

CHAPTER 7:

**ENVIRONMENTAL
IMPACT ASSESSMENT**

FINAL REPORT



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7.1 INTRODUCTION

The potential impacts associated with the dredging of marine phosphates from Mining Licence Area have been established during consultation with the specialist consultants, further, potential impacts were raised through the public consultation phase of the EIA¹. The terms of reference for the work undertaken by the Specialist Consultants were modified where relevant to accommodate for additional concerns raised by I&APs.

These Specialist studies are:

- **Fish and Fisheries**, Seabirds and Marine Mammals. Undertaken by *Mr. D Japp of Capricorn Fisheries Monitoring cc* – dave@capfish.co.za
- **Assessment of potential Impacts on marine life arising from changes to marine water quality**. Undertaken by *Dr R Carter of Lwandle Technologies (Pty) Ltd.* – robin@lwandle.co.za
- **Marine Benthic Specialist Study** for a proposed development of Phosphate deposit in the Sandpiper Mining Licence Area (ML 170) off the coast of Central Namibia. Undertaken by *Dr N Steffani of Steffani Marine Environmental Consultant* – nina@steffanienviro.co.za.
- **Jellyfish** in the environs of the proposed dredging of phosphate deposits in the Sandpiper Phosphate Mining Licence Area (ML 170) off the coast of Central Namibia. Undertaken by *Prof. M Gibbons of Department of Biodiversity and Conservation Biology, University of the Western Cape* – mgibbons@uwc.ac.za

These specialists were required to assess the potential impacts, rate their significance, recommend achievable mitigation objectives to reduce the effects of (negative) impacts and to recommend monitoring practices to assess the effectiveness of mitigation. The full text of the four specialist studies are presented in Appendix 1. It is recommended that the full text of the respective study is read.

In addition to the above specialists, Dr J David and independent consultant has provided a review, titled “A Brief History of the Namibian Fishery”. This is presented in Appendix 3, with sections extracted for inclusion in this Chapter, refer to section 7.4

7.2 THE ASSESSMENT PROCEDURE AND THE DETERMINATION OF SIGNIFICANCE

To ensure for consistency in the evaluation of the impacts the specialists were provided with a set of definitions to apply for their determination of the significance of the impacts. In consultation with the specialists the set of definitions was revised from that detailed in the scoping report. This was done so as to accommodate for the extreme scales of impacts as identified during their

¹ Reported in the Scoping Report, submitted to MME and MET in December 2011.

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investigations. The specialists in the evaluation of the impacts apply the revised definitions. The impact assessments are based on the professional opinions of the specialists, fieldwork and desk top analysis (available information). The definitions are identified as robust and in line with commonly applied impact criteria used in Southern Africa and as recognised internationally.

The following methods have been used to determine the significance rating of impacts identified in this specialist study:

1. Description of impact - reviews the type of effect that a proposed activity will have on the environment;
2. What will be affected; and
3. How will it be affected.

Points 1 to 3 above are to be considered / evaluated in the context of the following impact criteria:

- *Extent;*
- *Duration;*
- *Probability;* and
- *Intensity / magnitude.*

These impact criteria are to be applied as prescribed in the table below:

Impact Criteria:						
Extent	Dredge Area Per vessel cycle i.e. ~66,000m ² or 6.6 ha	Annual Mining Area Up to 3 km ²	Specific Mine Site (SP1 or SP2) each is 22x8 km or 176km ²	Local 25-50 km or 2,000km ² - 8,000km ²	Regional 50-100 km or 8,000km ² – 30,000km ²	National 100 km to EEZ (200 nautical miles) ² 100 to 370 km, or >30,000km ²
Duration	Very Short Term 3 days	Short term 3 days – 1 year	Medium term 1 - 5 years	Long term 5 – 20 years	Permanent > 20 years (life of mine)	
Intensity/ Magnitude	No lasting effect No environmental functions and processes are affected	Minor effects The environment functions, but in a modified manner	Moderate effects Environmental functions and processes are altered to such extent that they <u>temporarily</u> cease	Serious effects Environmental functions and processes are altered to such extent that they <u>permanently</u> cease		
Probability	Improbable	Possible	Probable	Highly Probable/ Definite		

² 1 nautical mile = 1,85 kilometres

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The status of the impacts and degree of confidence with respect to the assessment of the significance are stated as follows:

Status of the impact: A description as to whether the impact is positive (a benefit), negative (a cost), or neutral.

Degree of confidence in predictions: The degree of confidence in the predictions, based on the availability of information and specialist knowledge. This had been assessed as high, medium or low.

Based on the above considerations, the specialist provides an overall evaluation of the significance of the potential impact, which is described as follows:

	None	Low	Medium	High
Impact Significance	A concern or potential impact that, upon evaluation, is found to have no significant impact at all.	Any magnitude, impacts will be localised and temporary Accordingly the impact is not expected to require amendment to the project design	Impacts of moderate magnitude locally to regionally in the short term Accordingly the impact is expected to require modification of the project design or alternative mitigation	Impacts of high magnitude locally and in the long term and/or regionally and beyond Accordingly the impact could have a 'no go' implication for the project unless mitigation or re-design is practically achievable

Furthermore, the following are being considered:

- Impacts are described both **before** and **after** the proposed **mitigation** and management measures have been implemented;
- Where possible the impact evaluation takes into consideration the **cumulative effects** associated with this project. Cumulative impacts can occur from the collective impacts of individual minor actions over a period of time and can include both direct and indirect impacts;
- **Mitigation / management actions:** Where negative impacts were identified, the specialists specified practical mitigation measures (i.e. ways of avoiding or reducing negative impacts); and
- **Monitoring (forms part of mitigation):** Specialists recommend monitoring requirements to assess the effectiveness of mitigation actions, indicating what actions are required, the timing and frequency thereof.

7.3 THE ASSESSMENT OF IMPACTS

7.3.1 The potential impacts associated with Marine Fauna and the Fishing Industry³

7.3.1.1 Introduction

This specialist study was undertaken to assess the possible impacts of the proposed mining of the phosphate resource on fish, fisheries, seabirds and marine mammals. Impacts are expected to occur during the development, actual operation and decommissioning stages.

The information included in this report includes the available scientific and other literature available in the region as well as with direct information gained from scientists specialising in particular areas of marine and fisheries interest. To evaluate the potential environmental impacts, fish survey data and commercial fishing data, from the Namibian Ministry of Fisheries and Marine Resources (MFMR) were used to show the distributions of fish and fishing effort in relation to the Mining Licence Area (MLA) or ML-170. The distribution maps were created in ArcGIS 9 (refer to Figure 18 and onwards) to show the position of the MLA and the target dredging areas (SP-1, SP-2 and SP-3) relative to the different fishing sectors as well as numerous other data to help identify the impact of the proposed dredging.

The mining licence (granted for 20 years) covers an area of 2233 km². The company proposes to recover 5.5 Mt of phosphate enriched sediments from an area of approximately 3 km² annually, this is an area of 60 km² over the granted period of the mining licence. These sediments are to be recovered from within the target mine areas of the mineral resource which are described by SP-1 (Sandpiper-1), SP-2 (Sandpiper-2) and SP-3 (Sandpiper-3), SP-1 and SP-2 are each of 22 x 8 km (176 km²), SP-3 is 6 x 11 km (66 km²), and are the focus areas from which sediments will be recovered using *Trailing Suction Dredge Technology*.

To quantify the extent of the impacts resulting from phosphate dredging on fish, fisheries, marine mammals and seabirds we used six impact zones *viz*:

1. Within the MLA (including target dredging areas SP-1, SP-2 and SP-3),
2. The MLA (whole area inclusive of SP-1, SP-2 and SP-3)
3. Zone 1 : From MLA margin to 25 km boundary),
4. Zone 2 : Local (25 -50 km),
5. Zone 3 : Regional (50 -100km) and
6. Zone 4 : National (>100 km)

³ Refer to Appendix 1a for the full text of the specialist report.

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7.3.1.2 Overview of affected environment

The displacement of the commercial fishing activities and the redistribution, survival and recruitment of ecological important fish species, seabirds and mammals could be influenced by the dredging of phosphate in several **direct** ways. For example:

- **Exclusion of fishing to avoid dredging, and the loss of potential fishing grounds**

We assume that if phosphate dredging proceeds fishing activities will be limited to certain areas in the MLA during the dredging operations because of the physical nature of phosphate dredging (habitat removal) and increased levels of maritime traffic. This means that the whole MLA will not be restricted to fisheries and only the areas around SP-1 in the first phase will be closed (and areas within any imposed maritime safety limitations). Fishing effort will certainly be displaced for the full term of the dredging inside the MLA and around SP-1 in the first phase. In the whole of the “Mine Site” area (which is the area that includes the dredging location – SP1-3 and extending into the MLA and Zone 1 as we identify in Figure 18), fishing is unlikely to be completely excluded. Fishing vessel operations and maritime traffic are however expected to have to alter normal operations and or transits. In particular, fishing operations which may historically have followed specific trawl tracks will be affected. In this regard we have assumed that an average trawl is three hours long at 3.5 knots – or approximating 25 km. Based on this assumption it is reasonable to assume that fishing operations in general will have to be altered from the historical norm in the Mine Site area (that is up to and including the MLA and an area around the MLA with a radius of 25 km).

- **The removal of habitats (or disturbance of bacterial mats, if present) utilised by marine fauna.**

Demersal fish species live on the sea bottom and will be displaced by loss of habitat through the direct removal of substrate. The removal of the “giant” bacteria *Thiomargarita* and *Beggiatoa* is also a consideration (but not considered directly in this assessment).

- **The creation of sediment plumes (turbidity) that might affect species abundance (area avoidance, mortality, loss of feeding and spawning grounds etc).**

Recovery of marine phosphate deposits by dredging the seafloor may increase the amount of suspended nutrients in the surrounding sea water if soluble phosphate is present in the sediment pore water (Note: the phosphate ore to be mined is insoluble in sea water). When nutrients increase in the water column, the amount of phyto- and zooplankton possibly may change.

- **Loss of biodiversity through direct physical removal of fauna;**

This is a difficult impact to assess however it is an important consideration if unique species occur in the MLA that may result in the permanent loss of biodiversity (refer to Appendix 1a-5). Note that this specialist assessment only considers biodiversity in the context of ichthyofauna and is based only on the survey data provided by MFMR. This has obvious limitations in terms of biodiversity estimates as these surveys mostly focus on biomass assessments of commercial fisheries.

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Indirect effects may also occur such as:

- **Displacing the normal behaviour of seabirds and mammals due to the physical disturbance of the dredging activity (including noise from the dredging operation);**

Underwater sound can have a variety of effects on marine life, ranging from subtle to strong behavioural reactions such as startle response to complete avoidance of an area. In extreme instances it may create conditions that contribute to reduced productivity and effects on survival. Dredging sounds generally fall within the lower end of the frequency ranges although insufficient knowledge exists to confidently predict at what levels sound can cause injury, such as hearing damage or communication interference. The impact of the dredging operations physical presence is also a consideration, in particular the use of deck lights which can result in seabird interactions and potential mortality.

- **Disturbance of normal trophic interactions and the general ecosystem functioning;**

This is a general consideration relating to the effect of dredging on the broader ecosystem, in particular the potential for the removal or disturbance of parts of the ecosystem and the related cascade effects in the system. In this regard we can only generalize on impacts and risks focusing on possible trophic effects such as the removal of top predators, commercial fish species, and key species on which data are available. Note also that trophic effects on the ecosystem also apply to the broader ecosystem relating to other climatic and anthropogenic influences such as pollution, fishing and climate change. Due consideration must be given to the scale of the proposed dredging related to these other effects and the broader marine environment of the Benguela Current ecosystem.

7.3.1.3 Impact assessment

We have categorised our assessment into the different types of impacts for ease of interpretation. These include the likely impact of the proposed phosphate dredging on fishing, the ecosystem in general, on recruitment risk to fisheries, biodiversity (predominantly fish) and the likely impact of the dredging operations on seabirds and marine mammals.

Five primary impacts that have been assessed independently according to the significance rating and impact criteria provided are:

1. **Impact 1** : The likely impact of dredging **ON** commercial fisheries (hake and monk demersal trawl fishery, the hake longline fishery, the mid-water trawl fishery and the small pelagic purse seine fishery). The fishing sectors may not be able to operate effectively in the MLA and to a lesser extent in Zone 1 due to a) the disturbance caused from actual dredging operations; b) associated sediment plumes; c) exclusion zones around the dredging site; and d) increase levels of maritime traffic associated with the dredging operation;
2. **Impact 2** : The likely impact of dredging **ON** the main commercial fish species (hake, monk, horse mackerel, small pelagics, sole, orange roughy, snoek and bearded goby). The fish fauna is a critical component of the broader marine ecosystem and may be displaced and/or

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redistributed by the dredging operation primarily because of the a) actual dredging activities; b) habitat disturbance; and 3) sediment plumes (turbidity);

3. **Impact 3** :The likely impact of dredging **ON** the recruitment of commercially important species (hake, monk, horse mackerel and small pelagics). The dispersal and survival of juveniles, eggs and larvae will be affected by a) physical disturbance of the fishing grounds and b) sediment plumes (turbidity);
4. **Impact 4** : The likely impact of dredging **ON** the fish biodiversity. Dredging operations will result in a reduction or loss in biodiversity because of the a) actual dredging operations, b) the habitat destruction and c) sediment plumes; and
5. **Impact 5**: The likely impact of dredging **ON** seabirds and marine mammals. Dredging operations will cause the displacement and/or redistribution of seabirds and mammals due to a) noise pollution b) artificial light intensity and c) disturbance of normal ecosystem processes.

The evaluation of the impacts are presented in the tables below.

Nature of the impact	The impact on fishing operations of phosphate dredging on the main Namibian fishing sectors; a) hake trawl and b) hake longline, c) monk trawl d) horse mackerel mid-water trawl, and e) small pelagic purse seine fisheries. The fishing sectors will not be able to operate in certain areas due to 1) actual mining operations due to dredging operations and vessel activities, 2) associated sediment plumes 3) exclusion zones around the dredging site and 4) increase levels of maritime traffic associated with the dredging operation.
Extent	<u>MLA</u> - fishing operations will be affected in the MLA and beyond to within a 25 km boundary of the actual target dredging sites SP-1, SP-2 and SP-3.
Duration	<u>Long term</u> - the direct impact will cease once the dredging activity ends after 20 years (the period for which the mining licence is issued). Thereafter the recovery of the fishing grounds and fish abundance to levels prior to the commencement of dredging operations is expected to take up to 20 years (long term)
Intensity	<u>Serious effects</u> - significant impacts will occur for the duration of dredging in the MLA, moderate effects are expected to occur in the long term once dredging ceases (up to 20 years).
Probability	<u>Definite</u> - consequences will occur in all instances for the duration of dredging. Once dredging ceases consequences are expected to occur in some instances (moderate effects) within the MLA and persist at a reduced level in the long term within the 25 km boundary zone.
Status (+ or -)	<u>Negative</u> - the impact will result in a direct loss in fishing operations in MLA

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Significance (no mitigation)	<u>Medium</u> - the project design might require modification to accommodate certain fishing operations
Mitigation	Consider options to minimise impact on fishing operations for example options with respect to spatial and temporal area closures.
Significance (with mitigation)	<u>Medium to low</u>
Confidence level	<u>High</u> - the evaluation is based on good qualitative and quantitative, historical and current fisheries related data.

Nature of the impact	The impact of phosphate dredging on the ecologically important demersal and pelagic fish species. The impact will result in the redistribution and/or displacement of hake, monk, horse mackerel, sole, orange roughy, bearded goby populations and small pelagics because of 1) actual recovery activities due to dredging operations and vessel activities 2) habitat disturbances and the removal of substrate and 3) sediment plumes (turbidity)
Extent	<u>MLA</u> - demersal and pelagic fish species will be displaced or redistributed from inside the MLA and possibly from the surrounding areas into Zone 1.
Duration	<u>Permanent (>20 yrs)</u> - the impact will cease once the dredging activity ends after 20 years (the period for which the mining licence is issued) however fish recovery is expected to occur sooner
Intensity	<u>Moderate effects</u> - only a small fraction (compared to the regional extent) of fish inhabit the MLA and fish populations will recovery or settle in areas after dredging operations ceases however habitat destruction may cause a longer period of recovery particularly for monk and sole.
Probability	<u>Highly probable</u> - fish (and in particular demersal fish) are expected to move away from the dredging activity resulting in displacement of biomass
Status (+ or -)	Negative
Significance (no mitigation)	<u>Medium</u> - the duration of the impact is permanent but recovery of fish populations in the area may occur in the long term. The intensity is minor to moderate and the extent is confined to the MLA and Zone 1
Mitigation	In terms of the ecosystem as a whole there are no particular mitigation measure that can be implemented.
Significance (with mitigation)	Not applicable (no mitigation alternatives)
Confidence level	<u>Low to medium</u> - assumptions based on fish ecology is limited by the data available

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Nature of the impact	The impact of phosphate dredging on the recruitment of key commercial fish stocks a) hake b) horse mackerel c) monk and d) small pelagic species. The dispersal and survival of juveniles, eggs and larvae are effected by 1) physical disturbance of the fishing grounds and 2) sediment plumes (turbidity)
Extent	<u>MLA</u> - impacts on recruitment is restricted to areas inside the mining licence area and possibly the surrounding areas up to the 25 km impact zone
Duration	<u>Permanent (>20 yrs)</u> - the impact will only cease once the dredging activity ends after 20 years (the period for which the mining licence is issued)
Intensity	<u>Minor effect</u> - only a small fraction (compared to the regional extent) of juveniles and eggs and larvae occur in the MLA. Impacts will decrease in this area after dredging operations cease
Probability	<u>Improbable</u> - mass mortality of juveniles and eggs and larvae may occur under extreme circumstances but is highly unlikely
Status (+ or -)	Neutral
Significance (no mitigation)	Low
Mitigation	No practical mitigation measures are possible.
Significance (with mitigation)	Not Applicable (no mitigation)
Confidence level	<u>Low to medium</u> - assumptions based on fish ecology is limited by the data available

Nature of the impact	The impact of phosphate dredging on species diversity. Dredging operations will result a reduction or loss in biodiversity because of the 1) actual dredging operations and vessel activities, 2) the habitat destruction and the removal of substrate and 3) sediment plumes
Extent	<u>MLA</u> – impact on species diversity is restricted to areas inside the mining licence area (ML 170) and possibly the surrounding areas up to the 25 km buffer zone
Duration	<u>Permanent (>20 yrs)</u> - the impact will only cease once the dredging activity ends after 20 years (the period for which the mining licence is issued) and should persist for an indefinite period thereafter. If biodiversity is lost, the impact is permanent.
Intensity	<u>Minor effect</u> – biodiversity in the MLA is expected to be comparatively low. Loss of biodiversity in the MLA is likely although at the regional level the limited extent of the dredging locations is unlikely to cause permanent loss of biodiversity. Recovery of biodiversity in the specific area of extraction within the MLA once dredging has stopped is likely to be slow and will follow a natural process of ecological succession that is dependent upon the rate of recover of the substrate.
Probability	<u>Improbable</u> – consequence of diversity loss may occur under extreme conditions but are highly unlikely
Status (+ or -)	Negative
Significance (no mitigation)	Low – the impact on species diversity is not expected to influence project design provided the current area limitations are maintained. Expansion of dredging in the current or alternate lease areas without baseline monitoring of biodiversity and controls must be a prerequisite to the commencement of operations.
Mitigation	No practical mitigation measures are possible.

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Significance (with mitigation)	Not applicable (no mitigation)
Confidence level	Low to medium - assumptions based on marine biodiversity in the MLA is limited to the nature of the data available.

Nature of the impact	The impact of phosphate dredging on seabirds and marine mammals. Dredging operations might result in the displacement and/or redistribution of seabirds and mammals because of 1) disturbance of the ecosystem and availability of feed and 2) physical disturbance of the dredgers including noise pollution
Extent	<u>MLA</u> - impact on seabirds and mammals is restricted to areas inside the mining licence area (ML 170) and possibly the surrounding areas including Zone 1
Duration	<u>Medium term</u> – The impact on sea birds and mammals will be for the term of the exploitation. Occasional interaction between the dredging operations and mammals and seabirds is likely although the actual level cannot be determined without more specific information on these groups for the MLA and Zone 1. Once dredging ceases these groups will no longer be affected by the presence of the dredger although the alteration of behaviour of some species due to possible loss of foraging options cannot be determined.
Intensity	<u>Minor effects</u> - Trophic disturbances could have an impact on the behaviour of seabirds and marine mammals. Noise pollution is a consideration for marine mammals whose acoustic communications may be affected resulting in avoidance of the area. Night strikes of birds due to deck lights are likely.
Probability	Probable - consequences of trophic interaction disturbances and noise pollution is highly likely.
Status (+ or -)	Negative
Significance (no mitigation)	<u>Medium</u> – Most sea birds and mammal species found in the area will be affected but at a low level due to the limited extent of the dredging operations.
Mitigation	Maintain a bridge watch for large mammal species. Although the dredger will have limited manoeuvrability a protocol to limit interaction should be followed – in this regard JNCC guidelines are recommended. Lighting control to minimise night strikes of birds.
Significance (with mitigation)	<u>Low</u>
Confidence level	<u>Medium</u> - information based on seabirds and mammals was provided by scientific specialists, however spatial data is limited. Baseline applies to the whole Namibian coast for most bird and mammal species – confidence relating to impact in the actual MLA is therefore low.

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7.3.1.4 Mitigation measures and conclusions

Overall we emphasize that our assessment of fish resources, fisheries, marine mammals and birds is based on the best available data. In the case of fisheries, data have been provided by the Ministry of Fisheries and Marine Resources. The analyses undertaken using these data are aimed only at informing the EIA as best possible regarding the risks of the proposed dredging. We wish to stress also that with respect to the impact on commercial fisheries, our assessment equates more to a broad operational impact rather than an environmental one.

With respect to the risk assessment of the impact on the broader ecosystem, the assessment is “data poor” and inadequate to fully assess the likely impacts of dredging on the Namibian marine environment (including biodiversity and trophic ecology). We stress however that this uncertainty could apply equally to other exploited marine resources (such as fishing and diamond mining) where there is much uncertainty regarding ecosystem impacts and the extent to which anthropogenic activities (historical and current) may already have altered the marine environment.

Five critical impacts have been identified.

The impact on Namibian fisheries will vary depending on the fishing sector.

The operations of all fisheries will in some way, and at different levels of intensity, be impacted. Overall however the significance is considered to be negative and medium to low primarily because the area to be dredged (annually up to 3 km² and for the 20 year mining lifespan up to 60 km²) is a small fraction of the overall Namibian fishing grounds. This fraction may however increase significantly if dredging of this nature is to be expanded or alternative mine sites introduced.

Of the main commercial fisheries, the monk-directed trawl fishery will be most impacted. The species exploited (monk) prefers muddy/sandy substrate of which the dredging operation as proposed, will remove 60 km² for the duration of the mining licence. The monk-directed catch and effort data and trawling locations from 2005-2010 suggest that about 1% of the total monk fishing grounds in SP-2 will be lost, 0.08% in SP-3 and zero % in SP-1 i.e a direct impact in the actual dredged site. Further, 19.75 % of the monk fishing grounds in the MLA + Zone 1, may be indirectly impacted. The actual nature and intensity of the impact away from the actual mined sites cannot be definitively stated and is also likely to vary and be reduced in intensity further away from the dredging operation. The primary effects of the dredging operation is expected to be disturbance and removal of the preferred monk substrate resulting in either displacement of monk to adjacent ground or actual mortality of monk that may be caught in the dredging process.

Similarly the hake trawl and longline fisheries will also lose fishing grounds although this is unlikely to happen in the first phase of dredging as the data provided suggest effort in these fisheries has not been directed in the SP-1 dredging target area. Expansion of the dredging into the other areas (SP-2 and SP-3) will however increase the exposure of these fisheries to the dredging operations.

Of the other main fisheries, which include horse mackerel and other small pelagic species, the dredging area does not overlap significantly with the grounds fished (horse mackerel = 0.32% of

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catch taken in the MLA and 0.05% in SP-1). Further, unlike with trawling and demersal longling, the nature of the gear deployed by mid-water and purse seine, is such that these fisheries will be less impacted. The normal operations of these fisheries will however be affected by any statutory maritime exclusion safety zones around the dredging operations. Availability of small pelagic resources (such as horse mackerel and sardine) may also be affected by the likely sediment plumes created by the dredging operations. The nature of this impact cannot be definitively stated – it is assumed that these species are likely to avoid such plumes and will be displaced to adjacent areas.

Considering the impact of the proposed dredging on the broader ecosystem, in particular the fish fauna, the impact will on average be moderate. The activities will displace fish resources and remove essential habitat occupied by these fish resources (such as monk, gobies, hake and others). In particular, gobies have been identified as a key forage feeder in the dredging area and are also a key trophic species (bottom-level). There is therefore expected to be significant alteration of the ecosystem characteristics in the **immediate dredging area**. This alteration of the ecosystem will be very localised and is unlikely to impact the broader marine ecosystem. This rating (unlikely) assumes that the dredging is contained within the proposed areas inside the MLA and that the extent remains a very small fraction of the ecosystem in the Namibia Exclusive Economic Zone and of the total areas fished.

Any expansion of the dredging may significantly alter the potential to impact on the broader ecosystem.

With regard to the third impact identified, that is the impact on fish recruitment, we consider the impact to be low relative to the total recruitment area available to fisheries resources in Namibian waters. There is an obvious impact in the immediate area of the dredging which is serious and likely to be permanent (or at least > 15 years) – that is the physical removal and destruction of substrate. The expected low relative impact on recruitment to fisheries resources is however not equal for all fisheries. In particular, monk recruitment is likely to be impacted at a much higher level than other fisheries, although the significance and extent is difficult to state conclusively. Sediment plumes are not expected to significantly affect recruitment as, similar to the expected impact on fisheries, the dredging operation is proportionately a small fraction of known fishing grounds in the Namibian EEZ. Further, the plumes are likely to disperse quickly over a short distance. Data provided suggest that spawning and egg and larval abundance of the main exploited fish resources are not concentrated in or near the mining lease area. Hake juveniles (pre-recruiting sizes to the fisheries) are abundant in the depth range of the MLA, however their mobility will allow them to avoid the disturbed areas thus reducing possible mortality and recruitment to the hake fisheries (unlike monk that are less mobile). We stress that our data are based on the best available information (mostly surveys) that do not necessarily represent the biological situation throughout a full year.

With regard to the fourth impact identified, biodiversity – the impact in the immediate dredging area will be severe and will result in loss of flora and fauna. However we have no evidence to suggest that the dredging will result in a permanent loss of biodiversity, assuming there are no species unique to the area to be dredged. The approach here however should be precautionary since little is known of the biodiversity in the MLA.

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The final impact relates to seabirds and marine mammals. Dredging, although localised, will result in modification of behaviour of mammals and seabirds in the dredged area. Small marine mammals will most likely be attracted to the disturbed area, although this behaviour is unlikely either to persist or to be negative once operations cease. Large marine mammals, most of which are transient, will occur in the area but are also likely to avoid the dredging area due to the disturbance created by the dredging. Noise levels from the dredging may also affect mammal and bird behaviour, but we have no firm conclusion on this impact which requires a specialist response.

Seabirds will also interact with the dredging. The exact nature and extent of this interaction cannot be determined conclusively due to data paucity, but will certainly result in behavioural changes associated with the disturbance created by the dredging operations. For this reason we rate the impact on birds and mammals as negative but cannot judge the likely intensity or significance. Bird mortality associated with bird strikes will require mitigation.

With regard to maritime traffic in general and specifically the likely fishing vessel activity in or adjacent to the MLA. The monk fishers are expected to be the most active. To a lesser extent the hake trawl, horse mackerel, small pelagic and hake longline fisheries will also be active in the MLA and surrounding area.

- **Mitigation**

The information presented in this assessment has been provided by NatMIRC. The spatial assessment provided here is a first attempt, based on the information provided, to assess the risk associated with the proposed dredging for phosphates. We advise that there is a need for the establishment of a baseline for the MLA. This can be based on the available data, but where considered critical, additional data collected for a baseline prior to dredging commencing.

To mitigate loss of fishing grounds there are no realistic options in our view. The only possible exception is the accommodation of the needs of the monk fishery through a mutually agreed access operational plan.

For mammals and seabirds protocols for minimising deck light intensity should be introduced as well as following JNCC standards for minimising interactions with marine mammals (similar to those followed by seismic survey vessels).

- **Monitoring**

Due to the small scale of the proposed dredging operations in the context of the larger ecosystem and extent of the marine resources it is unlikely to be able to discriminate a clear signal relating to ecosystem change as a result of dredging (primarily due to variability within the ecosystem). In the short term both MFMR and the mining lease operator should establish appropriate monitoring line (s) through the Mining Licence Area to monitor the effects of dredging on a real-time basis (possibly coinciding with established surveys).

Given the number of industrial mineral EPLs that have been granted in the area between Walvis Bay and Lüderitz consideration should be given to requesting that the Benguela Current Commission incorporate into their Strategic Environmental Assessment of the mineral sector of the Benguela ecosystem a study of the potential impacts of dredging.

7.3.2 The potential impacts associated with the water column⁴

7.3.2.1 Introduction

Lwandle Technologies (Pty) Ltd. (Lwandle) has been commissioned by Namibian Marine Phosphate (Pty) Ltd to assess potential impacts of dredging for phosphates on the continental shelf off the central Namibian coast (called the Sandpiper Phosphate project).

The assessment into possible water quality effects was conducted as a desktop study based on the scientific literature topical to the proposed dredging project. In addition local experts were consulted on the anticipated biogeochemical implications of dredging the identified ore body. No primary data were acquired for the project or project area.

These data were analysed to assess environmental risks and potential impacts and to formulate recommendations for use in an environmental management and monitoring programme.

The proposed project and dredging method *inter alia* will:

- Affect seawater quality through re-suspension of sediments at the dredge head and discharge of lean water from the dredger's hoppers, possibly modifying dissolved oxygen distributions through either relocating hypoxic water in the water column or exposing anoxic pore water in the sediments. This can also apply to methane, hydrogen sulphides and contaminants that may be held within the dredge area sediments, and
- Import alien and/or noxious organisms into the region via ballast water discharges from the dredger on first entry to the project area.

Based on the specific environmental conditions that exist in the project area, and the proposed mining/dredging method and schedule, eleven potential impacts are assessed. These are:

- Pollution from discharged vessel wastes;
- Ecosystem disruption by alien species discharged with ballast water;
- Organisms adversely affected by suspended sediments in the water column;
- Toxicity from released hydrogen sulphide in the water column;
- Reduction in dissolved oxygen in the upper water column from introduced anoxic bottom waters;
- Increased nutrients promote phytoplankton growth and ultimately reduce dissolved oxygen concentrations;
- Trace metals (cadmium and nickel) discharged with the overspill affect organisms in the water column;
- Benthic organisms are exposed to remobilised cadmium and nickel in the dredge areas on the seabed;
- Benthic and/or demersal organisms are exposed to an increased flux of dissolved H₂S into the lower water column;
- Benthic and/or demersal organisms are exposed to anoxic sediments and lowered oxygen levels on the seabed; and

⁴ Refer to Appendix 1b for the full text of the specialist report.

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- Removal of thio-bacteria mats by dredging increases the flux of H₂S to the lower water column.

Ten of the eleven identified impacts are rated to be of low significance, at most, both before and after mitigation; the exception being the possibility of importing alien species in ballast water, which could be serious. However, the level of risk posed by the dredger releasing ballast water taken up from ports outside of the BCLME region is miniscule compared to the other shipping that may be discharging ballast water in Walvis Bay. Accordingly, this assessment does not identify any unique or significant environmental risks that may be generated by the proposed dredging project.

This assessment is based on a number of assumptions and is subject to certain limitations, which should be borne in mind when considering information presented, i.e.

- It is assumed that the project information provided was correct at the time of writing. In the event that project design changes significantly, further assessment may be warranted; and
- The data gaps are unlikely to have a significant bearing on the results of the assessment and the mitigation measures recommended in this report take account of any potential risks associated with any data gaps.

7.3.2.2 Overview of affected environment

Regional overview

Namibia and the west coast of South Africa is the eastern boundary to the Benguela Current Large Marine Ecosystem (BCLME), which lies between 15 - 37°S and 0 - 26°E (Shillington *et al.* 2006). The surface currents of the Benguela are generally equatorward, with vigorous coastal upwelling cells and strong equatorward shelf edge jets. Subsurface currents on the continental shelf especially below 100 m depth are consistently poleward (Shillington *et al.* 2006). Upwelling of cool nutrient rich water occurs throughout the Namibian continental shelf water and is generated by Ekman transport forced by the equatorward wind stress pattern of the Benguela system. A significant feature of the Namibian continental shelf is the presence of a mud belt about 740 km long in the inner and mid-shelf between Cape Frio and Conception Bay. Upwelling intensity is not uniform over the coastal area due to short term and seasonal differences in the wind regime and coastal topography.

Water circulation and currents

In the area of the proposed dredge site, waters shallower than 40 m have an overall northward flow, with maximum velocities occurring in austral summer. Poleward flow starts dominating as the water depth increases (Shillington *et al.* 2006).

Temperature and Salinity

The 14°C isotherm is generally located at 30-40 m in summer, and during years of more intense and sustained intrusion of the Angolan front, such as Benguela Niño years, this deepens to 90-100 m. Bartholomae and van der Plas (2007).

Below the thermocline, two central water masses can be identified, the oxygen depleted, nutrient rich SACW flowing south in the poleward undercurrent from the Angola gyre, and the less saline,

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relatively nutrient-poor ESACW from the Cape Basin (Shillington *et al.* 2006; Inthorn *et al.* 2006). In both these water bodies there is generally a significant oxygen deficit with the oxygen minimum zone intensifying closer to the shelf where the influence of the poleward flowing SACW is strongest.

In the summer the surface water temperatures can reach up to 20 - 21°C towards the end of summer and cool to about 12 - 14°C during the winter. At the base of the water column the temperature ranges between 8 °C and 11 °C.

Upwelling and Thermoclines

The prospective dredge area is situated on the northern edge of the main Lüderitz upwelling cell and south of the Walvis Bay upwelling cell which is situated at about 22 - 23°S. The northward flowing surface current feeds the cold, nutrient rich and highly productive water from the upwelling cell over and northwards of the Walvis Bay shelf. This high productivity becomes a major source of biogenic material for the mud belts in the northern Benguela and contributes to the hypoxic and anoxic water conditions characteristic of the central continental shelf in this region.

According to Boyd (1987), the thermocline off Namibia, between 22°S and 24°S is generally found between 15 - 25 m water depth in both winter and summer.

Nutrients

The shelf waters of the Benguela are characterised by elevated concentrations of nutrients in comparison with those in the surface mixed layer of the adjacent oceanic waters, this indicates that local regeneration processes within the water column are important throughout the Benguela, but particularly off Namibia (Shannon and O'Toole 1999). Surface water outside of the upwelling zones may be depleted in silicate which may become the growth limiting nutrient for diatoms (siliceous phytoplankton). In these waters phosphorus is sufficient to support phytoplankton production which is based on ammonium as a nitrogen source (Dittmar and Birkicht 2001).

Dissolved Oxygen

The subsurface waters for much of the Benguela Current system, in particular off Namibia, are naturally hypoxic (<3 ml/l), even anoxic at depth. The strength of the thermocline contributes to the formation and maintenance of the low oxygen waters as it inversely dictates the downward flux of oxygen to levels below that of the biogeochemical demand in the deeper waters (Monteiro and van der Plas 2006). Surface waters are generally normoxic with concentrations >5 ml/l, but there is a substantial decrease in oxygen concentrations to hypoxic conditions below 100 m water depth. These low oxygen concentrations extend over a large proportion of the Namibian continental shelf and are particularly evident over the mud belts.

Seafloor Sediment Properties

A major feature of the seabed sediments on the Namibian continental shelf is the longshore bands of high and low POM. Van der Plas *et al.* (2007) present data showing that the inshore high POM belt has a high percentage of particulate organic carbon and nitrogen, carbon/nitrogen

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ratios of 7-8, high percentage mud texture and is comparatively unconsolidated with a high water content. In contrast, low POM sediments have the expected low particulate organic carbon and nitrogen concentrations, carbon/nitrogen ratios of 9-10, a muddy sand texture and are relatively well consolidated with lower water content. Sediment pore water distributions mostly follow those of the sediment properties. Pore water ammonium and hydrogen sulphide ion concentrations were related to carbon/nitrogen ratios; the former being elevated below a threshold value of 10 while the latter was more restricted to sediments with ratios <8.

The important conclusions from the above derived by the authors is that the spatial extent of the benthic-pelagic coupling link for the formation of low oxygen bottom waters and associated fluxes of ammonium and hydrogen sulphide ions to the benthic boundary layer (and potentially higher in the water column) is limited to the inshore high POM mud belt at depths between 80 m and 140 m.

Details on surficial sediment properties in the actual mine areas are typically muddy sand with abundant shell material. The sand contains phosphorite pellets usually in the fine (125 µm) to medium (250 µm) particle size range.

Regional scale information on trace metals on the Namibian continental shelf appears to be restricted to the distributions derived by Calvert and Price (1970, cited in Chapman and Shannon 1985). The data show a consistent relationship between trace metal concentrations and elevated organic carbon concentrations. From this it can be inferred that the distribution of trace metal concentrations will follow that of the high POC mud belts and that concentrations outside of these will be relatively low. This is consistent with general and widespread observations on sediment trace metals in that they are largely associated with silt and clay sized particles and generally have lower concentrations in coarser sediments (e.g. ANZECC 2000).

Arsenic, chromium, cadmium, nickel and copper concentrations in the high POM mud belt may exceed the BCLME sediment quality guideline values and that cadmium and nickel exceed the defined probable effect level for toxicity to marine organisms. Unpublished trace metal data for the region held by MFMR confirm this but also show that cadmium and nickel may exceed the BCLME guideline concentration thresholds in muddy sand sediments offshore of the inshore mud belt.

Suspended Particulate Matter

Particle properties vary between the various nepheloid layers; in the surface nepheloid layer (SNL) they are 'fresh, large, biogenic particles' whilst in the intermediate nepheloid layer (INL) and bottom nepheloid layer (BNL) they are considered to be finer and contain more refractory material (Inthorn *et al.* 2006). The nepheloid layers are the main vectors for SPM transporting surface produced material to deposition areas in the nearshore mud belt and offshore of the continental shelf break.

Monteiro *et al.* (2005) report considerably higher SPM concentrations in the BNL for inner and outer continental shelf locations on the central Namibian continental shelf measured by moored instrumentation (optical backscatter sensors calibrated against filtered surface water samples). At their outer continental shelf break station (450 m depth) they recorded 34 turbidity events where SPM was in excess of 20 mg/ℓ and five events where SPM exceeded 100 mg/ℓ over a 180 day

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measurement period. At their inner continental shelf station located in the inshore high POM mud belt most of the measurements exceeded 20 mg/ℓ and for 56 days of the 180 day measurement period SPM concentrations exceeded 100 mg/ℓ. The highest concentrations measured at this site were 400-500 mg/ℓ.

Plankton

The BCLME supports primary production rates $> 300 \text{ g C/m}^2/\text{yr}$, making it one of the most productive marine areas in the world (Shannon & O'Toole 1998). The phytoplankton form the base of the pelagic trophic structure, while the heterotrophic zooplankton supply the dietary requirements for most of the small pelagic fish in the ecosystem such as sardines, anchovy and red-eye, and so in turn provide the energy needed to sustain larger fish, bird and mammal predator species.

Phytoplankton growth in off Namibia is driven by inorganic nutrients (nitrogen, phosphorus and silica) supplied to the continental shelf by upwelling. The high light, high nutrient conditions in the upper water column downstream of the upwelling cell allow the development of dense blooms of phytoplankton (e.g. Shannon and Pillar, 1986). Chlorophyll-*a* concentrations on the inner continental shelf in the Walvis Bay region attain $3\text{-}10 \mu\text{g}/\ell$ with peak concentrations generally within 30-40 km of the coast. Offshore of this phytoplankton biomass declines with cell counts $< 25\%$ of values inshore (Kruger 1983, cited in Shannon and Pillar 1986). Namibian continental shelf phytoplankton biomass varies in space and time depending on the state of upwelling, season and episodic invasions of the region by relatively oligotrophic Angola current water.

The Benguela is generally regarded as a diatom-dominated system. Diatoms are characteristic of turbulent, nutrient-rich upwelled water.

Zooplankton in the Benguela ecosystem is dominated by small crustaceans, with copepods and euphausiids being the most important groups for the remainder of the trophic structure in the BCLME. Copepods are numerically the most abundant and diverse group.

7.3.2.3 Impact assessment

Activities which need to be managed to reduce negative effects, as they are typically sources of potentially significant impacts on water quality, are:

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- exchange of ballast water at commencement of dredging campaigns;
- excavation of the seabed in the mine area(s) potentially releasing hydrogen sulphide, exposing anoxic sediments with associated modifications to dissolved oxygen distributions, mobilisation of trace metals and possibly nutrient enrichment;
- discharge of fines and water from the dredger hopper (= plumes) in dredger overspill; and
- disposal of wastes from regular vessel operations.

The evaluation of the impacts are presented in the tables below.

Nature of the impact	Potential deterioration in water quality from discharges to sea of wastes such as oily water, sewage, food, grey water, from the dredger.
Extent	Within the actual dredge area per event (~6.6ha)
Duration	The effects of the event are “very short” because normal mixing would rapidly dilute the discharge material
Intensity	No lasting effect, because effects will not be measurable.
Probability (of pollution)	Possible
Status	Negative
Significance (no mitigation)	None
Mitigation	Ensure vessel discharge systems are in good working order and do not malfunction.
Significance (with mitigation)	None
Confidence level	High

Nature of the impact	Alien marine species may displace indigenous species and reduce indigenous biodiversity and/or affect aquaculture and/or aquaculture products.
Extent	National: introduced aliens can spread throughout central and northern Namibia (from Lüderitz upwelling cell to the Angola Benguela front).
Duration	Unknown, depends on the introduced organisms but likely to be very long term or permanent when an introduced alien becomes invasive
Intensity	None to serious. Unknown, depends on behaviour of the introduced organisms.
Probability	Possible (i.e. it can occur)
Status	Negative
Significance (no mitigation)	Can be high – ecosystem changing
Mitigation	Follow IMO guidelines on ballast water management
Significance (with mitigation)	None. (Alien introductions would become “improbable” but if introductions were to occur the consequences (significance) would still be high).
Confidence level	High

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Nature of the impact	Dredging generates plumes of suspended sediments that adversely affect organisms in the water column
Extent	Dredge Area - >20mg/ℓ suspended sediment concentration
Duration	Very short term – plume disperses within 1-2 days
Intensity	No lasting effect – within water quality guidelines for suspended sediment (chronic effects ensue after 3 days exposure to >20 mg/ℓ)
Probability	Possible
Status	Negative
Significance (no mitigation)	Low
Mitigation	Built in, with discharge below dredger’s hull (10-15 m below sea surface)
Significance (with mitigation)	Low
Confidence level	High

Nature of the impact	Sulphidic sediment pore-water entrained in the dredged sediment is discharged with the over-spill water thereby affecting organisms in the water column
Extent	Dredge area – the amount of H ₂ S entrained will be minimal due to predicted low concentrations in the target dredge sediments.
Duration	Short term – because entrained H ₂ S will de-gas in the dredger hopper (turbulence) and rapidly dilute if released to the upper water column; however if toxicity effects do occur recovery periods can be longer than 3 days but definitely less than 1 year.
Intensity	Minor effects – there may be short term toxicity effects on plankton (regeneration rates for plankton are days to weeks)
Probability	Possible
Status	Negative
Significance (no mitigation)	Low
Mitigation	None possible
Significance (with mitigation)	Low
Confidence level	Medium – the assessment relies on a prediction of a low H ₂ S concentration in the target dredge area sediments.

Nature of the impact	Hypoxic/ anoxic bottom water is entrained in the discharged overflow water so reducing dissolved oxygen concentrations in the upper water column where it can affect organisms.
Extent	Dredge area
Duration	Very short – as mixing will reduce the oxygen debt.
Intensity	No lasting effect – in a worst case scenario approximately 31 680m ³ of anoxic water may be discharged along a 4 km long dredge path during dredging. This will be mixed into approximately 5x10 ⁶ m ³ of normal

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	oxic water. Mixing factors are therefore <1%; and dissolved oxygen concentration reductions will be negligible (<0.1ml/ℓ). Such levels are not generally measurable at sea.
Probability	Improbable
Status	Negative
Significance (no mitigation)	None
Mitigation	N/a
Significance (with mitigation)	None
Confidence level	High

Nature of the impact	Increased availability of nutrients (ammonium and phosphorus) promote phytoplankton growth. Following senescence, the phytoplankton will add to the POM flux to the seabed eventually further reducing dissolved oxygen concentrations through remineralisation
Extent	Dredge area
Duration	Short term
Intensity	No lasting effect (silicate is probably the limiting nutrient for diatoms)
Probability	Possible
Status	Neutral
Significance (no mitigation)	None
Mitigation	None possible
Significance (with mitigation)	None
Confidence level	Medium – due to there being no nutrient data specific to the proposed dredging areas

Nature of the impact	Trace metals (cadmium and nickel) bound in the dredged sediment are discharged with the over spill water thereby affecting organisms in the water column.
Extent	Dredge area – the affected area would be that of the suspended sediment plume.
Duration	Short term – equivalent to the life of the plume.
Intensity	Minor effects – there may be short term toxicity effects on plankton specifically from cadmium (240 hr EC ₅₀ equals >1000 µg/ℓ). Regeneration rates for plankton are days to weeks.
Probability	Possible but unlikely due to required exposure periods being much longer than the predicted plume durations (< 40 hours) as the 240 hr EC ₅₀ concentration is >1000 µg/l).
Status	Negative
Significance (no mitigation)	Low
Mitigation	None possible
Significance (with mitigation)	Low

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Confidence level	Medium – due to there being no trace metal data specific to the proposed dredging areas
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Nature of the impact	Trace metals held within the target dredge area sediments are remobilized; they become bio-available through exposure to the overlying water during dredging with deleterious effects on filter and/or deposit feeding benthos.
Extent	Annual dredging area
Duration	Short term – bio-availability will reduce with time as trace metals become bound into the sediments again.
Intensity	Minor effect - the toxicity risk is from cadmium and /or nickel. Concentrations are below the probable effects level and therefore the risks of toxicity effects are considered to be low as is the potential for bio-magnification in the food chain.
Probability	Possible
Status	Negative
Significance (no mitigation)	Low
Mitigation	None possible
Significance (with mitigation)	Low
Confidence level	Medium – due to there being no trace metal data specific to the proposed dredging areas

Nature of the impact	Sulphidic sediment pore-water is exposed by dredging, and the flux of dissolved H ₂ S into the lower water column is increased, so affecting benthos.
Extent	Dredge area –the amount of H ₂ S released will be minimal due to predicted low concentrations in the target dredge sediments.
Duration	Medium term –pulses of H ₂ S escaping from the trench walls will be extremely short term with toxicity effects on benthos being experienced over benthos life cycles.
Intensity	Moderate effects
Probability	Possible
Status	Negative
Significance (no mitigation)	Low
Mitigation	None possible
Significance (with mitigation)	Low
Confidence level	Medium – the assessment relies on a prediction of low H ₂ S in the target dredge area sediments.

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Nature of the impact	Exposure of anoxic sediments by dredging reduces the already low concentrations of oxygen that occur in the lower water column so affecting resident biota, primarily benthos.
Extent	Annual dredging area – it is expected that oxygen distributions that existed prior to dredging would re-establish themselves with time, and the effects on benthos will diminish.
Duration	Medium term
Intensity	Minor effects – The area is already identified as being hypoxic and therefore any additional effects from dredging will be relatively small.
Probability	Possible
Status	Negative
Significance (no mitigation)	Low
Mitigation	Not possible
Significance (with mitigation)	Low
Confidence level	High - the supporting evidence about sediment properties in the target dredge areas is robust.

Nature of the impact	Removal of thio-bacteria mats by dredging increases the flux of H ₂ S to the lower water column.
Extent	Dredge area – the footprint of physical disturbance.
Duration	Long term – the overall amount of H ₂ S in the dredge furrow sediments has been reduced and requires significant POM flux re-establish itself; only then could the thio-bacteria return.
Intensity	Minor effects
Probability	Possible – Thio-bacterial mats have been observed at similar depth ranges to the proposed dredging areas so despite predicted low H ₂ S flux rates there can be a net supply of this compound to the lower water column until re-establishment.
Status	Negative
Significance (no mitigation)	None
Mitigation	n/a
Significance (with mitigation)	None
Confidence level	Medium – the assessment relies on a prediction of low H ₂ S in the target dredge area sediments.

7.3.2.4 Mitigation measures and conclusions

Ten of the eleven impacts assessed are rated low (at the highest), both before and after mitigation; the exception to this is the potential consequences of the possible import of alien species which could be serious if one or more should become invasive. However the risk presented by the infrequent import and release of ballast water taken up from ports outside of the BCLME region by the dredger is miniscule compared to the other shipping that may be

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discharging ballast water in Walvis Bay. Accordingly this assessment does not identify any unique or significant environmental risks that may be generated by the proposed dredging project.

The confidence levels in the impact assessments show that there is some uncertainty about the biogeochemical properties of the sediments in the proposed dredging areas. This should be resolved by investigations specific to the dredging areas either prior to commencement of dredging or in its early/initial stages.

The proposed 4 km long dredging tracks in ~200 m water depths are unique in terms of monitoring investigations on overspill plume characteristics and behaviour. Therefore field investigations into these using combinations of ADCP (backscatter) coverage, multi-parameter CTD profiling and water sampling need to be conducted at intervals over at least the first years of dredging operations. If these investigations show that these impacts are more severe than predicted herein, then real-time controls on e.g. exceedances of turbidity, dissolved oxygen, H₂S thresholds etc., should be established to manage the dredging phase.

Finally, as required in terms of the International Convention for the Prevention of Pollution from Ships 73/78 (MARPOL), monitoring and systematic record-keeping of all waste streams on the dredger shall be done and ballast record books kept.

7.3.3 The potential impacts associated with Benthic communities⁵

7.3.3.1 Introduction

Namibian Marine Phosphate (Pty) Ltd (NMP) has been awarded a 20-year mining licence (ML170), which is located on the Namibian continental shelf offshore Conception Bay in water depths ranging from 180 to 300 m covering a total area of 2233 km². Within the mineralized resource zones of the licence area, (also named Sandpiper licence area), three target areas have been identified, i.e. Sandpiper-1 (SP-1), 2 (SP-2), and 3 (SP-3) and are evaluated. SP-1 is in the north of ML170 in water depth from 190-235 m and SP-2 is in the centre in depth 245-285 m, both target areas are 22 km long x 8 km wide. SP-3 in the south of ML 170, in water depth from 235-270 m, the target area is 11 km x 6 km wide. NMP is proposing to dredge the uppermost 1-<2.5 m (up to 3 m) of the seafloor in these target areas to recover phosphate rich sediments for use as fertilizer. The export target for the Sandpiper Phosphate project is 3 million tonnes (Mt) of 'rock phosphate' per annum, which requires the dredging of 5.5 Mt of marine sediments.

The most immediate effect of dredging is the loss of benthic organisms by the removal of the substratum, with the important consideration of:

- The loss of benthic communities through removal of sediment during the dredging process;
- The effects of sediment removal on (re-)colonisation and recovery rates of impacted communities;
- Change in sediment characteristics due to dredging;
- The potential indirect effects of the loss of benthic communities on demersal fish in the area;

⁵ Refer to Appendix 1c for the full text of the specialist report.

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- The effects of re-deposition of suspended material; and
- Release of nutrients by dredging and its direct/indirect effect on benthic communities, and release of hydrogen sulfide from sediments during dredging.

Other specific concerns voiced during the Public Participation Process and summarised in the Scoping Report are:

- The removal of mats of large sulphur-oxidising bacteria and associated recovery rates; and
- The possible proliferation of bacteria in an anaerobic environment, specifically the botulism causing bacterium *Clostridium botulinum*, and its subsequent contamination of fish and other wildlife (and possibly humans).

7.3.3.2 Overview of affected environment

Typical of coastal upwelling systems, the central Namibian shelf is characterised by the occurrence of natural shelf hypoxia, which is referred to as the oxygen minimum zone (OMZ). On the Walvis Bay margin, there are two shelf breaks at about 150 m and 300-400 m depths, which effectively divide the shelf into an inner and outer shelf. A significant feature of the central Namibian inner shelf is an extensive mud belt comprising organically rich diatomaceous oozes originating from planktonic detritus, which extends over 700 km in an N-S direction in approximately 50-150 water depth. The mud belt is characterised by severe hypoxic and often anoxic conditions and high toxic hydrogen sulphide (H₂S) concentrations in the upper sediment layers that support extensive mats of large sulphur-oxidising bacteria that reduce the flux of H₂S into the water column by oxidising sulphide to sulphur with nitrate to obtain energy. Occasional H₂S eruptions from gas pockets contained in the thickest parts of the mud belt (>8 m) can spread over large areas with disastrous effects on fish and other marine life.

Put into a regional context, ML170 and specifically the two target mine areas, are located in a generally sandy environment on the outer shelf beyond the inner shelf break, and thus offshore of the diatomaceous mud belt and south of a mid-shelf belt high in organic matter. As ascertained from the available literature, organic matter as well as nutrient concentrations in the sediments of the target areas are likely to be relatively low, which is a result of relatively strong bottom currents in this region, preventing the deposition of fine material. The target phosphorite deposits in the licence area are pelletal phosphate sands of Miocene age that are geographically distinct and have a different origin than the concretionary phosphorite that presently forms in the diatomaceous mud belt. Furthermore, the licence area lies at the southern offshore fringe of the OMZ, with perennial low dissolved oxygen levels (<0.5 ml/l) at the bottom but typically not anoxic conditions. Hydrogen sulphide pore water concentrations, H₂S fluxes from the sediments and H₂S bottom water concentrations are likely to be very low, but it cannot be excluded that H₂S concentrations in deeper sediments (>50 cm) may be higher.

Despite oxygen depletion, specialised benthic assemblages can thrive in OMZs and many organisms have adapted to low oxygen conditions by developing highly efficient ways to extract oxygen from depleted water. Within OMZs, benthic foraminiferans, meiofauna (animals between 0.1-1 mm), and macrofauna (>1 mm) typically exhibit high dominance and relatively low species

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richness. Macrofauna and megafauna (>10 cm) often have depressed densities and low diversity in the OMZ core, where oxygen concentration is lowest, but they can form dense aggregations at OMZ edges. Body size seems to be very important as small organisms are best able to cover their metabolic demands in the OMZ, and besides adaptation to low oxygen often have a capability to conduct anaerobic metabolism. Meiofauna may thus increase in dominance in relation to macro- and megafauna. Nonetheless, although small organisms prevail, the species inventory of OMZs comprises the whole range between micro- (>0.1 mm such as bacteria) and megafauna. Very little is known about the benthic fauna specific to the Namibian OMZ. Data from a macrofauna baseline survey in SP-1 have shown that overall species richness of the benthic macrofauna assemblages was relatively low and strongly dominated by polychaetes particularly the spionid polychaete *Paraprionospio pinnata*, which is the dominant species found worldwide in oxygen-constrained environments. Crustaceans, on the other hand, were both in terms of abundance and biomass very poorly represented. The phyla distribution is generally in common with other OMZs around the world. Most species found in the study area have a larger geographical distribution and/or have been recorded elsewhere from the Namibian and/or South African west coast. No data exist on meio- or microfauna (bacteria) composition in the target areas, but evidence from published data strongly suggests that concentrations of large sulphur-oxidising bacteria in the target areas are likely to be very low, if present at all.

An assessment of the risks associated with the dredging activity identified nine potential negative impacts on the benthic biota in the two target areas or beyond. Of these, two impacts are considered to be of medium significance, six of low significance, and one is assessed as having no significance.

7.3.3.3 Impact assessment

Dredging is destructive in nature and therefore no positive impacts on the biophysical environment are expected. Impacts on the benthic communities from the proposed Sandpiper Phosphate Project are only expected during the operational phase of the project (but may extend beyond the closure of the project).

The evaluation of the impacts are presented in the tables below.

<i>Nature of the impact</i>	The removal of the upper 1-<2.5 m (possibly up to 3 m) of sediment by dredging will result in the loss of the benthic biota associated with the sediment. The exposed sediments are likely to be different to the original superficial deposits, and sediment refill rates at this depth are likely to be very slow. Colonising assemblages are likely to differ to those present prior to the dredging activity.
<i>Extent</i>	<u>Specific mine site</u> - the loss of the benthic community is restricted to the dredged-out areas. Target areas are 22 x 8 km in size but only a maximum of 3 km ² per annum will be mined, which amounts to a total of 60 km ² after 20 years of mining (the period for which the mining licence is issued).
<i>Duration</i>	<u>Permanent (>20 years life of mine)</u> - the recovery to the original community is likely to take longer than the life of mine or even may not be achieved in a meaningful time-scale. Recovery to functionally similar communities that provide similar ecosystem services as the original communities might, however, occur sooner (Long term).

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Intensity	<u>Moderate to serious effects</u> - recovery to the original community is likely to take very long (several decades, whereby beyond life of mine is classified as permanent), but recovery to a community providing similar ecosystem functioning is likely to occur sooner, e.g. environmental functions and processes are altered to such an extent that they temporarily cease.
Probability	<u>Definite</u>
Status (+ or -)	<u>Negative</u>
Significance (no mitigation)	<u>Medium</u> - the duration of the impact is permanent in view of recovery to original community but recovery to a different community but providing similar ecosystem services may occur sooner, and the intensity is moderate to serious but the extent is confined to the mine site, maximum of 60 km ² after 20 years of dredging.
Mitigation	Leave behind a residual sediment layer of at least 30 cm of the original deposit thickness to cover the clay footwall. Leave behind undredged trenches to enable migration of mobile organisms from these areas.
Significance (with mitigation)	<u>Medium</u> - the residual sediment layer will provide a substrate to be colonised by benthic organisms. Nonetheless, the recovering communities will be very different to those prior to dredging.
Confidence level	<u>Medium</u> - the assessment is based on assumptions that are arrived from publicly available data, while data directly from the target areas are limited. A monitoring programme is needed to confirm the assumptions.

Nature of the impact	Further exploration and environmental work will be conducted in the larger ML170 that will remove benthic biota.
Extent	<u>Dredge Area</u> – Gravity and vibro-cores are 6.5 in diameter, van Veen grab samples with an area of max. 0.2 m ² and larger grabs sample 3 m ² bite. The total area disturbed by these tools even after extensive exploration campaigns will be very small.
Duration	<u>Short term</u> – it is expected that slumping from the side of the holes will quickly fill in the disturbed area and migration from the adjacent area is fast.
Intensity	<u>No lasting effects</u> – recovery will be very fast as many animals will be transported into the disturbed area with the material slumping from the sides.
Probability	<u>Probable</u>
Status (+ or -)	<u>Negative</u>
Significance (no mitigation)	<u>None</u> – recovery will be very rapid and effects on the system will not be measurable.
Mitigation	No mitigation necessary
Significance (with mitigation)	<u>None</u> – recovery will be very rapid and effects on the system will not be measurable.
Confidence level	<u>High</u>

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Nature of the impact	The depth of the dredged area might change local near bottom hydrographical conditions and thus act as trap for very fine material. This could lead to high decomposition rates and consequently anoxic conditions and H ₂ S concentrations in the sediments.
Extent	<u>Specific mine site</u> - Target areas are 22 x 8 km in size but only a maximum of 3 km ² per annum will be mined, which amounts to a total of 60 km ² after 20 years of mining (the period for which the mining licence is issued).
Duration	<u>Permanent</u> - sediment refill rates are expected to be very low at the water depth of the target areas.
Intensity	<u>Moderate to Serious effects</u> - anoxic conditions are deadly for most benthic communities but large sulphur-oxidising bacteria can thrive under these conditions.
Probability	<u>Probable</u> – localised anoxic conditions may occur in the deeper trenches and pits.
Status (+ or -)	<u>Negative</u>
Significance (no mitigation)	<u>Medium</u> - duration is permanent and intensity moderate to serious, but extent is restricted to the mine area and large areas of the inner shelf are naturally subjected to anoxic conditions.
Mitigation	Leave behind a residual sediment layer of at least 30 cm, which will reduce the depth of the dredged-out area.
Significance (with mitigation)	<u>Low to medium</u> - a dredged depth of an average of 1.1-1.7 m (possibly up to 3 m) over a relatively large area is unlikely to reduce bottom current speeds to such an extent that very fine material will significantly accumulate in the dredge area.
Confidence level	<u>Medium</u> - the assessment is based on assumptions that are arrived from publicly available data, while data directly from the target areas are limited. A recovery survey is needed to confirm the assumptions.

Nature of the impact	Dredging removes mats of large sulphur-oxidising bacteria from the sediment surface and from the upper layer.
Extent	<u>Specific mine site</u> - Target areas are 22 x 8 km in size but only a maximum of 3 km ² per annum will be mined, which amounts to a total of 60 km ² after 20 years of mining (the period for which the mining licence is issued).
Duration	<u>Medium to long term</u> – the recovery of bacterial mats depends on the development of sufficient H ₂ S concentrations. This requires anoxic conditions that can only develop when high concentrations of organic matter accumulate in the dredge area. Although higher organic loading might be a possibility as the dredge area may act as trap, it will take a long time to build up enough material for anoxic conditions and high H ₂ S concentrations.
Intensity	<u>Minor to moderate effects</u> – the large sulphur bacteria are important in oxidising the toxic H ₂ S thereby reducing its diffusion into the water column. Their removal will disrupt this, on the other hand, the removal of the sediments will also remove any H ₂ S contained in the sediments, and H ₂ S fluxes from the dredge area are thus not expected unless the system turns anoxic. If this happens, the bacterial mats are likely to return.
Probability	<u>Improbable</u> – evidence from published data strongly suggests that offshore the mud belt at 24°S and beyond the 200-m isobaths concentrations of large sulphur bacteria are low or absent.

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Status (+ or -)	<u>Negative</u>
Significance (no mitigation)	<u>Low</u> – concentrations of large sulphur bacteria is assumed to be low or absent.
Mitigation	No mitigation necessary
Significance (with mitigation)	<u>Low</u> – concentrations of large sulphur bacteria is assumed to be low or absent.
Confidence level	<u>Medium</u> - the assessment is based on assumptions that are arrived from publicly available data, while data directly from the target areas are limited. An initial survey is needed to confirm the assumptions.

Nature of the impact	The anaerobic bacterium <i>Clostridium botulinum</i> type E might proliferate in the dredged area if the system turns anoxic, and may pose a health risk to humans and wildlife when entering the food chain.
Extent	<u>Specific mine site</u> - Target areas are 22 x 8 km in size but only a maximum of 3 km ² per annum will be mined, which amounts to a total of 60 km ² after 20 years of mining (the period for which the mining licence is issued).
Duration	<u>Short term</u> – if the system turns anoxic this will be of long term or permanent duration, but <i>C. botulinum</i> proliferation is linked to periodic massive die-offs of fish and other aquatic life that might occur during extreme events such as H ₂ S eruptions. Once bacteria proliferate they may enter the food chain by ingestion of contaminated sediments from the dredge area.
Intensity	<u>Serious effects</u> – botulism caused by the bacteria can be lethal to human and wildlife.
Probability	<u>Improbable</u> – no <i>in situ</i> contamination of fish populations by the bacterium has been reported for southern African fish populations. Literature data suggest that the distribution of the bacteria is limited in deeper saline waters. If the bacteria are a problem in Namibian waters, it is unlikely that the addition of 60 km ² of anoxic seafloor will add any measurable risk of bacteria proliferation to the already large areas of anoxic zone.
Status (+ or -)	<u>Negative</u>
Significance (no mitigation)	<u>Low</u> – proliferation of bacteria is assumed to be a rare probability
Mitigation	No mitigation necessary but this should not indemnify the fishing industry from complying with any regulations regarding <i>C. botulinum</i> contamination
Significance (with mitigation)	<u>Low</u> – proliferation of bacteria is assumed to be a rare probability
Confidence level	<u>Medium</u> – very little is known about the natural life-cycle of the bacteria and this assessment is based on data from the northern hemisphere.

Nature of the impact	High suspended sediment concentrations near the sea bottom generated by the drag head and subsequent re-deposition of the material causes smothering effects.
Extent	<u>Dredge area</u> – sedimentation effects will only be relevant along a narrow strip around the dredge site as any re-depositions inside the dredge area will have no impact since the animals are removed.
Duration	<u>Very short term</u> – smothering of a particular area occurs only during the dredging activity, maximum dredging activity per area is assumed to be <10 days for intermittent (16 hour-cycle) dredging.
Intensity	<u>Minor effects</u> – some organisms in the immediate vicinity of the dredge site may be impacted on a lethal level but the majority of impacts can be expected on a sub lethal level as many animals can cope with relatively high short-term suspended material concentrations.

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Probability	<u>Highly probable</u>
Status (+ or -)	<u>Negative</u>
Significance (no mitigation)	<u>Low</u> – very small extent, very short duration and low intensity
Mitigation	No mitigation necessary
Significance (with mitigation)	<u>Low</u> – very small extent, very short duration and low intensity
Confidence level	<u>High</u> – studies on draghead plumes have shown that the affected area is very small

Nature of the impact	Re-deposition of particles in the overflow plume causes smothering of benthic organisms, particularly in the depo-center on the continental slope
Extent	<u>Local to regional</u> – the fines (<63 micron) in the plumes may be transported for several kilometres but upon entering the nepheloid layer, material may be transported to the depo-center ~100 km south-west of the licence area. Significant deposition-thicknesses are, however, expected to occur only in the immediate vicinity of the dredge area.
Duration	<u>Very short term</u> – the overflow plumes will only be generated during dredging which occurs within a 37-hour dredge cycle for approx. 16 hours
Intensity	<u>Minor effects</u> – animals in the immediate vicinity of the dredge area may be affected by smothering, elsewhere sedimentation rates are expected to be very low.
Probability	<u>Probable</u>
Status (+ or -)	<u>Negative</u>
Significance (no mitigation)	<u>Low</u> – although widespread, re-deposition rates are expected to be low, and higher rates are limited to the immediate vicinity of the dredge area. Communities in the depo-center where higher settling rates may occur, are also likely to be adapted to sedimentation as this is a naturally high sedimentation area.
Mitigation	No mitigation necessary
Significance (with mitigation)	<u>Low</u> – although widespread, re-deposition rates are expected to be low, and higher rates are limited to the immediate vicinity of the dredge area. Communities in the depo-center where higher settling rates may occur, are adapted to sedimentation as this is a naturally high sedimentation area
Confidence level	<u>Medium</u> – assumed low sedimentation rates are based on a study conducted in slightly shallower waters of southern Namibia with different hydrographical conditions.

Nature of the impact	Dredging may mobilise dissolved nutrients from the sediments which could be released into the water column with the overflow. The increased nutrient level may result in extensive phytoplankton blooms, which upon death cause aggravated decomposition rates leading to anoxic conditions at the seafloor.
Extent	<u>Local</u> – the released nutrients will spread with the overflow plume
Duration	<u>Very short term</u> – the overflow plumes will only be generated during dredging which occurs within a 37-hour dredge cycle for approx. 16 hours
Intensity	<u>Minor effects</u> – literature data suggest that dissolved nutrient concentrations in the target areas are relatively low, which means that only low amounts of nutrients will be mobilised.
Probability	<u>Possible</u> – it is likely that some nutrients will be mobilised but it is unlikely that this will result in massive dying phytoplankton-blooms reaching the sea bottom in such locally dense concentrations that this will cause anoxic

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	seafloor conditions.
Status (+ or -)	<u>Negative</u>
Significance (no mitigation)	<u>Low</u> – due to potentially low dissolved nutrient concentrations in the target areas
Mitigation	No mitigation necessary
Significance (with mitigation)	<u>Low</u> – due to potentially low dissolved nutrient concentrations in the target areas
Confidence level	<u>Medium</u> - the assessment is based on assumptions that are arrived from publicly available data, while data directly from the target areas are limited. An initial survey is needed to confirm the assumptions.

Nature of the impact	Release of hydrogen sulphide from the sediments affects benthic communities
Extent	<u>Local</u> – released hydrogen sulphide may spread along the sea bottom affecting undredged areas and the associated biotic life.
Duration	<u>Short term</u> – the spread of hydrogen sulphide across the seafloor will be very short term and the gas will eventually mix with the seawater. The gas is, however, very toxic and will kill many animals in its path. Recovery of the benthic communities will be relatively rapid if hydrogen sulphide conditions are only temporary.
Intensity	<u>Moderate effects</u> – hydrogen sulphide is very toxic and will kill many animals but its presence is temporary.
Probability	<u>Probable</u> – literature data suggest that hydrogen sulphide concentrations in the near-bottom waters, pore waters and in the upper sediment layers in the target areas are very low. It can, however, not be excluded that deeper sediment layers may contain hydrogen sulphide. If hydrogen sulphide is present, it is presumably sucked up with the sediments and residual hydrogen sulphide at the seafloor will be minimal.
Status (+ or -)	<u>Negative</u>
Significance (no mitigation)	<u>Low</u> – hydrogen sulphide concentrations are assumed to be low, and the dredging process will also remove any gas contained in the sediments
Mitigation	No mitigation necessary
Significance (with mitigation)	<u>Low</u> – hydrogen sulphide concentrations are assumed to be low, and the dredging process will also remove any gas contained in the sediments
Confidence level	<u>Medium</u> - the assessment is based on assumptions that are arrived from publicly available data, while data directly from the target areas are limited. An initial survey is needed to confirm the assumptions.

7.3.3.4 Mitigation measures and conclusions

An assessment of the risks associated with dredging for phosphate rich-sediments in the two target areas in the ML170 area identified nine potential negative impacts on the benthic biota in the two target areas or beyond. Of these, two impacts are considered to be of medium significance, six of low significance, and one is assessed as having no significance. The impacts of medium significance are:

Impact - The removal of the upper 1-<2.5 m (possibly up to 3 m) of sediment by dredging will result in the loss of the benthic biota associated with the sediment. The exposed sediments are likely to be different to the original superficial deposits, and sediment refill rates at this depth are

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likely to be very slow. Colonising assemblages are likely to differ to those present prior to the dredging activity.

Impact - The depth of the dredged area might change local near bottom hydrographical conditions and thus act as trap for very fine material. This could lead to high decomposition rates and consequently anoxic conditions and H₂S concentrations in the sediments.

Although the mitigation measures will facilitate the colonising of the newly exposed sediments, and may reduce the risk of large areas of the dredged sites becoming anoxic, the significance will remain medium after mitigation. This is due to the very long time scales anticipated for the disturbed biota to recover to its original status and the expected low infilling rates at this water depth. Functional recovery, defined as recovery to a community that provides similar ecosystem functions to those of the original community despite being different in composition, is, however, likely to occur sooner.

In general, the confidence level in the assessments is medium, as most of the impact evaluations are based on assumptions that are derived from publicly available literature data, and data directly from ML170 are very limited. A survey is therefore critical to confirm these assumptions. In the case that the initial survey data reveal a substantially different habitat to that discussed in the environmental description, the impacts will need to be re-assessed.

As a result of the dredging operations to recover marine phosphate resources in ML170, trenches will be excavated in the seabed and the benthic biota associated with the sediments will be removed. The mine permit is issued for a period of 20 years, and at a maximum dredging rate of 3 km² per annum, this will lead to a dredged-out area of 60 km², primarily over SP-1, SP-2 and to a lesser extent SP-3. The dredge depth will be on average 1.69 m, 1.70 m, and 1.30 m for SP-1, SP-2 and SP-3, respectively. The maximum resource depths are 2.5 m, 2.25 m, and 1.85 m for SP-1, SP-2 and SP-3, respectively, as determined during exploration (possibly up to 3 m). These values may change as more information is obtained during the dredging operations. The phosphate layer contacts a clay footwall, whereby the total stripping of the phosphate resource would expose this footwall. The stiff clay footwall is less than ideal for small burrowing fauna, and it is strongly recommended that a residual sediment layer of 0.3 m of the original sediment thickness be left behind. This will provide unconsolidated soft-bottom substrate for animals to colonise. Nonetheless, it is expected that the residual sediment layer will have different sediment properties than the original surficial layers. Furthermore, if areas of undisturbed sediments are left between dredged furrows, colonisation of the dredged area by benthic organisms can be accelerated. Such undisturbed areas can provide an important source of adult benthic organisms, which subsequently migrate into the disturbed areas, enabling a faster recovery than might occur solely by larval settlement and growth.

As the dredging target sites are located at depths beyond the influence of surface waves, infilling rates will be slow as near-bottom sediment transport is expected to be low. It is recommended that high resolution geophysical surveys (e.g. side scan sonar) are conducted immediately after dredging, and 2-3 years post-dredging (and potentially at later years depending on the results) to determine the depth of the dredged trenches and the sediment infilling-rates.

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The deep trenches may potentially result in changes in the near-bottom current regime reducing the speed of the current so that deeper trenches and pits may act as traps for fine material. The residual layer left behind will reduce the overall depth of the dredged area, however, organic matter accumulation may still occur.

Most of the assessments on potential impacts on the benthos are based on assumptions that are arrived from publicly available data from areas outside the ML170 area while data directly from the target areas are very limited. A verification survey is needed to confirm the assumptions. This should include sampling of the macrofauna and/or meiofauna in the target areas, as well as the surveying of the areas for the presence of bacterial mats. Further aspects of the survey should include measurements of organic matter concentrations in the sediments, dissolved nutrients, and H₂S concentrations particularly in the deeper sediments. Surveying for bacterial mats could be done with a ROV. While macrofauna can be sampled with normal van Veen grabs, meiofauna would need to be sampled with more sophisticated grab tools such as a multi-corer. Measurements of dissolved nutrients and H₂S also require analytical equipment that is not available to NMP. It is thus recommended that specialist consultants or scientists be engaged to discuss the programme to collect such verification data.

Continuing from the initial assessment survey, the severity of the removal and destruction of benthic communities by the dredging process and the subsequent recovery (functional recovery) process need to be ascertained. A post-dredging benthic monitoring programme thus needs to be established. There is continuous debate whether such monitoring programmes should focus on macrofauna or on meiofauna, or both (e.g. Somerfield *et al.* 1995, Coull and Chandler 1998, Kennedy and Jacoby 1999, Schratzberger *et al.* 2001). Typically macrofauna is the preferred option as sample collection and species identification is comparatively easier (Kennedy and Jacoby 1999). In low-oxygen environments such as OMZs, however, body size seems to be very important as small organisms are best able to cover their metabolic demands in the OMZ, and besides adaptation to low oxygen often have a capability to conduct anaerobic metabolism. Meiofauna may thus increase in dominance in relation to macro- and megafauna (Levin 2003). Nonetheless, although small organisms prevail, the species inventory of OMZs comprises the whole range between micro- and megafauna and many macrofauna species have developed adaptations to cope with life in hypoxic habitats (Gonzalez and Quinones 2000, Levin 2003, Arntz *et al.* 2006).

The difficulty in conducting meiofauna monitoring surveys in comparison to macrofauna studies favours the use of macrofauna for long-term studies. An inventory of the meiobenthos component during the initial survey will shed light on its relative importance in the benthos. The question is whether macrofauna alone may not sufficiently answer any questions with regard to the severity of the impact and potential recovery time. By no means is this report attempting to give an undisputed answer to this, but the extensive use of macrofauna surveys for a wide variety of anthropogenic disturbances suggests that data on macrofauna composition and abundance should be able to shed light on it. Macrofauna is also routinely collected in studies on OMZ benthos (e.g. Levin and Gage 1998, Levin *et al.* 2000, 2009, Ueda *et al.* 2000, Gallardo *et al.* 2004, Arntz *et al.* 2006, Gooday *et al.* 2009, Zettler *et al.* 2009). The original baseline survey (Steffani 2010a) used a 1-mm sieve to separate the macrofauna from the sediment as this is the standard mesh size used in macrofauna surveys (Rumohr 2009). Studies on macrofaunal abundance in OMZs, however, often use smaller sieve sizes in anticipation that many macrofauna species will be

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generally smaller (e.g. Gallardo *et al.* 2004, Gooday *et al.* 2009, Levin *et al.* 2009). During the initial survey, a second set of samples could be collected for macrofauna using a 500 or 300-micron sieve. In the laboratory analysis procedure, the size fractions <1 mm and >1 mm should then be analysed separately to permit comparison to the baseline study and indicate the right mesh size for the long-term monitoring study. Sampling should be undertaken both before the start of operations, as well as at regular intervals after completion of dredging to determine the (functional) recovery rates of the benthic communities. The sampling interval can best be determined after the first post-dredging sampling campaign (approx. 2-3 years after cessation of dredging). Sampling stations should include dredged and undredged (reference) stations in comparable environmental habitats (e.g. similar depth and sediment characteristics prior to dredging). Included in the sampling procedure should be at least the sampling for sediment properties (i.e. grain size analysis) as well as near-bottom dissolved oxygen concentrations and organic matter content. Continuous engagement with the authorities could facilitate the measurement of other important parameters throughout the monitoring programme.

7.3.4 The potential impacts associated with Jellyfish⁶

7.3.4.1 Introduction

The following is a synopsis of the specialist study, the full report is presented in Appendix 1d.

Two species of large jellyfish are common off Namibia, *Chrysaora fulgida* (Scyphozoa) and *Aequorea forskalea* (Hydrozoa), both of which have metagenic life-cycles (an alteration between a small, benthic polyp phase that reproduces asexually to produce new medusae, and a large, free-swimming medusa phase, responsible for sexual reproduction and the eventual generation of polyps). Our understanding of the polyp-phase is non-existent, whilst our knowledge of the medusae is poor.

Jellyfish are members of the plankton, and as such their distribution in space and time reflects, to a large degree, the physical milieu. The biomass of these medusae is currently estimated to exceed that of fin-fish in the region. Medusae can be found along the coastline but are most common in the central area, inshore of the 200 m isobath. Whilst they occur throughout the water column, most of the biomass is concentrated in the upper 50 m: there is no clear evidence that populations display diel vertical migration. They are to be found throughout the year, but appear to peak in abundance during late winter/early spring. In other words, jellyfish occur at highest abundance in the same place and at the same time as many commercial fishes spawn, and are likely therefore to be having an indirect (as well as a direct, operational) impact on commercial fisheries.

There is strong, if circumstantial, evidence to suggest that the biomass of jellyfish has increased since the collapse of the pelagic fisheries off Namibia at the end of the 1960s and early 1970s. This is likely to reflect the formerly efficient predation by fish on newly released, and juvenile, medusae, as well as to changes in the fish populations that might feed on the polyps. In the

⁶ Refer to Appendix 1d for the full text of the specialist report.

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absence of this predation pressure, jellyfish populations have increased, to the point that they can now control fish recruitment through their voracious predation on fish eggs and larvae. Although large medusae have few direct predators (sunfish and turtles), they are not the trophic-dead ends previously considered, and they form a significant part of the diet of the bearded goby (*Sufflogobius bibarbatus*) – which in turn are important fodder for hake (*Merluccius* spp), horse-mackerel (*Trachurus trachurus capensis*) and assorted other higher predators.

Jellyfish do not occur commonly at depth thus there should be few problems of clogging at the drag-head. However, at the surface, where water will be drawn into the vessel for cooling (etc), they could cause a large problem for vessel activities. There are no “off-the-shelf” solutions to this and engineers will need to draw up their own strategies of dealing with the problem.

Jellyfish have no special tolerance of hydrogen sulphide and are likely to be killed if exposed to it for prolonged periods of time. They do, however, have a remarkable tolerance to low concentrations of dissolved oxygen (as medusae and polyps) and thus are likely to survive short periods of exposure to hypoxic waters.

7.3.4.2 Overview of affected environment

The liberation of large quantities of hydrogen sulphide by dredging, or natural release, has the potential to kill off any jellyfish present in the affected water column, as these organisms possess no special tolerance to this metabolic toxin, although both medusa and polyps are remarkably tolerant of hypoxic water (Purcell *et al.*, 2001; Condon *et al.*, 2001). The magnitude of the impact will obviously depend the numbers of animals moving through the license areas and the extent and intensity of the affected area.

The plume of fine sediment that will be generated in the water column during dredging operations has limited potential to be deleterious to individual jellyfish, with population level impacts being dependent on the numbers of animals moving through the license areas. That said, it must be stressed that NO research has been conducted in this area. The “fines” could settle out on individual jellyfish, but as the organisms have no specialized respiratory surfaces that will block, they should be able to continue swimming, and through swimming they should be able to rid themselves of settled particles. Whilst it could be argued that jellyfish might ingest particles in the tailing plume, this is considered unlikely. Firstly, the mechanism of prey capture is such that nematocysts will only discharge if stimulated by physical contact, and a “fines” particle is unlikely to so stimulate, though if it does the oral arm / tentacle is unlikely to transfer the particle to the mouth for subsequent digestion without further stimulation by the particle itself.

The removal of surficial sediments from the benthos, as a result of dredging operations, will alter the nature of the seabed environment. Whilst this has no impact on jellyfish in the water column, it could increase the area suitable for polyp attachment should large areas of hard substrata be exposed. That said, polyps of other species seem to require a sediment-free surface for persistent establishment. This is unlikely to be realized given the immediate fallout from the tailings plume, from the persistent sedimentation of photic zone production and from the sluggish nature of bottom circulation.

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Jellyfish can be found throughout the water column, more than 80% of biomass is found in the upper 50 m (Flynn *et al.*, *in press*). This means that jellyfish are unlikely to be entrained in large quantities in dredged sediments. However, it does mean that jellyfish could block seawater cooling intakes on the dredging vessel itself, which could pose a significant technical risk.

7.3.4.3 Impact assessment

The sources of risk relate to the safe operation of the vessel and impacts of the dredging process on jellyfish, via:

- Dense surface volumes of jellyfish blocking vessel cooling seawater intakes.
- Lean water overboard (turbidity) generated by returned fine sediments.
- Changes in the character of the seabed, the possible generation of hard surface.
- Hydrogen sulphide released by dredging of the sediments.

The associated impacts are individually evaluated (detailed below) using the determination criteria. The impacts are rated for both pre and post mitigation evaluations. It is understood that mitigation will in most instances bring all impacts to acceptable levels, where such mitigation is effectively applied and is possible.

The evaluation of the impacts are presented in the tables below.

<i>Nature of the impact</i>	Blocking of vessel seawater intake system by dense surface aggregations of jellyfish. Dense surface volumes of jellyfish have been known to block the seawater intakes. This incoming seawater is used to cool the vessel's engines and any blockage of the intake system could cause the engines to overheat and fail, if remedial action is not taken.
<i>Extent</i>	<i>Dredge area:</i> The extent is limited to immediately adjacent to the vessel during all operations.
<i>Duration</i>	<i>Very short term:</i> The duration is limited to the period of time when dense aggregations of jellyfish are around the vessel: probably no more than a few hours in duration
<i>Intensity</i>	<i>No lasting effect:</i> This impact would involve a relatively limited number of jellyfish and is more likely to have adverse impact to the vessel if not mitigated.
<i>Probability</i>	<i>Probable:</i> Although it is not possible to predict exactly when dense jellyfish aggregations may appear around the vessel, they do tend to occur more commonly during late winter / early spring: it is inconceivable, given how many jellyfish there are off Namibia, that this threat will not arise.
<i>Status (+ of -)</i>	<i>Negative to individual jellyfish, possibly positive for fisheries</i>
<i>Significance (no mitigation)</i>	<i>Low</i>
<i>Mitigation</i>	In the case of blockage, jellyfish will have to be physically removed or flushed from the system. Sailing the vessel to areas with less dense aggregations of jellyfish

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	Forward looking sonar could be installed on the vessel to identify dense masses of sub-surface jellyfish during operations. A “jellyfish observer” on deck should be able to identify jellyfish aggregations at the surface.
Significance (with mitigation)	Low
Confidence level	High

Nature of the impact	Hydrogen sulphide released from dredge sediments causing mortalities to jellyfish. The dredging operation is located seaward of the mud belt where high levels of hydrogen sulphide are known to be associated with soft sediments. Hydrogen sulphide releases from the sediments in the Mining Licence Area (which is adjacent to, but not in the mud belt) are thus envisaged to be significantly less frequent and intense.
Extent	Dredge Area:
Duration	Very short term: The duration is short (hours), related to the pulsed release of hydrogen sulphide.
Intensity	Minor effects.
Probability	Possible. In the event that the combination of adverse factors comes together at any one time, jellyfish mortalities will occur.
Status (+ of -)	Negative to individual jellyfish, possibly positive for fisheries
Significance (no mitigation)	Low
Mitigation	No mitigation is presented
Significance (with mitigation)	Low
Confidence level	High: Although there is no information on the tolerance of jellyfish to hydrogen sulphide, they are unlikely to have special adaptations thereto. More research on this is needed.

Nature of the impact	Lean water overflow from the vessel generates a tailings plume of fine sediments which settle out through and are dispersed in the water column. These fine sediments if present in sufficient quantities may cause mortalities to jellyfish, though this is considered unlikely
Extent	Specific mine site: < 25 km. It is understood that whilst dredging a sediment plume of ~1500 m long and 800 m wide will be generated over the cut length of up to 22 km. This plume is determined to sink to the seabed over a distance of 500-1500m from the point of discharge. The maximum concentrations of sediments in the sediment plume are envisaged to be <50 mg/l but most of the plume area will have total suspended sediment concentrations <10 mg/l above background (1-4 mg/l), these are regarded as low.
Duration	Very short term.
Intensity	Minor effects.
Probability	Possible.
Status (+ of -)	Negative to individual jellyfish, possibly positive for fisheries
Significance (no mitigation)	Low
Mitigation	No mitigation is presented
Significance (with mitigation)	Low
Confidence level	Low – research on this is needed

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Nature of the impact	Removal of seabed sediments will change the nature of the sediment surface. Jellyfish populations are known to increase in areas where there is an increase of hard substrate. Typically this occurs where rock, concrete or iron structures are erected. The removal of the upper relative soft layers of sediment, leaving a relative hard clay footwall surface may provide such a hard surface.
Extent	Annual Mining Area.
Duration	Very Short term.
Intensity	Minor effects.
Probability	Improbable.
Status (+ of -)	Positive for jellyfish, negative for fisheries
Significance (no mitigation)	Low
Mitigation	None: If between 10 - 15 % of the original thickness of the sediment is not recovered, there will sufficient soft-substrata to preclude polyp settlement.
Significance (with mitigation)	Low
Confidence level	High

7.3.4.4 Mitigation measures and conclusions

Off Namibia, jellyfish can be found across the shelf and along the shelf, and they are abundant all year-round. It is thought that their numbers have increased markedly since the collapse of the pelagic fisheries in the early 1970s, where they presently pose a problem for fishery operations. It is possible that they are having a negative impact on the sustainability of regional fisheries.

The proposed dredging activities are not considered to have a significant and lasting impact on the abundance and distribution of jellyfish populations: the tailings plume is limited in areal/temporal extent and jellyfish have no specialized respiratory surfaces that could get clogged; alterations to the benthos are unlikely to increase the habitat for polyp establishment if a layer of soft sediment is not recovered, and whilst hydrogen sulphide could kill individuals in the affected water column, this is likely to be on a very limited scale since dredging will take place seawards of the mud belt which is the main source of H₂S. More serious impacts are likely to be effected by jellyfish on dredging operations, though not through clogging at the drag-head as jellyfish are uncommon at depth. However, at the surface, where water will be drawn into the vessel for cooling (etc), they could cause a major problem for vessel activities. There are no “off-the-shelf” solutions to this and engineers will need to draw up their own strategies of dealing with the problem.

Because there are so many unknowns regarding jellyfish off Namibia (and elsewhere for that matter), any information that can be collected would be useful from a scientific point of view, typically including presence / abundance and type of jellyfish observed at the sea surface. These observations could be accommodated in a marine fauna-sighting program. Background observations (data of relevance) may be integrated with other ‘in water’ environmental investigations that may take place.

7.4 GENERAL ACTIVITIES WITHIN THE NAMIBIAN EXCLUSIVE ECONOMIC ZONE

An understanding of the scope of the cumulative impacts can be gained by reviewing the main operational activities in Namibia's Exclusive Economic Zone, which is 560,152 km² in extent⁷.

7.4.1 The Fishing Industry

7.4.1.1 History of Development⁸

From the early 1800s up to the promulgation of strict controls after Namibian independence in 1990, most of the living marine resources of the region have been subjected to intensive harvesting and over-exploitation by commercial fisheries. This process started with the exploitation and depletion of southern right whales, *Eubalaeon australis*, Cape fur seals, *Arctocephalus pusillus*, and humpback whales *Megaptera novaeangliae* during the 18th and 19th centuries, followed during the 20th century from the early 1960's with the important fin-fish demersal stocks such as hake (*Merluccius sp.*) sardine (*Sardinops sagax*), anchovy (*Engraulis capensis*) and horse mackerel (*Trachurus capensis*) as well as crustaceans such as rock lobsters and crabs.

At independence in 1990 Namibia inherited severely depleted and over-exploited fisheries, in which all the stocks were in decline. Thus the prime aim of the new administration in Namibia has been to rebuild the fish stocks, and to this end strict controls have been enforced, both in terms of the number of vessels licensed to fish and in the total allowable catches (TACs) under the Sea Fisheries Act of 1992.

The recent history (post 1900) of the fishing industry and key fish resources up to 2006 is outlined in the following section. The modern (2006 to date) fishing industry activities and assessment of potential impacts of this project on the fishing industry are covered in the specialist study (Appendix 1a).

West Coast Rock lobster

The first rock lobster canneries were built at Lüderitz as early as the 1920s. These struggled through the depression years of the 1930s until the end of World War II, when the market was transformed. This was primarily due to the escalating receptivity of the American markets to frozen lobster tails.

By the mid 1950s the Lüderitz canneries had converted almost completely to frozen tails and mergers and takeovers had reduced the number of producers to two. The catch of lobsters steadily increased and began to overtax the stock. In 1966 about 8 800 tonnes were harvested, which was the peak of the industry. In 2009, 29 vessels were registered with a TAC of 350 tonnes (2011), and catches of 193 tonnes (2009) and 23.8 tonnes (2010) reported.

⁷ www.seaaroundus.org/eez/516.aspx

⁸ Refer to appendix 3 for full text of specialist review.

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Deep-sea red crab

The red crab fishery, fished in 300 m to 1000 m water depths began in the mid-1970s as an open resource targeted by foreign vessels. By 1974 17 vessels were fishing for crab and catches rose to a peak of about 10 000 tonnes in 1983. Catch limits were introduced in 1989. Currently the resource is caught by two licensed vessels with a TAC of 2850 tonnes, and catches of 2557 tonnes (2009) and 2717 tonnes (2010).

The pelagic fishery for sardines

The sardine industry began in 1947 and by 1954 six large factories had been built along the Walvis Bay waterfront housing fishmeal reduction plants and canneries. As a result of all this activity, 100 small boats converted from line fishing to purse seining, fishing crews rose from a few to around 600-700 and factory employment from under 100 to about 2 500. The sardine (pilchard) catch increased rapidly from 1 000 tonnes in 1948 to 262 000 tonnes in 1953 and for a brief period Walvis Bay was a boom town.

In 1966 factory ships were introduced, with each ship having its own flotilla of purse seine catchers and they were the largest ships of their kind afloat, having nearly double the capacity of a fishmeal plant on shore. In 1968 the two large factory ships operating outside of Namibian territorial waters (12nm) at that time reported a catches of 558 000 tonnes of sardines and the shore factories a massive 829 000 tonnes, making a total processed catch of 1.387 million tonnes, which was the peak catch of all-time.

The prosperity and economic power of the sardine fishing industry continued to 1975-1976 and the fishery then collapsed due to overfishing (as occurred in Californian sardine fishery in late 1940s early 1950s). The era of the factory ships generated over exploitation and a competitive fishing effort that resulted in the collapse of the sardine resource in the 1970s and it has not recovered to date

The status of Namibian sardine stock remains critical and the annual TAC continues to be set primarily to try to sustain employment and factory production. Mean fish size remains low and is still significantly lower than the pre-1960 pristine size.

Currently 14 purse seiners are licensed, of which ten were active in 2009. This fleet of vessels targets juvenile horse mackerel during the first half of the year, moving on to sardines only when the quota is allocated later in the season.

The current sardine TAC (which is described as an economic TAC) is 25000 tonnes (2011), catches of 17200 tonnes (2009) and 238000 tonnes (2010) are reported.

The hake demersal trawl fishery

Hake is the most valuable commercially exploited fish resource in Namibia in terms of its contribution to GDP and is second in volume to horse mackerel. The fishery began in the early 1960s using methods of deep sea trawling with both freezer and wetfish trawlers; hake are also caught by longline vessels.

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Because the United Nations revoked South Africa's old League of Nations mandate to rule Namibia in 1966, the attempt by South Africa to enforce an EEZ for Namibia was regarded as illegal by foreign fleets. Consequently, the trawlers from foreign nations were free to fish in Namibian waters at will and from the mid-1960s the trawlers from nine nations, but mainly from Spain and Soviet Russia, were very active. The fishery was effectively an open access fishery and catches increased rapidly from under 50 000 tonnes in the early 1960s to over 800 000 tonnes in 1972, which was the all time peak catch, there after the catches began to fall rapidly.

At the time of Namibian Independence all the commercial fisheries were heavily depleted and overfished. It is estimated that Namibia inherited a hake biomass estimated at about 500,000 tonnes. The Namibian administration took immediate steps to ban fishing by all foreign vessels and to declare a 200 nm EEZ. In December 1992 the Government set out its fisheries policies and these were translated into law via the Sea Fisheries Act of 1992, with strict conservation measures being introduced.

The Sea Fisheries Act of 1992 had a major impact on the development of the hake industry, because it ensured that it would contribute as much as possible to the production of value-added products and would create jobs on land as well as at sea. With these aims in mind the Namibian Ministry of Fisheries and Marine Resources (MFMR) put in place a policy that reserved an increasing proportion of the TAC to vessels landing their fish wet on ice, rather than frozen. The structure of the fleet therefore changed from 1992 as the number of wetfish vessels increased. In 2009, 71 trawlers and 18 longliners were operating. From 1990 to 1999, the number of hake processing plants has increased from 6 to 11.

In 2000 research surveys showed a hake biomass of 1.2 million tonnes upon which stable catches were produced in 2000-2004 but thereafter the decline in the annual catch is a worrying factor which calls into question the robustness of the recovery of the stock.

Although hake catches of around 150,000 - 165,000 tonnes were consistently produced in 2000 to 2006, the catch fell sharply in 2005 and 2006 to 73,000 tonnes which may reflect the fragile nature of the stock recovery.

In 2006, due to stock uncertainty, a closed season was introduced for the month of October and the minimum permitted trawling depth was increased to 300 m south of 25°S. Most recently these measures include the prohibition on trawling at depths shallower than 200 m to protect juveniles and spawning adults.

There are currently (2009) 71 trawlers and 18 longliners operating (Figure 7.1).

The Monkfish Fishery

The earliest catch records date back to 1974 when it was an open resource fishery, when monkfish were taken as bycatch in the hake fishery. The catch rose to about 14,000 tonnes in 1981 and 1982 before declining to 6,000 tonnes in 1989. Following Independence in 1990 the fishery has developed into a targeted resource, in addition to the bycatch from the hake fishery. Since 1991, annual catches by small freezer trawlers rose from about 1 500 tonnes to a peak of 16 000 tonnes in 1998 and stabilised between 9,000 – 14,000 tonnes in the period 2000 – 2009.

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Currently (2009) 16 vessels target this resource with 8555 tonnes (2008) and 6917 tonnes (2009) reported (Figure 7.1). The product is high value, with almost the entire catch being exported to Europe.

The Orange Roughy Fishery

Exploratory fishing for orange roughy began in Namibia in 1994. Small catches were made in 1995 and in the following three years catches of 17 000, 14 000 and 10 000 t. However, by 1998 landings had declined and in 1999 and 2000 only about 2 000 tonnes per year were caught. Catches of 418 tonnes (2006) and 140 tonnes (2007) are reported. Currently the fishery is closed.

The Anchovy and juvenile Horse Mackerel Pelagic Fishery

Very little anchovy was caught in Namibia prior to the 1968 peak in the sardine catch. Following the decline in the sardine stock, anchovy began to appear in the purse seine catches, initially in small quantities, but from the mid-1970s to mid-1980s at levels of 200 000 to 350 000 tonnes. There was a pronounced peak catch of about 360 000 tonnes in 1987, following which catches declined to about 50 000 tonnes in the early 1990s and to zero by the mid-1990s. The first catches of juvenile horse mackerel by purse seiners were only recorded in 1971. The initial catch was 140 000 tonnes, but after that it declined to an average of 59 000 tonnes per year with a maximum of 116 000 tonnes in 1992. By the late 1990s the catch was down to about 20 000 tonnes. The purse seine fishery has not landed its TAC in recent years.

The Horse Mackerel midwater trawl Fishery

Adult horse mackerel are targeted by midwater trawlers and this is the largest fishery by volume in Namibia. Catches began in the late 1960s at levels below 50 000 tonnes p.a. and rose gradually to around 500 000 tonnes p.a. between 1978 and 1987. As we have already seen, prior to 1990 this was an open fishery, so that most of the catch was taken by foreign vessels. Initially, catches fluctuated around 350 000 tonnes prior to 1998. From 1998 to 2002 catches dipped slightly to 300 000 – 350 000 tonnes and fell further in 2003 and 2004 when small catches were taken. In 2006 the catch was only 124 000 tonnes which correlated with reduced stock biomass estimates derived from acoustic research surveys in 2006 and 2007, which showed a decline in biomass from above 1.5 m tonnes to only about 500 000 tonnes. The midwater fleet has decreased from about 25 vessels (2000) to less than 10 in recent years, the catch is distributed across the shelf (Figure 7.1).

Seals

The Namibian seal harvest is controlled through an annual TAC, with separate quotas for pups and bulls. The harvest is seasonal, with pups harvested for their skins and oil from July to September and bulls for their dried genitalia in November. The activity offers only limited seasonal employment. TAC recommendations are based on annual aerial censuses and estimates of biological parameters for the population. The recommended seal TAC since Independence has varied between 28 000 in 1991 and 70 000 in 2004 (pups and bulls combined), but was only fully harvested in 1995 and 1996.

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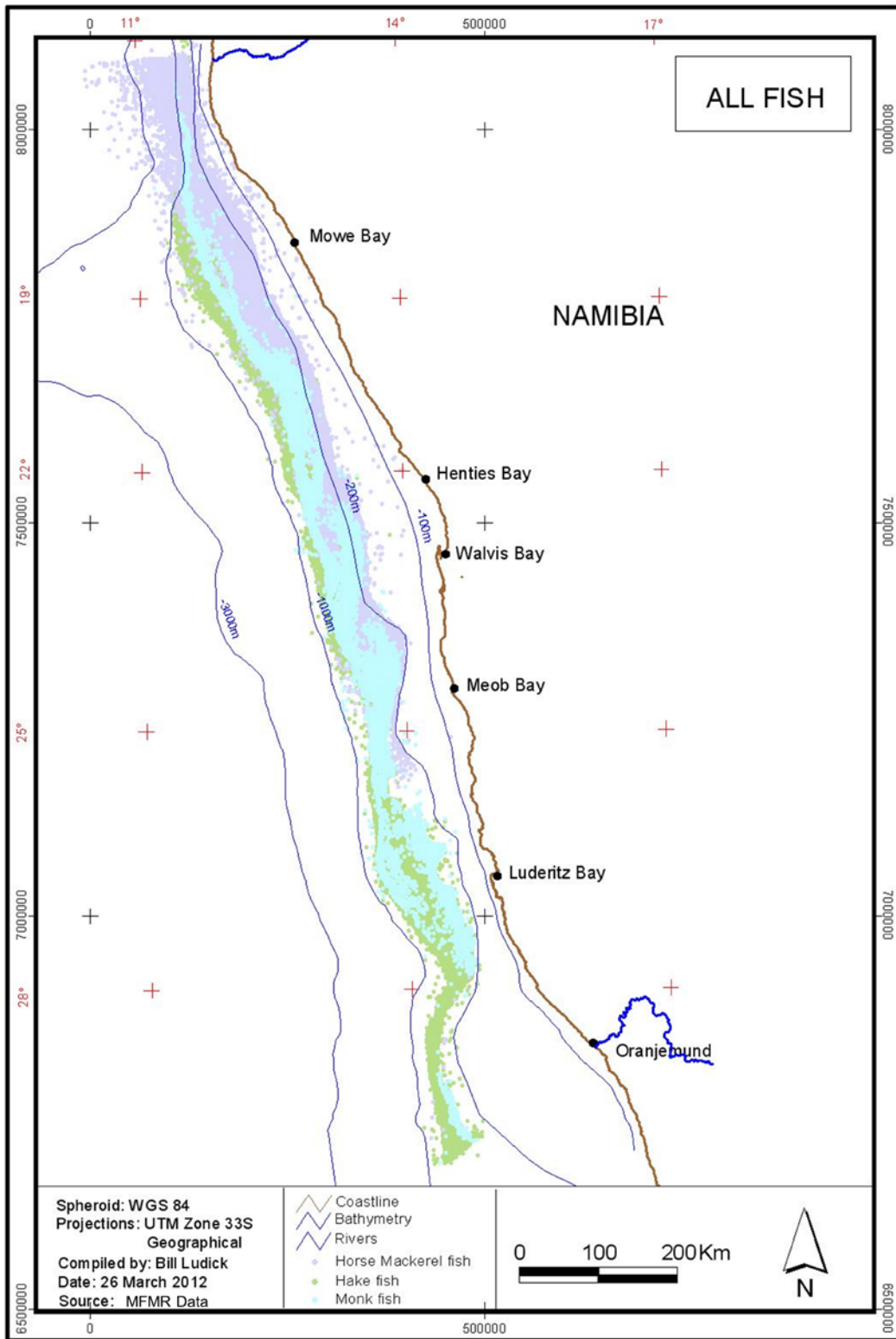


Figure 7.1: Main Fishing Activities within the EEZ: Hake, Monk and Horse Mackerel

7.4.2 The Marine Minerals Industry

7.4.2.1 History of Development - Diamonds⁹

The first diamond discoveries on the west coast of southern Africa only occurred in 1908, when a railway construction worker, Zacharia Lewala, found the first diamond near Lüderitz. Subsequently, in January 1909 rich deposits were found south of Pomona. However, it was not until 1961 that diamonds were first recovered from the sea. Sam Collins, a wealthy Texan whose company specialised in submarine pipelines became interested in the theories of rich diamond deposits offshore while installing the seawater intake pipe off Oranjemund. Ultimately, his company, Marine Diamond Company (Pty) Ltd (“MDC”), successfully mined payable diamond deposits in the shallow waters of Chameis Bay and Bakers Bay. MDC experimented with various sea going vessels, from small fishing boats, to large mining barges, to a converted 70 m ex-US Navy tank landing craft, using a combination of airlifts and centripetal pumps. De Beers acquired a controlling share of MDC in 1965. In 1971, the diamond market slumped and MDC ceased mining operations. Smaller scale operators continued to mine from converted fishing vessels. Through continued exploration efforts by De Beers marine in the 1980s, the mid and deep-water diamond exploration operations were rejuvenated in the early 1990s with issue of many Exclusive Prospecting Licences along the continental shelf off Namibia extending from the Orange River in the south to the Kunene River in the North. The deep-water operations of the 1990s represent the pinnacle of technological development in the marine industry, requiring dedicated exploration and mining vessels, complex electronic navigation systems and specialized remotely operated mining tools. As a consequence a new and unique mineral resource was successfully developed opening up an entirely new sector able to make significant contribution to the national economy of Namibia. During this period, Namdeb, De Beers Marine, NAMCO, Ocean Diamond Mining (ODM) and Diamond Fields Namibia were issued with Mining Licences and collectively operated a fleet of up to 10 vessels capable of working 24-7, 365 days a year in sea conditions of up to 6m. These large operating companies in most instances also supported a shallow water or inshore diamond industry operated by a number of independent contractors who worked off small (± 10 m) ‘diver vessels’, most of which were converted fishing boats or with land based puming units (“walpomp”). These smaller craft (in some instances up to 20 m length), worked in the shallower waters up to a maximum water depth of typically of 30 m with the “Walpomp” units reaching depths of up to 15m from the shore..

In recent years the marine diamond industry has contracted as a result of the global financial crisis however this trend is presently being reversed with significantly improved diamond prices reflecting the global shortage in rough diamond supply. Presently diamond mining activities are predominantly undertaken by Namdeb operating in the deepwater Atlantic II mining licence area just north of the Orange River as well as their shallow marine environment using the services of subcontractors operating nine diver supported converted fishing vessels out of Lüderitz. For the nearshore industry, there are a reported eleven diver boats operational out of Ludertz presently (Joubert, W., Diamond Fields Namibia, *pers.comm*). One of these vessels, is larger (160 GRT) and

⁹ The information in this section is primarily extracted from: Synthesis and Assessment of information on the BCLEM Thematic Report 3. Integrated overview of Diamond Mining in the Benguela Current Region . M. Clark, W.F. Meyer, C. Ewart-Smith, A. Pulfrich and J. Hughes AEC Report # 1016/1 March 1999.

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capable of working in slightly deeper water depths of 40 m (Alexander, J., Namdeb, *pers.comm*). Namdeb’s mid and deepwater concessions are mined by De Beers Marine Namibia, with five vessels *Debmar Atlantic* (16028 tonnes), *Debmar Pacific* (14952 tonnes), *!Gariep* (10424 tonnes), *Peace of Africa*, *Coral Sea* (11441 tonnes) and the *Grand Banks* (10424 tonnes).

For 2011, Namdeb reports a combine production of 1,333,000 carats from 8,288,000 tonnes treated, with 990,000 carats from the marine operations, which came from an annual mined area of **7.7 km²**.^{10 11}

Currently, more than half (>50%) of the annual diamond production of Namdeb, Namibia’s largest diamond producer, comes from the marine operations!

7.4.2.2 Areas of Operation

The areas in which mining is conducted are divided into 5 distinct categories and is discussed under two broad headings, these are:

Onshore

- **Terrestrial mining** - mining above the high water spring tide mark (HWS)
- **Beach mining** - mining of beaches into the subtidal through the construction of sea walls (coffer dams)

Offshore

- **Shallow water mining** - Operations to a depth of 30 m, further divided into:
 - Shore based mining - divers operating from the shore; and
 - Boat-based - divers operating from small boats
- **Mid-water mining** - 30-75 m depth utilising remotely-operated tools, mostly air-lift dredges.
- **Deep-water mining** - Operations deeper than 75 m, using customised mining vessels and specially designed remotely-operated mining tools.

Table 7.1: Relative extent of the various diamond mining activities in South Africa and Namibia.

Type of Mining	% of Concession Mined per Annum
Beach Mining	<0.5 %
Shallow-water Mining - Shore Based	<0.001 %
Shallow-water Mining - Boat-based	<0.01 %
Mid-water Mining	<0.5 %
Deep-water Mining	<0.01 %

¹⁰ Chamber of Mines Annual Review 2010.

¹¹ Operating and Financial Review 2011 – De Beers Group.

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7.4.2.3 Mid-Water and Deep-Water Mining

A variety of methods are used to mine diamonds in water depths > 30 m, which may be split into mid-water operations (down to a depth of 75 m) and deep-water operations (down to a depth of 200 m).

Mineable marine diamond deposits are typically divided into rectangular blocks of 50 x 50 m which are then systematically and contiguously dredged. While some block groups may only be a few 100 m long, others can stretch 1-2 km in length. Commonly, vertically operated Wirth Drill or tethered seabed crawlers are used to mine these seafloor resource areas by clearing to bedrock level in order to maximise diamond recovery. Seabed crawlers, equipped with articulated cutting and/or sucking devices are lowered onto the seabed on a hoist line, with power and signal umbilical cable attached and controlled remotely from a surface support vessel. Of the material airlifted to the surface, generally > 95 % is returned directly to the sea at the mine site. Re-mining of an area occurs as part of routine quality control or when the initial coverage of a block by the mining tool was lower than anticipated.

Recently, Namdeb / De Beers Namibia conducted experimental bulk mining in the Atlantic 1 Concession (140 m depth) using a large Trailing Suction Hopper Dredge (TSHD). This dredge vessel stripped areas of diamondiferous sediment and pumped the material onshore utilising a temporary pipeline delivering to a terrestrial processing plant.

Considered as a whole, the annual marine diamond mining operation affects a small fraction of the total mining licence which in turn covers a relatively small section of the Namibian continental shelf. The overall spatial extent of marine diamond mining activities typically encompasses less than 1% of the total mining licence area per annum, irrespective of the type of mining. On the scale of the BCLME therefore, impacts of diamond mining are deemed to be of low to negligible significance. At local scales, however, their impact may be more severe. Due consideration must be given to the scale of operations when the impacts are evaluated.⁹

7.4.2.4 Minerals – Diamonds and Industrial licence Areas

Diamond and Industrial mineral licences within the EEZ, are allocated and administered by the Directorate of Mines of the Ministry of Mines and Energy (MME).

Within the EEZ, the following licence areas are presently (03/12) issued, as per the published minerals licence maps available on the MME website (refer figures 7.2 and 7.3):

- Total Mineral licences allocated over 107,771 km² (19.2 % of the EEZ);
- Exclusive Prospecting Licences over 96,189 km² (17.2 % of the EEZ);
- Mining Licences over 11,582 km² (2.07 % of the EEZ);
- Two marine Mining Licences covering a total area of 2,888 km² (0.52 % of the EEZ) have been issued for Phosphate, namely 1) LL Phosphate ML 159 covering an area of 655 km² – (0.19 % of the EEZ), and 2) Namibian Marine Phosphate ML 170 covering an area of 2233km² – (0.4 % of the EEZ)

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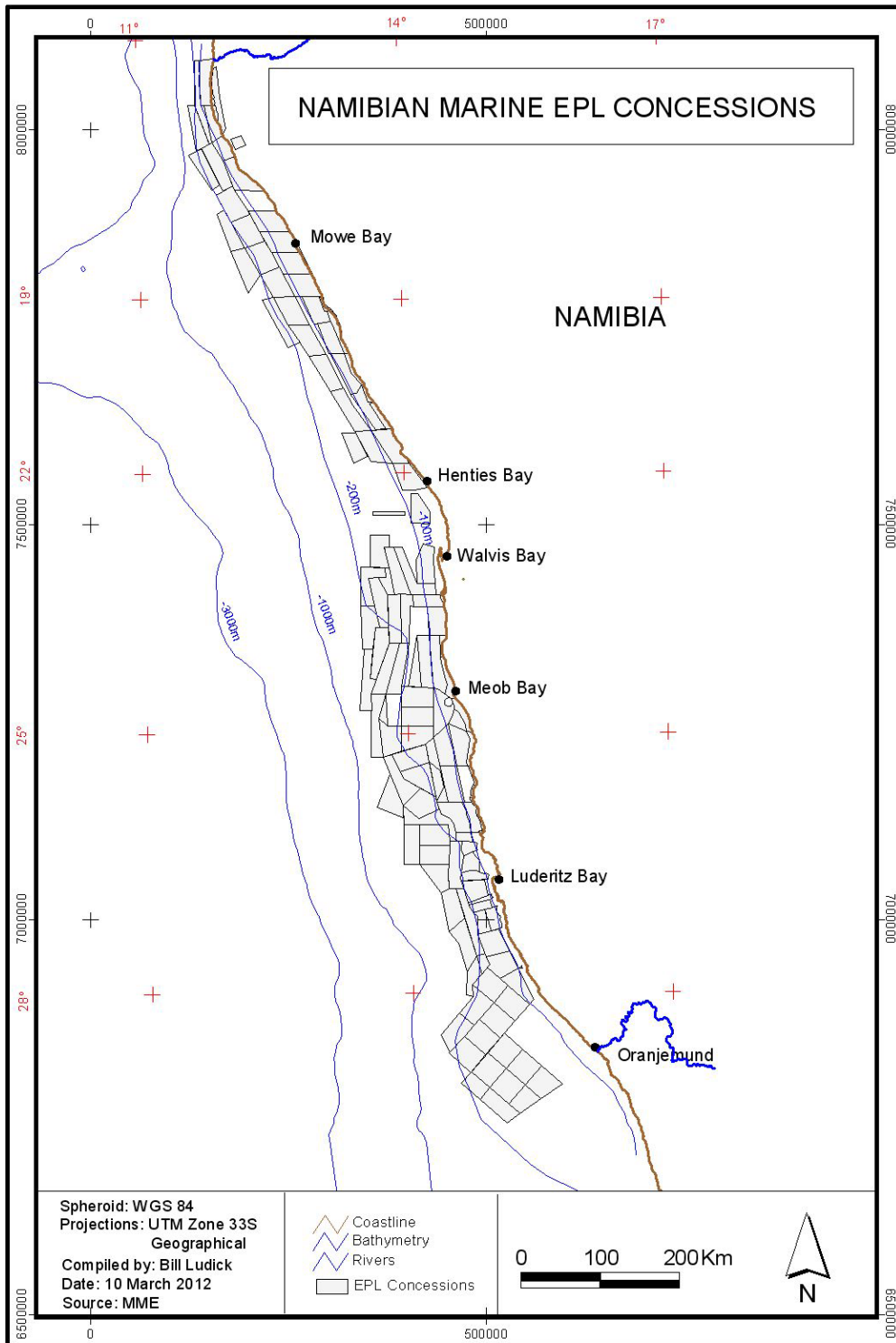


Figure 7.2: Marine Exploration (Precious stones and Industrial Minerals) Licences of the EEZ

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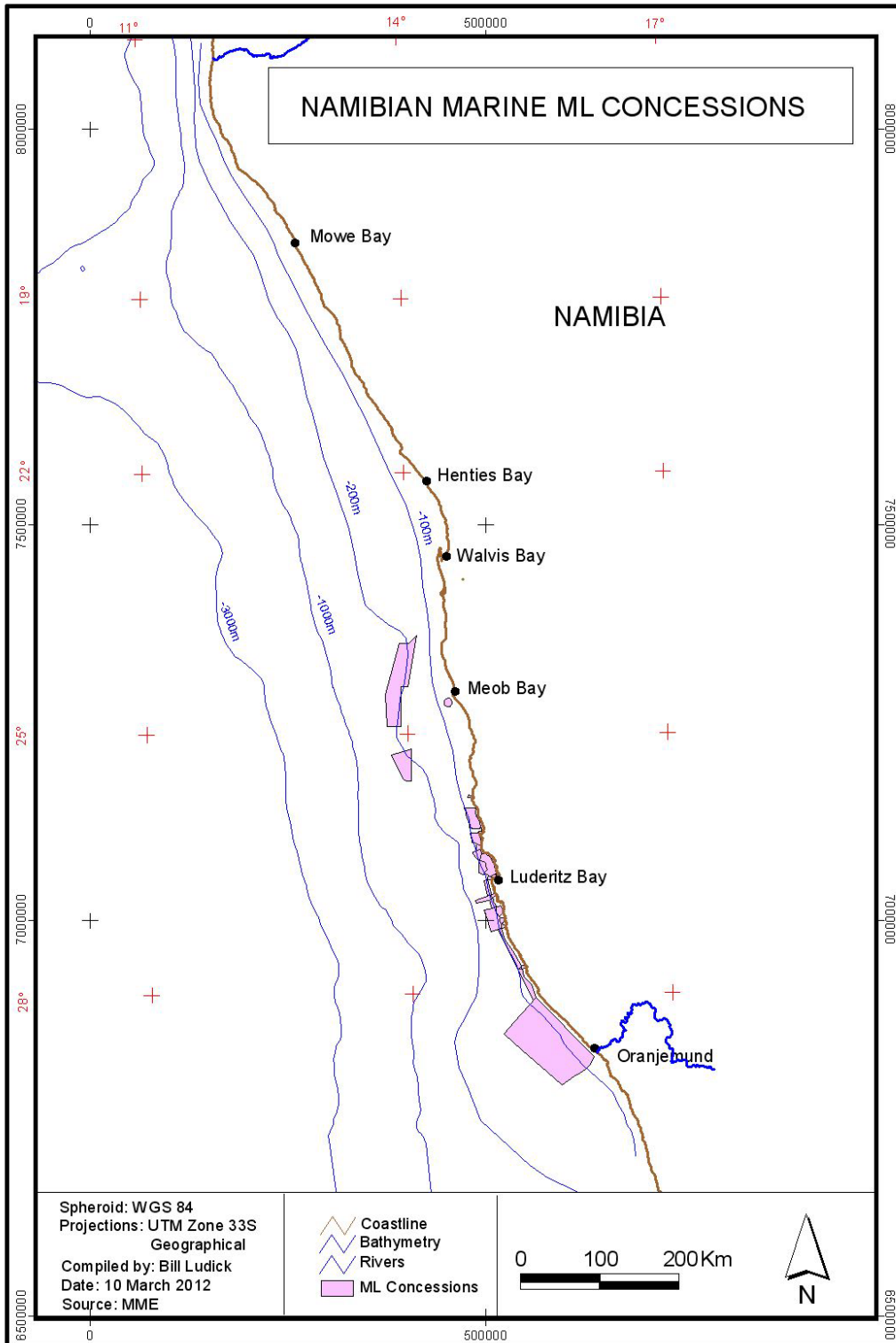


Figure 7.3: Marine Mining (Precious stones and Industrial Minerals) Licences of the EEZ

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7.4.3 Oil and Gas Industry¹²

7.4.3.1 History of Development

In the 1960s, South Africa, which was administering the then South West Africa (Namibia), made a concerted effort to encourage petroleum exploration. This was administered by SWAKOR, the predecessor of the National Petroleum Corporation of Namibia (NAMCOR), and SOEKOR, the South African equivalent of SWAKOR. Seismic surveying offshore Namibia began in 1968. By 1974 the whole offshore area was covered by licences. Although concentrated on the continental shelf down to 200 m, some seismic surveys extended as far as 250 km offshore along the Walvis Ridge and down to water depths of 1 500 m. A total of 37 219 km of 2-D seismic data was acquired up to 1978 but only one well was drilled. This was the Kudu 9A-I well drilled by Chevron, Regent and SOEKOR in 1974 which discovered the Kudu gas field (A potential reserve of at least 5 trillion cubic feet (TCF) of gas was estimated) some 170 km due west of Oranjemund in water 170 m deep.

Namibia's first petroleum licensing round opened in 1991 and attracted 19 bids for 14 licence areas each covering approximately 11 000 km². Up to six bids were received for specific areas. The first exploration licence was awarded by the Government of the Republic of Namibia in April 1992 to a consortium of Norwegian companies headed by Norsk Hydro Namibia. Shell Exploration and Production Namibia (SEPN) and its partner Eagle Energy (now Energy Africa) were awarded a licence over the Kudu gas field. Texaco joined that consortium in 1996. Subsequently additional licences have been taken up, Figure 7.4. There are currently 88 licences issued to 22 companies (2011). The oil and gas licences within the EEZ, are allocated and administered by the Directorate of Energy of MME. The oil and gas licences are not mutually exclusive by area (they overlap) with mineral (mining and exploration) licenses administered by the Directorate of Mines. The size of the allocated block is approximately 1,150 km.

Activities in the area, related to the acquisition of 2-D and 3-D seismic data and the drilling of wells, some up to 4500 m deep, drilled between 40 and 120 km offshore, in water depths ranging from 170 m to nearly 700 m.

Within the EEZ, the following license areas are presently (2011) issued:

- Oil and gas licences are allocated over 372,460 km² (66.5 % of the EEZ);
- There are 27 licence block areas allocated, of which one designated "Production" (Kudu project), one designated "Reconnaissance" and the remained Exploration licences.

¹² The information from this section has been extracted from: Benguela Current Large Marine Ecosystem Thematic Report No. 4 Integrated Overview of the Offshore Oil and Gas Industry in the Benguela Current Region. CSIR report ENV-S-C 99057: October 1999.

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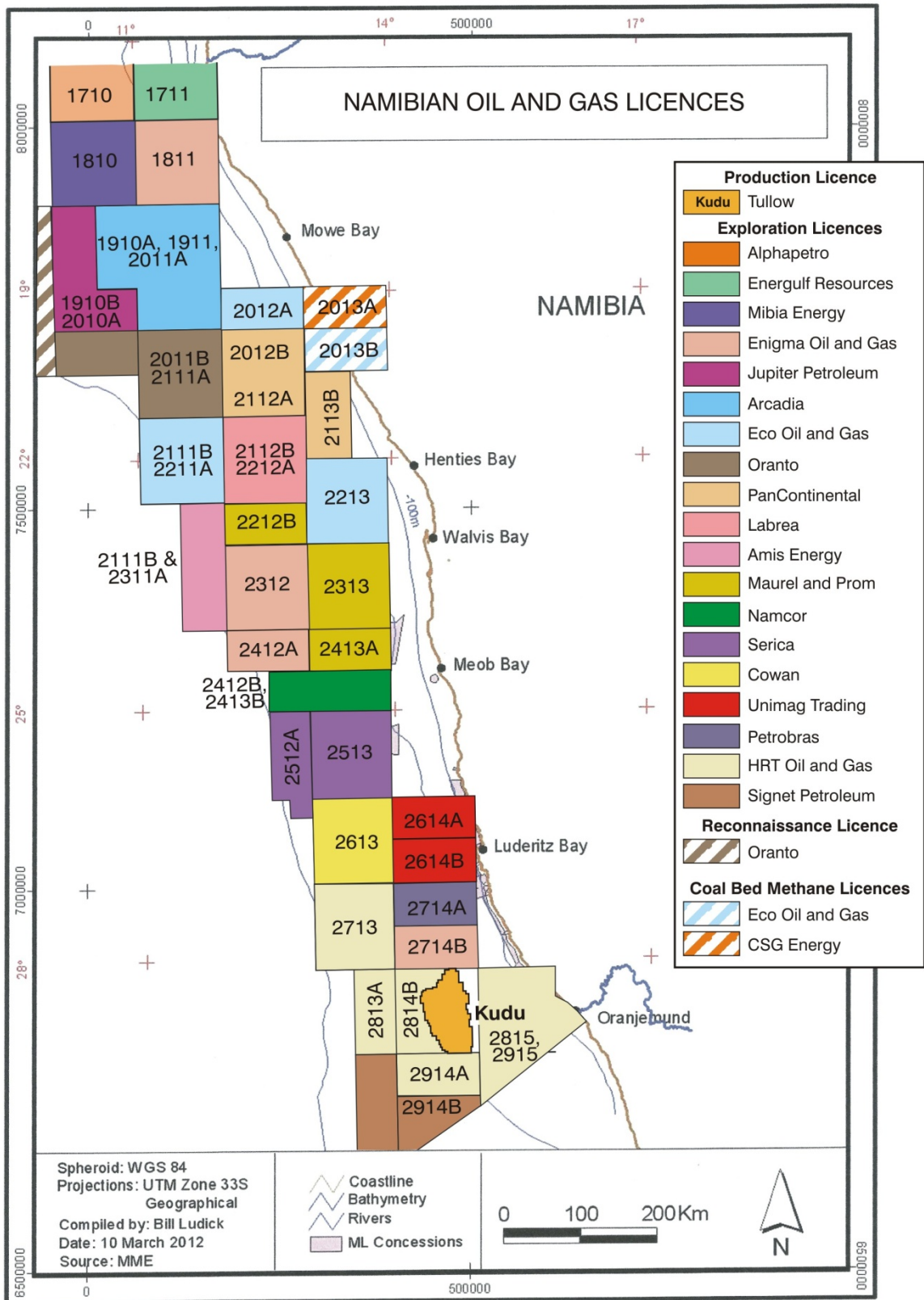


Figure 7.4: Oil and Gas Licences within the EEZ

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7.4.4 Ports and General Shipping

Namibia has two commercial ports managed by the Namibian Port Authority (Namport), namely Walvis Bay and Lüderitz. Of the two, Walvis Bay is by far the most important. The most recent data publicly available on the Namport website is for 2008/09 <http://www.namport.com> which reports that during this period Walvis Bay handled 1601 vessels (container 431, Reefer 45, Foreign Fishing 207, Namibian Fishing 66, Petroleum 56, General cargo 188 and Other 608). (This does not include vessels operated by fish factories operated out of Walvis Bay). Lüderitz handled 1,115 vessels. This represents a combined total of 2,716 vessels for the two main Namibian ports for this period. For the period the total volume of cargo handled by these ports is 5.4 tonnes. Walvis Bay, 5,038,051 tonnes, of which 106,559 tonnes (landed), and 138,393 tonnes (shipped) are listed as fish products. Lüderitz, 345,829 tonnes, of which fish constituted 27,181 breakbulk cargo and 1,401 tonnes cargo shipped. On average 10 cruise liners visit the port of Walvis Bay annually¹³.

Besides the shipping making use of Namibian ports, the traffic along the Namibian coast has increased considerably in recent years mainly as the result of crude oil exports from Angola to China but also from other West African oil-producing countries to South-east Asia. Angola produces 1.8 million barrels of crude oil per day (approximately 257,143 tonnes) of which 790 000 barrels per day (approximately 112,857 tonnes) are exported to China (2010 data from <http://www.eia.doe.gov>)¹⁴. This approximates to 3,385,714 tonnes per month, which would mean 27 laden Suezmax (125,000 tonnes), or 18 Suezmax-large (185,000 tonnes) vessels per month. A similar number of empty oil tanker vessels are returning empty to Angola each month.

The risk of oil spills as a result of this increased tanker traffic, both laden vessels southbound and empty vessels northbound, has risen considerably. By their presence the high general vessel traffic in Namibian waters will also impact on the environment in terms of related effects on seabirds (both daylight and nighttime vessel lights, bird strikes etc), fishing activities by local and foreign fishing vessels (longline, trawlers etc) as well as disturbance to cetaceans (whales, dolphins), noise etc.

7.4.5 Cumulative impacts

Cumulative impacts of all ocean users are an important for any holistic environmental assessment to be undertaken. By its very nature, such an impact assessment would have to be undertaken by the responsible authority in order to capture all relevant inputs and provide an independent cumulative impact assessment. It is currently the case that the various marine mineral EIA/EMPRs are made available for public review. Monitoring programmes are included in the environmental contract however, the environmental management is most often conducted around the assessment related to their specific activities, i.e. the EMPRs of diamond mining companies examine the effects of diamond mining, those for oil and gas exploration likewise only examine their own direct impacts, and so on. There are major users of the marine environment that are not required to prepare EIAs and EMPRs (i.e. the fishing industry) and as such no EIA/EMPs are

¹³ Southern Africa & Islands Hydrographic Commission (SAIHC) Seminar Walvis Bay (6 & 7 September 2011)

¹⁴ There are between 6 and 8 barrels of oil per tonne, varying with the density of the particular product. An average of 7 has been used for the conversion. ([www.wikipedia.org/wiki/Barrel_\(Unit\)](http://www.wikipedia.org/wiki/Barrel_(Unit)))

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provided for public assessment or information. Also there are general 'transient' vessels activities occurring throughout the BCLME, for which there is no requirement to provide an EIA/EMPR and for which the development of EIAs and EMPRs would be problematic.

In an ecosystem such as the BCLME with its diversity of users, isolated approaches may fail to uncover possible cumulative effects between industries that could lead to a meaningful, holistic assessment of cumulative impacts and generation of an effective over-riding environmental management programme for the BCLME and Namibian continental shelf.

With increasing pressure on the BCLME from fishing industry, oil and gas exploration and production, mineral exploration and mining, coastal shipping (including numerous oil tankers), recreational use, and shore based outfalls, there is a clear need for an integrated and co-ordinated approach to the management of activities affecting this ecosystem. The recent enactment of the Environmental Management Act (Act 7 of 2007) requires the responsible ministries to conduct strategic environmental impact assessments and initiatives of this nature are being called for by the Benguela Current Commission.

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