution

The proposed exploration activities are associated with a variety of potential pollution sources (i.e., lubricants, fuel, and wastewater) that may contaminate/pollute soils, and eventually, surface and groundwater. The anticipated potential source of pollution to water resources from the project activities would be hydrocarbons (oil) from project vehicles, machinery, and equipment as well as potential wastewater/effluent from exploration-related activities.

The spills (depending on volumes spilled on the soils) from machinery, vehicles, and equipment could infiltrate into the ground and pollute the fractured or faulted aquifers on site, and with time reach further groundwater systems in the area. However, it should be noted that the scale and extent/footprint of the activities where potential sources of pollution will be handled is relatively small. Therefore, the impact will be moderately low.

Pre-implementation of any mitigation measures, the impact significance is medium to high and upon implementation, the significance will be reduced to a low significance rating. The impact is assessed in **table 17** below.

Table 137: Assessment of the project impact on soils and water resources (pollution)

Mitigation Status	Extent	Duration	Intensity	Probability	Significance
Pre mitigation	M - 5	M/L - 3	M/L - 3	M - 4	M - 44
Post mitigation	L - 3	M - 3	L-3	L/M - 3	L - 27

#### 7.3.6 Waste Generation

During the prospecting and exploration program, domestic and general waste is produced on-site. If the generated waste is not disposed of responsibly, land pollution may occur on the EPL or around the sites. The EPL is in an area of moderate sensitivity to pollution. Improper handling, storage, and disposal of hydrocarbon products and hazardous materials at the site may lead to soil and groundwater contamination, in case of spills and leakages. Therefore, the exploration program needs to have appropriate waste management for the site. To prevent these issues, any hazardous waste that may have an impact on animals, vegetation, water resources, and the general environment should be handled cautiously. Without any mitigation measures, the general impact of waste generation has a medium significance. The impact will reduce to low significance, upon implementing the mitigation measures. The assessment of this impact is given in **table 18** below.

Table 148: Assessment of waste generation impact

Mitigation Status	Extent	Duration	Intensity	Probability	Significance
Pre mitigation	L/M - 2	L/M - 2	M - 6	M - 5	M – 50
Post mitigation	L - 1	L - 1	L-2	L/M - 2	L - 8

#### 7.3.7 Occupational Health and Safety Risks

Project personnel (workers) involved in the exploration activities may be exposed to health and safety risks. These may result from accidental injury, owing to either minor (i.e., superficial physical injury) or major (i.e., involving heavy machinery or vehicles) accidents. The site safety of all personnel is the Proponent's responsibility and should be adhered to as per the requirements of the Labour Act (No. 11 of 2007) and the Public Health Act (No. 36 of 1919). The heavy vehicle, equipment, and fuel storage area should be properly secured to prevent any harm or injury to the project workers or local animals.

The use of heavy equipment, especially during drilling, and the presence of hydrocarbons on sites may result in accidental fire outbreaks, which could pose a safety risk to the project personnel, equipment, and vehicles. It may also lead to widespread veld fires if an outbreak is not contained and if machinery and equipment are not properly stored, the safety risk may be a concern for project workers and residents.

The impact is probable and has a medium significance rating. However, with adequate mitigation measures, the impact rating will be reduced to low. This impact is assessed in **table 19** below and mitigation measures are provided.

Table 15: Assessment of the impacts of exploration on health and safety

Mitigation Status	Extent	Duration	Intensity	Probability	Significance
Pre mitigation	M - 3	M/L - 2	M - 6	M/H - 4	M – 44
Post mitigation	L/M - 2	L/M - 2	L - 2	L/M - 2	L - 12

#### 7.3.8 Vehicular Traffic Use and Safety

The EPL is accessible from the C27 which runs from Helmeringhausen, which connects to the D0707 road, which runs through the EPL. This is the main transportation route for all vehicular movement in the area and provide access to the EPL and connect the project area to other towns. Traffic volume will therefore increase on these district roads during exploration as the project would need delivery of supplies and services on site.

Depending on the project needs, trucks, medium-sized vehicles, and small vehicles will frequent the area to and from exploration sites on the EPL. This would potentially increase slow-moving heavy vehicular traffic along these roads and add additional pressure on the roads. However, transportation of materials and equipment is expected to occur on a limited schedule and only for the duration of the project. Therefore, the risk is anticipated to be short-term, not frequent, and therefore of medium significance. Before mitigation, the impact can be rated medium and with the implementation of mitigation measures, the significance will be low as assessed in **table 20** below.

Table 16: Assessment of the impacts of exploration on-road use (vehicular traffic)

Mitigation Status	Extent	Duration	Intensity	Probability	Significance
Pre mitigation	M - 4	M/H - 3	L/M - 4	M/H - 5	M - 55

Post mitigation	L/M - 2	L/M - 2	L - 2	L/M - 2	L - 12

#### 7.3.9 Noise and vibrations

Prospecting and exploration work (especially drilling) may be a nuisance to surrounding communities due to the noise produced by the activity. Excess noise and vibrations can be a health risk to workers on site. The exploration equipment used for drilling on site is of medium size and the noise level is bound to be limited to the site only, therefore, the impact likelihood is minimal. Without any mitigation, the impact is rated as of medium significance. To change the impact significance from the pre-mitigation significance to a low rating, mitigation measures should be implemented. This impact is assessed in **Table 17** below.

Table 17: Assessment of the impacts of noise and vibrations from exploration

Mitigation Status	Extent	Duration	Intensity	Probability	Significance
Pre mitigation	L/M - 2	L/M - 2	M - 6	M/H - 3	M – 30
Post mitigation	L - 1	L/M - 2	L - 2	L/M -2	L - 10

## 7.3.10 Disturbance to Archaeological and Heritage Resources

The specialist archaeological assessment conducted, indicates that //Karas Region is sensitive and contains many archeological/cultural significant sites, and there is a possibility of unveiling/discovering new archeological and/or cultural materials in the proposed project area. If such Materials are found the areas must be mapped out and coordinates taken to establish "No-Go-Areas", due to their sensitivity and then documented. They may be protected either by fencing them off or demarcation for preservation purposes, or excluding them from any development i.e., no exploration activities should be conducted near these recorded areas through the establishment of buffer zones.

This impact can be rated as medium significance if there are no mitigation measures in place. Upon implementation of the necessary measures, the impact significance will be reduced to a lower rating. The impact is assessed in **Table 18**.

Table 18: Assessment of the impacts of exploration on archaeological & heritage resources

Mitigation Status	Extent	Duration	Intensity	Probability	Significance

Pre mitigation	M - 3	M/H - 4	M - 6	M/H - 4	M – 52
Post mitigation	L/M - 2	L/M - 2	L - 2	L/M - 2	L - 12

#### 7.3.11 Impact on Local Roads/Routes

Exploration projects are usually associated with the movements of heavy trucks and equipment or machinery that use local roads. Heavy vehicles traveling on local roads exert pressure on the roads and may make the roads difficult to use. This will be a concern if maintenance and care is not taken during the exploration phase. The impact would be short-term (during exploration only) and therefore, manageable.

Without any management and or mitigation measures, the impact can be rated as medium and to reduce this rating to low, the measures will need to be effectively implemented. The assessment of this impact is presented in **Table 19**.

Table 19: Assessment of exploration of local services (roads and water)

Mitigation Status	Extent	Duration	Intensity	Probability	Significance
Pre mitigation	M/H - 4	M - 3	M - 6	M - 3	M – 39
Post mitigation	L - 1	L - 1	M/L - 4	M/L -2	L - 12

#### 7.3.12 Social Nuisance: Local Property intrusion and Disturbance/Damage

The presence of some non-resident workers may lead to social annoyance to the local community. This could particularly be a concern if they enter or damage local private property. The private properties of the locals may include houses, fences, vegetation, livestock, wildlife, or any properties of economic or cultural value to the farm/land owners or land users. The damage or disturbance to properties may not only be private but local public properties. The unpermitted and unauthorized entry to private property may cause crashes between the affected property (land) owners and the Proponent.

The impact is rated as of medium significance. However, upon mitigation (post-mitigation), the significance will change from a medium to a low rating. The impact is assessed below **(Table 24)** 

Table 20: Assessment of the social impact of community property damage or disturbance

Mitigation Status	Extent	Duration	Intensity	Probability	Significance

Pre mitigation	M - 2	M - 3	M - 4	M/H - 3	M – 27
Post mitigation	L - 1	L - 1	M/L - 4	M/L -2	L - 12

## 7.4 Cumulative Impacts Associated with Proposed Exploration

According to the International Finance Corporation (2013), cumulative impacts are defined as "impacts that result from the successive, incremental, and/or combined effects of an action, project, or activity (collectively referred to in this document as "developments") when added to other existing, planned, and/or reasonably anticipated future impacts".

Like many other exploration projects, some cumulative impacts to which the proposed project and associated activities potentially contribute, are:

- **Impact on road infrastructure:** The proposed exploration activity contributes cumulatively to various activities such as farming activities and traveling associated with tourism and local daily routines. The contribution of the proposed project to this cumulative impact is however not considered significant, given the short duration, and spatial extent of the intended mineral exploration activities.
- **Use of water**: While the contribution of this project will not be significant, mitigation measures to reduce water consumption during exploration are essential.

## Mitigations and Recommendations for Rehabilitation

The rehabilitation of explored (disturbed) sites will include but not be limited to the following:

- Backfilling of trenches and or pits in such a way that subsoil is replaced first, and topsoil replaced last.
- Closing off and capping of all exploration drilling boreholes. The boreholes should not only be filled with sand alone, as the wind may scour the sand and re-establish the holes.
- Carrying away all waste generated from the site.
- Transporting all machinery and equipment as well as vehicles to designated offsite storage facilities.

#### 8 RECOMMENDATIONS AND CONCLUSION

#### 8.1 Recommendations

The potential positive and negative impacts of the proposed exploration activities on EPL No. 9238 were identified and assessed and appropriate management and mitigation measures (to negative impacts) were made thereof for implementation by the Proponent, their contractors, and project-related employees.

Mitigation measures for identified issues have been provided in the Environmental Management Plan, for the Proponent to avoid and/or minimize their significant impacts on the environmental and social components. Most of the potential impacts were found to be of medium-rating significance. With effective implementation of the recommended management and mitigation measures, a reduced rating in the significance of adverse impacts is expected from Medium to Low. To maintain the desirable rating, the implementation of management and mitigation measures should be monitored by the Proponent directly, or their Environmental Control Officer (ECO). The monitoring of implementation will not only be done to maintain a low rating but also to ensure that all potential impacts identified in this study and other impacts that might arise during implementation are properly identified in time and addressed right away.

The Environmental Consultant is confident that the potential negative impacts associated with the proposed project activities can be managed and mitigated by the effective implementation of the recommended management and mitigation measures and with more effort and commitment put into monitoring the implementation of these measures.

It is, therefore, recommended that in the case of granting an ECC for this project, the proposed prospecting and exploration activities may be granted an ECC, provided that:

- All the management and mitigation measures provided in the EMP are effectively and progressively implemented.
- All required permits, licenses, and approvals for the proposed activities should be obtained
  as required. These include permits and licenses for land use access agreements to
  explore and ensure compliance with these specific legal requirements.
- The Proponent and all project workers and contractors must comply with the legal requirements governing the project and ensure that all required permits and or approvals are obtained and renewed as stipulated by the issuing authorities.
- Site areas where exploration activities have ceased are rehabilitated, as far as practicable, to their pre-exploration state.

Max Kefas Nuseb: EPL No. 9238 59

#### 8. 2 Conclusion

It is crucial for the Proponent and their contractors to effectively implement the recommended management and mitigation measures, to protect the biophysical and social environment throughout the project duration. This would be done to promote environmental sustainability while ensuring a smooth and harmonious existence and purpose of the project activities in the community and environment at large. It is also to ensure that all potential impacts identified in this study and other impacts that might arise during implementation are properly identified in time and addressed accordingly. Lastly, should the ECC be issued, the Proponent will be expected to be compliant with the ECC conditions as well as legal requirements governing mineral exploration and related activities.

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