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1 **Analyses of the magnitude and frequency of a 400-year flood record in the Fish River**
2 **Basin, Namibia**

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17

18 **Abstract:**

19 Ephemeral rivers in dryland regions exhibit a high interannual variability of streamflow regime,
20 mainly dominated by floods. In these environments, floods are a water resource and a potential hazard
21 with important socioeconomic implications. The Fish River (86,600 km²) is the largest ephemeral
22 stream in Namibia and, recently, also the focus of new development plans, including construction of
23 the largest dam in Namibia. The hydrological analysis to support decisions implies large uncertainties
24 owing to spatial-limited and short hydrological records (since 1962). Here we investigate the current

25 and past patterns of extreme floods combining instrumental, historical, and palaeoflood records.
26 Palaeoflood studies were performed at two reaches with preserved sedimentary evidence: at the upper
27 sector (Vogelkranz) upstream of Hardap Dam (~13% catchment area) and in the lower part of the
28 river, in the Fish River Canyon National Park (70% catchment area). In the Hardap reach, the
29 palaeoflood record identified at least eight large floods during the last 350 years, with the largest flood
30 reaching a minimum discharge of $4800 \text{ m}^3\text{s}^{-1}$ (150-year return period). In the Fish River Canyon reach,
31 the sedimentary record shows at least 12 large floods over the last 400 years, the largest with an
32 estimated minimum discharge of $8700 \text{ m}^3\text{s}^{-1}$. The elevation of alluvial surfaces without flood evidence
33 provided an upper bound for flood stages, associated with discharges of $6400 \text{ m}^3\text{s}^{-1}$ and $16,140 \text{ m}^3\text{s}^{-1}$
34 for the upper and mid-lower Fish River respectively. In the upper reach, the flood frequency analysis
35 (FFA) combining systematic and palaeoflood data provided lower discharges (~25%) of the flood
36 quantiles than the ones using only systematic data sets. In the Fish River Canyon reach, incorporation
37 of the palaeoflood data into the FFA results in slightly higher values in the magnitude of the higher
38 flood quantiles (~4-7%). The FFA analysis using an upper limited lognormal distribution function
39 (LN4) fitted with palaeoflood data shows a good performance with a slow behaviour approaching the
40 upper limit. Our flood frequency results suggest that the Hardap Dam should increase the spillway
41 capacity and safety check flood of the original design in order to satisfy the dam safety criteria.
42 However, the projected reevaluation figures calculated from conventional hydrological methods
43 results in an overestimation of the safety floods according to our estimations.

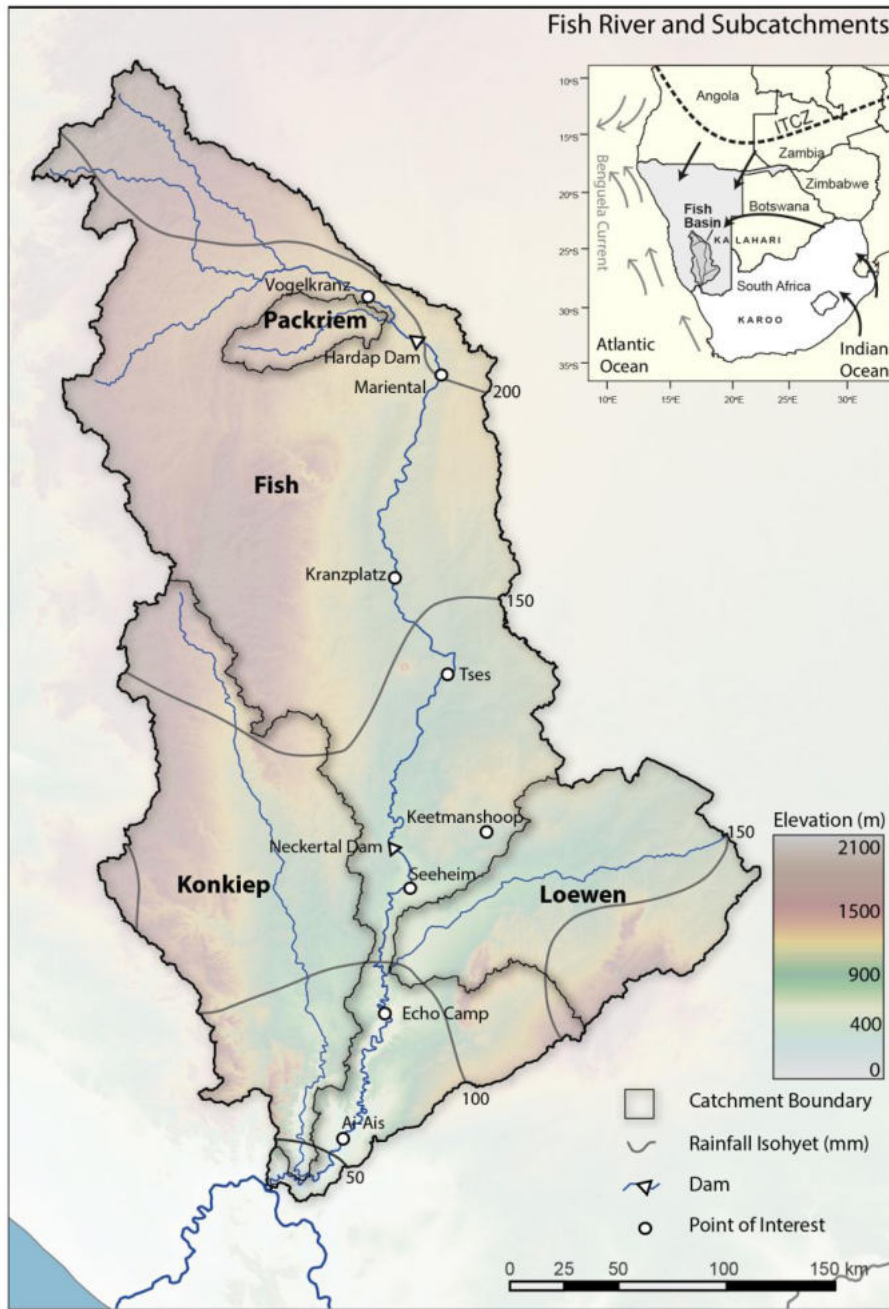
44 *Keywords:* palaeoflood; hydrology; ephemeral rivers; flood frequency analysis

45 **1. Introduction**

46 Namibia, the most arid country in sub-Saharan Africa, experiences frequent droughts and has spatially
47 unevenly distributed water resources. Water is among the most limiting factors in the development and
48 in increasing the standard of living in Namibia and nearby countries (Heyns, 2005). Irregular flow in
49 rivers complicates the effectiveness of a national water resource monitoring system as the calibration

50 of water level recorders is challenging without water (Jacobsen et al., 1995). Indeed, Namibia's
51 ephemeral rivers provide 22% of the national water supply, maintaining important plant and animal
52 ecosystems (MAWF, 2008). In this dryland environment, floods are the generators of large volumes of
53 water for surface reservoir storage and for recharge into subsurface aquifers (Dahan et al., 2008;
54 Morin et al., 2009). These floods and their causative rainstorms are equally a water resource and a
55 natural hazard. Therefore, flood hydrology research is critical to maximize the benefits of water
56 resources and minimize the risk (Benito et al., 2010, 2011a).

57 The case of Namibia can be extrapolated to nearby South Africa and Botswana and to other desert
58 regions worldwide. As water resources are central to the livelihood of inhabitants, dam projects and
59 associated water schemes have been recently proposed to improve socioeconomic development
60 (MAWF, 2008). The runoff potential of the Fish River (Fig. 1) is larger than other rivers flowing east
61 or west, through or into the Kalahari or Namib deserts respectively. Therefore the largest dams in
62 Namibia were built on the Fish River. The Hardap Dam (Fig. 1) is the largest in Namibia with a
63 storage capacity of $295 \times 10^6 \text{ m}^3$, completed in 1962, to provide water to the town of Mariental and to
64 an irrigation scheme downstream of the dam. Farther downstream, the planned storage of the
65 Neckertal Dam is $857 \times 10^6 \text{ m}^3$ (completion by November 2018). Generally dam design and potential
66 storage capacity depend on the length and quality of hydrological data, which are relatively sparse in
67 Namibia. Where these data exist, gauging records are limited to about 65 years at most. Therefore, the
68 largest and rarest floods are potentially underrepresented, and thus the distribution of all magnitudes of
69 floods through time is poorly constrained. This situation complicates decisions related to utilization of
70 floodwater resources and flood hazards.



71

72 Fig 1. The Fish River catchment area within Namibia. The map shows the major drainage network,
 73 rainfall isohyets, hydrometric stations, dams, and weirs and the studied palaeoflood sites. Inset:
 74 Location of the study area within southern Africa and indication of the major ocean currents (dashed
 75 gray arrows) and the main atmospheric circulation that brings moisture to central Namibia during the
 76 austral summer (black arrows).

77

78 Hydrological data can be supplemented with records of extreme floods obtained by using palaeoflood
79 hydrology and records of historical floods derived from written chronicles or direct observation.
80 Palaeoflood hydrology relies on identification of evidence of flooding in conjunction with application
81 of hydrodynamic principles to determine flow magnitude (Baker, 1987). Two basic types of physical
82 palaeoflood evidence are high-water marks (HWM) and paleostage indicators (PSIs). High water
83 marks includes mud, silt, seed lines, and flotsam (e.g., fine organic debris, grass, woody debris) that
84 closely mark peak flood stage. This type of evidence typically only persists for weeks in humid
85 climates and for decades in semiarid and arid climates (Williams and Costa, 1988). In contrast,
86 paleostage indicators provide longer lasting evidence of peak flow stages and typically consist of
87 fine-textured flood sediment (slackwater flood deposits), gravel and boulder bars, silt lines, erosion
88 features (Kochel and Baker, 1988; Webb and Jarrett, 2002), and botanical evidence such as scars and
89 other damage (such as bent stems) on riparian trees. Depending on the environment, such evidence can
90 persist for several millennia. Discharge estimates for these palaeofloods can be calculated under the
91 assumption that the position of paleostage evidence (HWMs and PSI) relates closely to the maximum
92 stage attained by an identified flood (Jarrett and England, 2002). Palaeoflood discharges can
93 efficiently extend the flood data beyond the gauge/instrumental records and provide robust data for the
94 design of dams and long-term quantification of floodwater resources (Benito and O'Connor, 2013).

95 The aims of this paper are to (i) extend the record of large floods by reconstructing palaeoflood stages
96 and chronologies and estimating their magnitudes and frequency — this will also allow planners to
97 base their decisions on longer-term flood records; (ii) provide information on the single largest
98 flood/palaeoflood and to document the field-based, upper bound of this and other large floods in a
99 specific time interval; (iii) construct a regional bound for flood magnitudes; (iv) evaluate the temporal
100 pattern of large magnitude floods and their variability in relation with secular changes in climate; (v)
101 estimate the regional maximum flood potential for the Fish River for dam design purposes. This will
102 include traditional flood frequency analyses together with palaeoflood and upper bound information.

103 **2. Study area**

104 *2.1. Geographical, climatic, and geological settings*

105 The Fish River heads in the Naukluft Mountains in central Namibia and drains into the
106 Orange River (Fig. 1). The catchment area is 86,600 km², and its main channel is 870 km
107 long. The average slope of the Fish River mainstream from its origin until its confluence with
108 the Orange River is ~2.2% (Crerar and Maré, 2005).

109 The climate varies from semiarid in the high plateau headwaters to the north (1000-2000 m
110 above sea level, asl) to hyperarid at the low-lying central and southern portions with the driest
111 conditions at the junction with the Orange River (70 m asl) (Fig.1). The fish River has two
112 major tributaries, the Konkiep and the Lowen rivers, which make up ~35% of the total
113 catchment area. Floods are mostly generated in the semiarid headwater where mean annual
114 rainfall is 250 mm. In the southern parts of the watershed, mean annual rainfall is 50 mm
115 (Mendelsohn et al., 2002). Rainfall is generated by convective storms during October to April
116 (Austral summer). No large alluvial aquifers are located along the Fish River, groundwater
117 storage is limited mainly to the channel downstream of Hardap Dam. The dominant
118 vegetation on the Fish River catchment is classified as dwarf shrub savannah (Strohbach,
119 2001). The Fish River corridor contains riparian woodlands along river banks, and the sparse
120 vegetation of the riverbeds and floodplains is subjected to regular flooding and water flow.

121 Geologically, the watershed is located in the western margin of the Kalahari Craton,
122 comprising a stable granitic-gneissic coring a large portion of southern Africa (Geological
123 Survey, 1980). This Craton is overlaid by sedimentary and volcanic rocks that cover the
124 Precambrian-Cambrian boundary (Swart, 2008). The landscape in the upper-northern Fish
125 River drainage basin is relatively flat. In the middle-lower reaches, the channels are incised
126 deeply into the plains and into the underlying basement bedrock, forming the Fish River

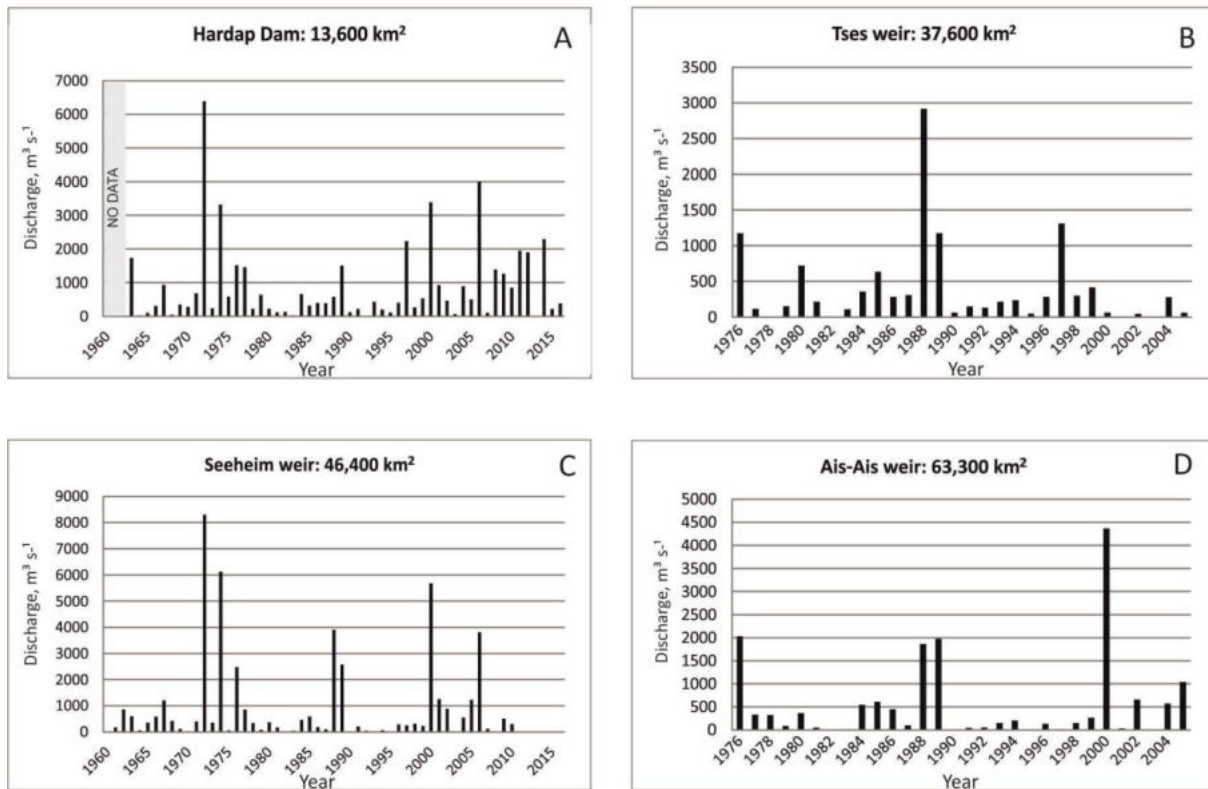
127 Canyon. The Fish River Canyon is one of the largest river canyons in the world (the largest in
128 Africa). It is 68 km long, 4 km wide, and 0.5 km in depth. In this canyon, the river level drops
129 from 425 to 250 m asl.

130 *2.2. Hydrometric data*

131 Water levels in the Fish River have been recorded systematically by the Namibia Department
132 of Water Affairs (DWA) at a weir at Seeheim since 1961 (coordinates 26°49'05" S,
133 17°47'30" E, altitude 700 m asl) and at flow gauging weirs Tses (coordinates 25°54'20" S,
134 17°58'36" E, altitude 910 m asl) and Ais-Ais (coordinates 27°54'41" S, 17°29'28" E, altitude
135 210 m asl) since 1976 (Figs. 2B-C). Measurements at all three of these stations continue to
136 date; however, gaps in the data are common. These three gauging stations are located in the
137 middle to lower Fish River. The Hardap Dam, located in the upper Fish River (coordinates
138 24°29'58" S, 17°51'31" E, altitude 1127 m asl), was constructed in 1962 and is used
139 indirectly to measure inflow hydrographs and flood peaks (Fig. 2A). Prior to the construction
140 of the dam, the Kranzplats hydrological station (Fig. 1) recorded flood events. The 18-year
141 record from Kranzplats station was used in the original design of the Hardap Dam. No other
142 flow gauging structures monitor the upper Fish River.

143 The largest recorded flood peak at Seeheim was $8300 \text{ m}^3\text{s}^{-1}$, measured in 1972 (Fig. 2C). In
144 the same year, the largest recorded flood passing through the Hardap Dam was estimated by
145 the Namibia Department of Water Affairs (DWA) at $6400 \text{ m}^3\text{s}^{-1}$ (Fig. 2A) and at $6800 \text{ m}^3\text{s}^{-1}$
146 by Hattingh et al. (2011). In our study, a new analysis of reservoir storage (derived from
147 graphical dam level record), gate release and emergency spill release, give an estimate of peak
148 inflow of $5500 \text{ m}^3\text{s}^{-1}$. The other two flow-gauging weirs, at Ais-Ais and Tses, were
149 constructed four years later, in 1976, and recorded their respective largest floods in the years
150 2000 ($4300 \text{ m}^3\text{s}^{-1}$) and in 1988 ($2920 \text{ m}^3\text{s}^{-1}$) (Figs. 2B-D). The intense orographic rainfall
151 leading to flooding is a result of warm, moist air from the east rising over the escarpment

152 area, with a maximum 3 days accumulated rainfall of 191 mm at Hardap Dam (record interval
 153 1983-2001) and 137 mm at Mariental (1918-2003). All the major rains and floods recorded
 154 during the instrumental period occurred during summer months (January to March).

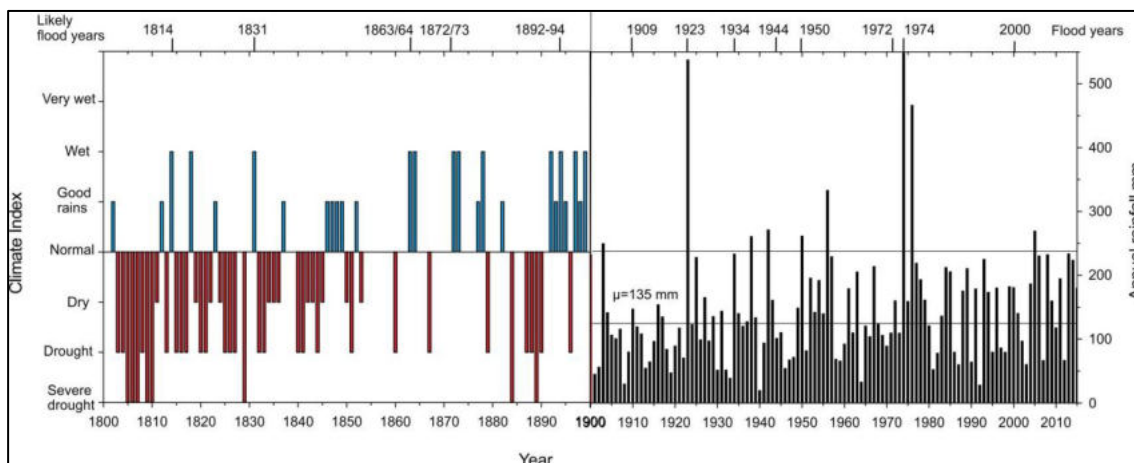


155
 156 Fig. 2. Annual flood peaks from systematic flow data records along the Fish River. Locations of
 157 measurement stations are located in Fig. 1.

158 2.3. Historical floods

159 Historical records of earlier large floods on the Fish River are scarce and mainly available
 160 since 1908/1909 (Stengel, 1972; Table 1). In the Kuiseb and other Nambian rivers, historical
 161 flood records can be traced back to the early nineteenth century (Stengel, 1964); but these
 162 cannot be reliably extrapolated to the Fish River. Additional climatic information about years
 163 or periods of increased rainfall and droughts may be indicative on the timing of historical
 164 flooding. Nicholson (2001) compiled regional written documentary from missionary stations
 165 combined with historical gauge data for the nineteenth century providing a climate index class

166 (-3 for extremely dry to +3 for extremely wet) for 90 regional areas in Africa. The Fish River
 167 catchment is included within two regions: covering the upper catchment (region centre at -
 168 24°2' S, 17°4' E) and the middle-lower catchment (centre at -26°4' S, 17°0' E). Fig. 3 shows
 169 the rainfall index for the upper Fish catchment over the nineteenth century, and the annual
 170 rainfall recorded in the Keetmanshop station (1900-2016). In the instrumental record, large
 171 floods occurred in years with total rainfall amounts above the annual average (e.g., 1923,
 172 1934, 1974; Fig. 3) although not all wet years recorded a large flood. The historical reports
 173 described regional rainy conditions in 1848-1849, 1862-1864, 1872-1874 and 1892-1894
 174 (Nicholson, 2001; Fig. 3). These rainy periods together with 1814 and 1831 were reported as
 175 very wet years in the upper and middle Fish River catchment, perhaps a representation of
 176 periods of large floods (Fig. 3).



177
 178 Fig. 3. Rainfall record of the Keetmanshop station (1900-2016, black line) and rainfall anomaly
 179 classes (red bars: severe drought/dry years; blue bars: wet/normal years) from regional rainfall class
 180 values obtained from documentary records after Nicholson (2001). Rainfall-anomaly class values: -3
 181 severe drought year; -2 drought year; -1 dry year; 0 normal year; +1 good rains; +2 wet year. Years
 182 with insufficient evidence are not plotted. Most likely documented flood years during anomalous wet
 183 (+2) conditions are indicated in the upper *x*-axis. Since 1900, flood years were reported on gauge
 184 stations and weirs.

185 **3. Methods**

186 The methodological steps in our palaeoflood analysis include (Benito and O'Connor, 2013):
187 (i) initial interpretation of aerial photographs and topographic maps of various scales to
188 identify suitable sites for such analyses; (ii) field visit and survey for the identification and
189 selection of specific research sites and to identify suitability of flood deposits and other
190 evidence; (iii) stratigraphy of these deposits with emphasis on identifying flood units; (iv)
191 sampling for chronology; (v) topographic survey of flood sites and river reaches; (vi)
192 hydraulic modelling of the measured reaches and discharge estimation; (vii) comparison with
193 available historical data; (viii) flood frequency analysis; and (ix) incorporation of the results
194 into applied hydrology, engineering design, and water management.

195 Selected palaeoflood sites are located upstream of the Hardap Dam (Vogelkranz site) and
196 within the Fish River Canyon (Echo Camp site). In both sites, the river flows in channels
197 constrained by bedrock or consolidated alluvium to ensure stable channel geometry through
198 time, which is critical to produce robust discharge estimations from hydraulic modelling. The
199 fieldwork entailed identification of flood evidence of water levels (palaeostage) reached or
200 exceeded during large floods, with an emphasis on sedimentary evidence (slackwater flood
201 deposits; Baker and Kochel, 1988; Baker et al., 2002). Stratigraphic and sedimentological
202 analyses of the deposits were carried out in the field and in the laboratory. Individual flood
203 units were identified through a variety of sedimentological indicators (Baker and Kochel,
204 1988; Benito et al., 2003), namely the identification of clay layers at the top of a unit, erosion
205 surfaces, bioturbation indicating the exposure of a sedimentary surface, angular clast layers
206 where slope materials were deposited between floods, in addition to changes in sediment
207 color. Apart from identifying individual flood units, sedimentary flow structures were also
208 described to elucidate any changing dynamics during a particular flood and/or infer flow
209 velocities that could improve discharge estimation (Benito et al., 2003).

210 Flood chronology was determined using radiocarbon and optically stimulated luminescence
211 (OSL) dating (see Table 2). The accelerator mass spectrometry (AMS) radiocarbon dating
212 was carried out by Beta Analytic. The OSL samples (Aitken, 1998) were analyzed at the
213 Geological Survey of Israel. For OSL, sand samples were collected in the field using light-
214 tight PVC cylinders, from which quartz particles with grain size of 88-125 μm were extracted
215 from the bulk sediment samples using routine laboratory procedures (Porat, 2006).
216 Approximately 5 mg of purified quartz was placed on 10-mm aluminum discs using silicon
217 spray as an adhesive and 8- or 2-mm masks. Single aliquot measurements were done on either
218 a refurbished Risø DA-12 or DA-20 TL/OSL reader, equipped with calibrated ^{90}Sr β sources.
219 Quartz stimulation was carried out with blue LED, and detection was through 7-mm U-340
220 filters. The equivalent dose (D_e) was determined using the OSL signal and the standard single
221 aliquot regenerative dose (SAR) protocol (Murray and Wintle, 2000), with between 18 and 30
222 aliquots measured for each sample. Preheats ranged from 220 to 260 $^{\circ}\text{C}$; test dose was 4.5-5
223 Gy and a cut heat 20 $^{\circ}\text{C}$ lower than the preheat was used to remove unstable signals. The OSL
224 signal was measured at 125 $^{\circ}\text{C}$ to background level. Dose rates were calculated from the
225 concentrations of the radioactive elements K, U, and Th, measured on a subset of sediment
226 sample by ICP MS (U&Th) or ICP-AES (K). Moisture contents were estimated at $5 \pm 2\%$, and
227 the cosmic dose was estimated from current burial depths.

228 In addition to slackwater flood deposits (SWD), a field survey was completed with the
229 identification of erosional landforms (stripped soils, flood scarps), high-flow channels, and
230 evidence for nonexceedence stages of floods (e.g., preservation of undisturbed ancient
231 colluvium). Recent large floods left abundant highwater evidence such as driftwood, which
232 together with palaeoflood indicators were correlated to define the flood and palaeoflood water
233 surface profiles along the river channel.

234 Applying the energy-based inverse hydraulic modeling on discrete palaeostage indicators
235 (PSI), allows indirect determination of palaeoflood discharges (Baker, 2008). Discharge
236 estimation by hydraulic modelling was carried out using the step-backwater method, the most
237 commonly utilised method in palaeoflood hydrology (O’Conner et al., 1986; Webb and
238 Jarrett, 2002). Computations were run using the HEC-RAS 4.1 one-dimensional flow model
239 (Hydrologic Engineering Centre, 2010). Cross sections were surveyed along both study
240 reaches using an RTK Global Positioning System (GPS) station set for post-processing data
241 analysis where satellite visibility was poor. These surveys were tied with flood deposit
242 elevations. At each cross section, signs of upper bound flood marks were also surveyed. The
243 HEC-RAS model was used to convert the flood levels (palaeostage and upper bound
244 indicators) into flood discharge to produce a hydrological data series.

245 The Vogelkranz and Echo flood discharges were calculated using Manning’s n -values ranging
246 from 0.025 for a relatively smooth channel in increasing steps of 0.005 up to 0.040. The
247 Manning n -value that produced the best correlation between debris floodmarks, belonging to
248 the same recent floods, and cross sections was 0.030 at Vogelkranz and 0.035 at Echo Camp.
249 This range of n -values is in agreement with the n -values applied by Flood Studies Division of
250 the South African Department of Water Affairs and Sanitation (DWS; Wessels, 2014). The
251 DWS Flood Studies division concluded that a Manning n -value of 0.032 provides the most
252 suitable results for extreme flood calculations in large southern African rivers. Past sensitivity
253 analyses have shown that in reaches with hydraulic control, changes of up to +20% in
254 Mannings n -value produce <5% change in corresponding flood discharge (Enzel et al., 1994).
255 Rating curves relating individual flood unit elevations with HEC-RAS generated flood
256 discharges were established for each site, and minimum flood discharges matching the upper
257 elevation of sedimentary units were estimated in addition to the flood magnitudes associated
258 with HWM and the nonexceedance bounds.

259 Flood frequency analysis was carried out at Vogelkranz and Echo Camp sites using the
260 recorded data of the Hardap Dam and Seeheim gauge stations respectively combined with the
261 palaeoflood discharge estimates. In order to combine the data for different sites on the same
262 river (e.g., Vogelkranz site with Hardap Dam, and vice versa), the ratio of flood peaks were
263 calculated as being the square root of the catchment:

$$264 \quad Q_1 = Q_0 \sqrt{\frac{A_1}{A_0}}$$

265 where Q_0 is the discharge at Vogelkranz, Q_1 is the floodpeak entering the Hardap Dam, A_0 is
266 the catchment area at Vogelkranz (11,050 km²), and A_1 is the catchment area at Hardap Dam
267 (13,600 km²). The aim is to provide at the sites the statistical return period quantiles to
268 estimate discharges associated with return periods of interest for flood hazard studies (from
269 50 to 500 years; e.g., Harden et al., 2011) and infrastructure design (>500 years). The first
270 step in the methodological approach is the classification of the type of information gained by
271 the palaeofloods (Stedinger and Baker, 1987; Botero and Frances, 2010). In the lower bound
272 (LB) type it is known that the flood was larger than a known level (e.g., alluvial surface). For
273 instance, in a flood deposit sequence this LB is the elevation (or associated discharge) of the
274 respective SWD units overlying an alluvial or bedrock surface. This value remains as a
275 minimal threshold during a period of time until a new bigger flood deposits a succeeding
276 flood layer and therefore sets a new higher threshold. The upper bound (UB) data type
277 represents information constraining the maximum possible discharge for a given flood or
278 period of flooding. Such UB data typically are physically represented by the elevation of
279 stable high alluvial or bedrock surfaces with lack of flood evidence (e.g., well-developed
280 desert varnish on clast or bedrock surface indicative of high stability). The double censored
281 (DC) data are defined by a bracketing discharge with its lower and upper limits corresponding
282 to the value of the minimum discharge reached by the flood and the value of a maximum

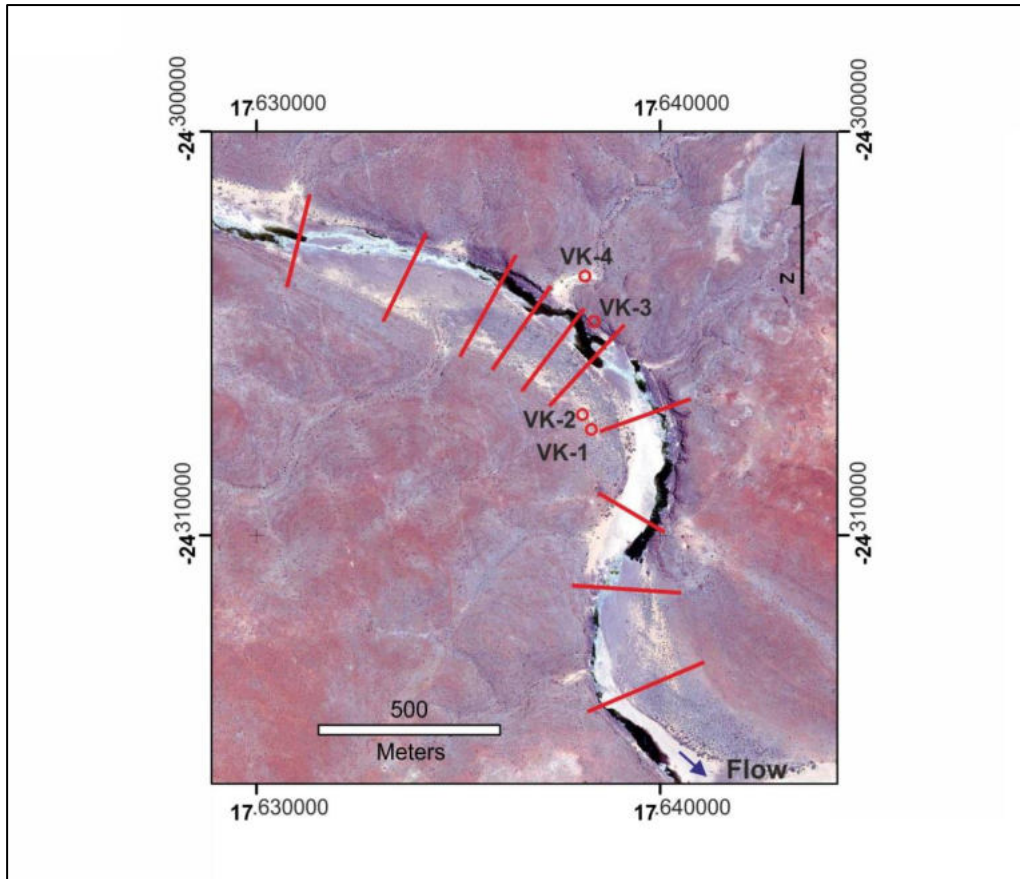
283 discharge not reached. The classification allows the incorporation of palaeoflood data into the
284 available statistical procedure. To estimate the parameters of the probability distribution
285 function, the maximum likelihood method was implemented (Stedinger and Cohn, 1986). A
286 set of probability distribution functions were fitted to the systematic and palaeoflood data.
287 The two-component extreme value (TCEV) distribution function produced the best fit.

288 **4. Palaeoflood hydrology in the Fish River**

289 At Vogelkranz (24°18'32" S, 17°38'19" E) (Fig. 1), on the farm 'The Analyst', the catchment
290 area is 11,200 km², ~13% of the total catchment area of the Fish River drainage basin. The
291 Echo Camp site (27°20'28" S, 17°42'09" E) (Fig. 1) is in the Gondwana Nature Reserve of
292 the Fish River Canyon. Here the catchment area is 57,000 km², approximately 70% of the
293 basin area.

294 *4.1. Stratigraphy and chronology of the Vogelkranz site*

295 In the Vogelkranz site, the stream bed (50-60 m wide) is incised on bedrock and consolidated
296 alluvium and is composed by coarse gravel lag deposits and lateral gravel bars (Fig. 4). The
297 left margin of the channel is bounded by a bedrock cliff with bouldery talus deposit
298 accumulations at the toe, whereas the right bank cuts a gravel and boulder terrace with
299 apparent stability to fluvial erosion (Fig. 5A). Three sequences of SWD were described along
300 the 500-m reach. Sites VK-1 and VK-2 are located on a right bank gravel terrace, and VK-4
301 is placed at the mouth of a side tributary (Fig. 4).

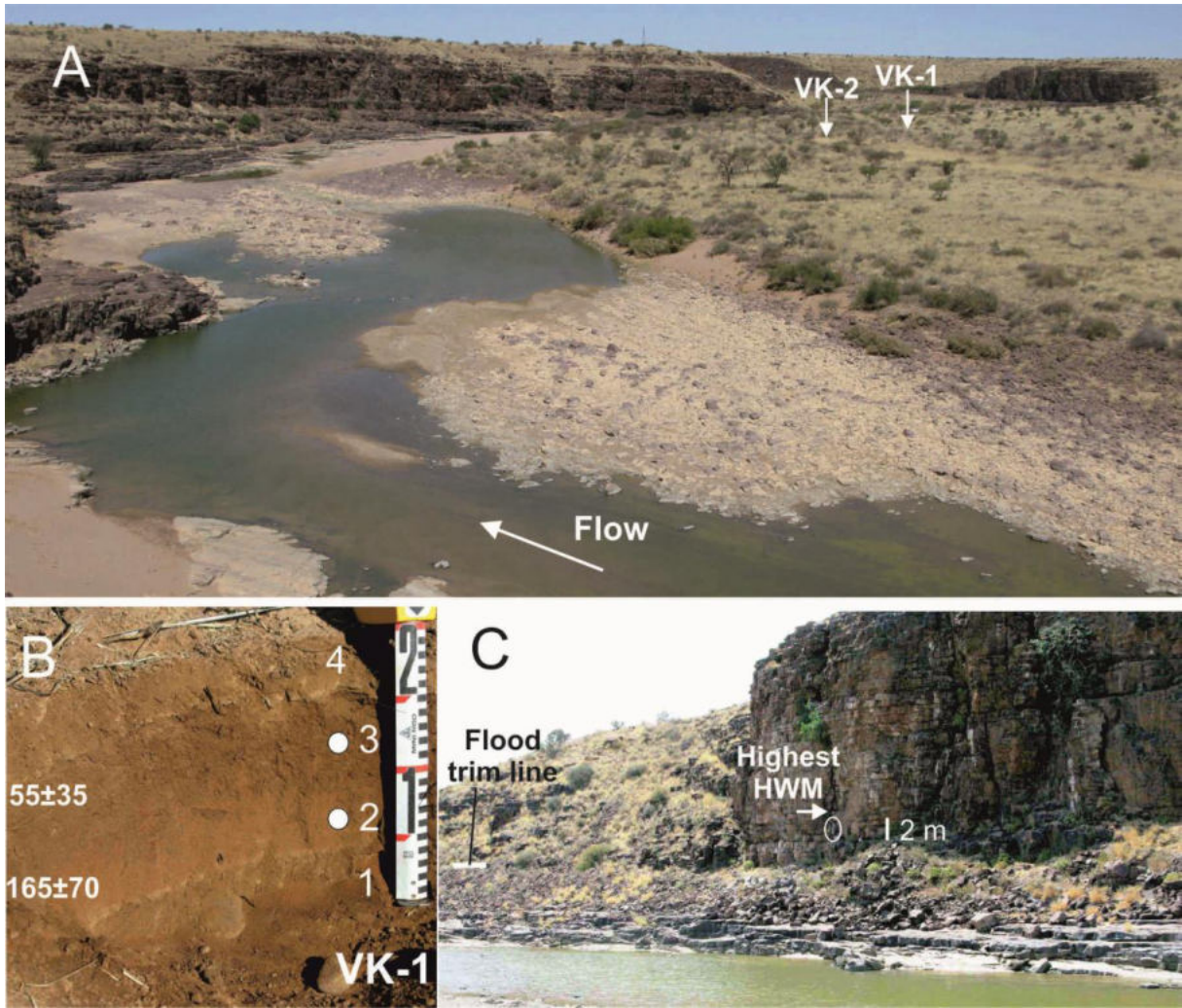


302

303 Fig. 4. The Vogelkranz site study reach in the Fish River (photo from Google Earth dated on
 304 19 July 2016). The palaeoflood sediment stratigraphic profile sites and cross sections used in
 305 the hydraulic model discharge estimations are indicated.

306

307 The highest flood deposits at VK-1 are associated with estimated minimum discharges of
 308 $3460\text{-}3610\text{ m}^3\text{s}^{-1}$. These SWDs are ca. 25 cm in thickness and are comprised of at least four
 309 units of fine to very fine sand with obscure lamination (Fig. 5B). The contacts between units
 310 1-2 and 3-4 are formed by continuous silt laminae of ~ 3 mm in thickness, whereas contact at
 311 units 2-3 is diffused. The second and third flood units are 165 ± 70 (A.D. 1845 ± 70) and
 312 55 ± 35 years old (A.D. 1955 ± 35) respectively (Fig. 6, Table 2).



313

314 Fig. 5. Vogelkranz reach: (A) general view of the Vogelkranz reach looking downstream with
 315 location of stratigraphic profiles VK-1 and VK-2 on old alluvial surfaces. (B) View of the
 316 VK1 pit with indication of the stratigraphic units and luminescence dating results. (C) Flood
 317 trimline on coarse colluvium deposits, and location of the log stuck in a crevice against the
 318 cliff, dated by radiocarbon as 30 ± 30 ^{14}C YBP

319

320 About 60 m upstream, profile VK-2 is lower in elevation with an associated minimum
 321 discharge of $2260\text{-}2500$ m^3s^{-1} . The SWDs contains at least six flood units with a total
 322 thickness of 40 cm (Fig. 6). The lowest three units are silt to very fine sand with horizontal
 323 lamination and clear to diffuse contacts marked by bioturbation and an individual colluvial

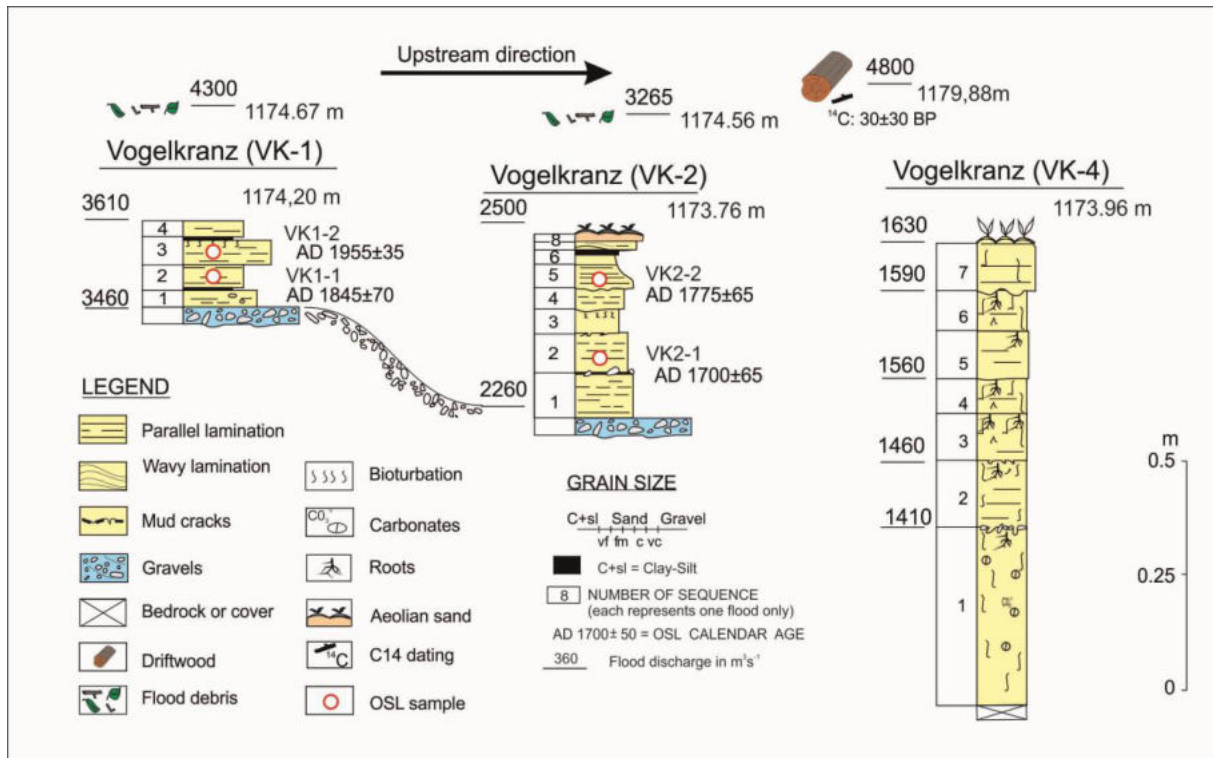
324 clast on the boundary. The upper set are comprised of very fine sand to silt texture and well-
325 developed parallel to cross-lamination, with clear contacts caused by erosion or deposition of
326 clay to silt laminae. The second and fifth flood units are 310 ± 65 (A.D. 1700 ± 65) and
327 235 ± 35 (A.D. 1775 ± 35) years old respectively (Fig. 6, Table 2).

328 The thickest palaeoflood deposits are located at about 130 m upstream from a tributary
329 junction (VK-4). Minimum discharges estimated for this stratigraphic profile range between
330 1400 and $1560 \text{ m}^3\text{s}^{-1}$. This flood deposit bench contains at least seven flood units, with a total
331 thickness of 1 m, made of silt to very fine sand, with blurred horizontal lamination, and a high
332 degree of bioturbation and root marks, some filled with secondary carbonates (Fig. 6). The
333 OSL samples were not dated because of the lower topographic position in relation to site VK-
334 2 showing a similar number of flood units likely within an equivalent temporal framework.

335 In addition to SWD, high-water marks related to maximum flood stages are well preserved as
336 scour lines on ancient colluvium at the left valley margin. This line elevation is also matched
337 by a thin veneer of patchy sand deposits and remnants of drift wood (Fig. 5C). An organic
338 sample (VK3; Beta-287852) was taken from a tree log stuck in a crevice against the left
339 margin cliff. It was very young (30 ± 30 radiocarbon YBP), corresponding to 2σ cal. age of
340 A.D. 1890 to 1910 and cal. A.D. 1950 to beyond 1960. The fresh appearance and continuity
341 of the scour marks and driftwood of this line suggest a likely correspondence to the 1972
342 flood, the largest on the instrumental record. Peak flow discharge estimation associated with
343 this highest line is $4800 \text{ m}^3\text{s}^{-1}$.

344 An intensive field search was dedicated to identifying flood indicators higher than this
345 presumed 1972 flood stage. The search also focused on the flat gravel surfaces within the
346 limit of the highest evidence of flood stage along the study reach. We found no evidence for
347 larger floods. These high alluvial surfaces are characterised by poorly developed soils or a
348 thin veneer of patchy aeolian sands on the gravelly surface. We used these observations to

349 provide a UB for flood stages in the reach of $6400 \text{ m}^3\text{s}^{-1}$. The duration of this nonexceedence
 350 UB is difficult to establish, but according to the weak soil development we estimate this time
 351 interval as possibly 1000 years.

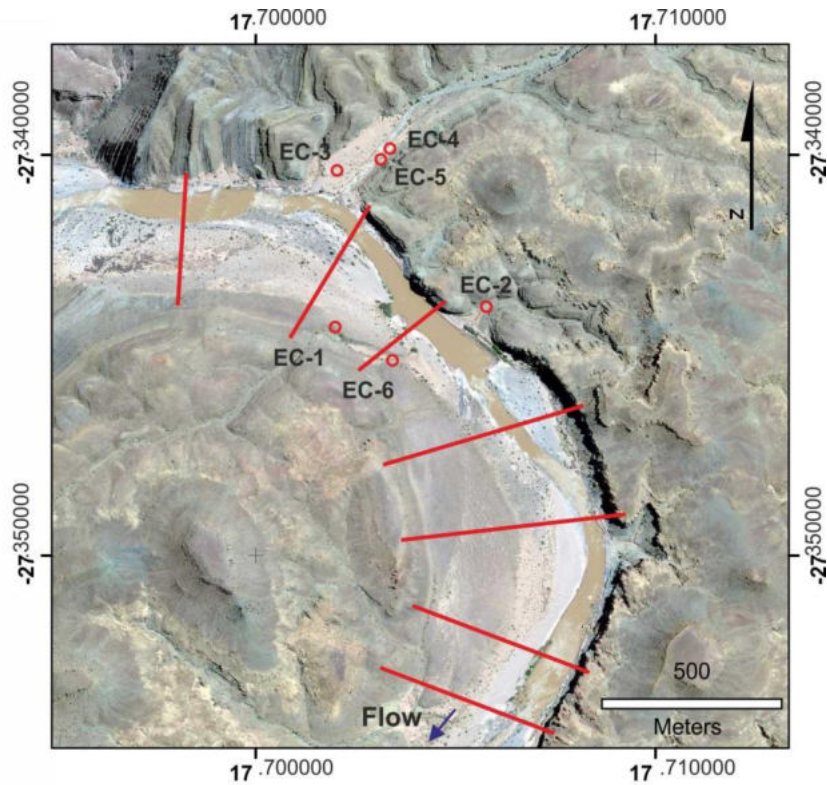


352
 353 Fig. 6. Stratigraphic profiles described and sampled at the Vogelkranz reach, showing dated
 354 samples (OSL dates in years A.D., and radiocarbon dates in conventional ^{14}C YBP). The GPS
 355 elevation (in m asl) of the stratigraphic profiles and flooddrift wood is indicated.

356
 357 *4.2. Stratigraphy and chronology Echo Camp*

358 At the Echo Camp study site the catchment area is $57,000 \text{ km}^2$. The studied reach extends
 359 along a length of 1900 m with an average valley bottom width of 150-350 m (Fig. 7). The
 360 river valley develops a vertical cliff on the left margin with a significant tributary entering on
 361 the upper sector of the studied reach. The right valley margin descends in steps to the valley
 362 bottom, formed in several old river terrace surfaces with desert varnish coating the gravelly

363 and boulder deposits (Fig. 8A). Six SWD sites were documented, with four providing relevant
364 palaeoflood data: EC-1 and EC-2 are located on top of gravel alluvial surfaces, whereas SWD
365 sites EC-4 and EC-5 are within the tributary valley (Fig. 7).



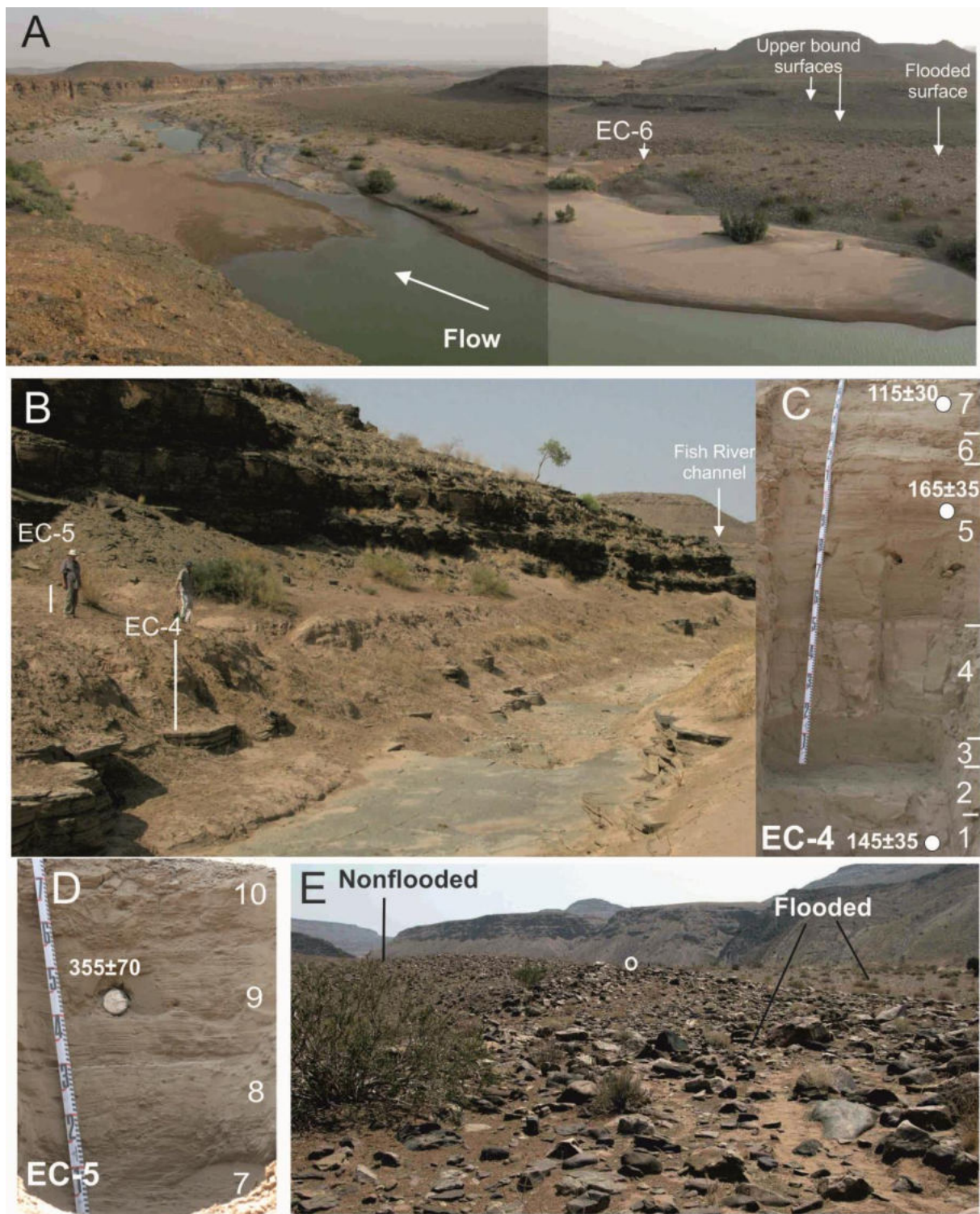
366

367 Fig. 7. The Echo Camp site study reach in the Fish River (photo from Google Earth dated on
368 23 December 2014). The palaeoflood sediment stratigraphic profile sites and cross sections
369 used in the hydraulic model discharge estimations are indicated.

370

371

372



373

374 Fig. 8. Echo Camp reach: (A) general view of the Echo Camp reach looking downstream with
 375 location of stratigraphic profile EC-6 and old alluvial surfaces. (B) View of the flood bench
 376 along the tributary stream with indication of the location of stratigraphic profiles EC-4 and
 377 EC-5. (C) View of stratigraphic profile EC-4 and luminescence dating results. (D) Site EC-5.

378 (E) View of old alluvial surfaces with evidence of flood sands (foreground), and at the
379 background those covered with desert varnish and likely above recent flooding.

380

381 Site EC-1 is located at the upstream section (350 m width) on an alluvial surface 13 m above
382 the riverbed. On this surface, evidence of episodic flooding is recognised by unvarnished
383 boulder deposits separated by a flood scar from a slightly higher alluvial surface with
384 boulders coated by dark-brown desert varnish (Fig. 7). On the flooded alluvial surface, a
385 trench was dug on a patch of fine sediments surrounded by gravels and boulders. Here, the
386 stratigraphy shows two 6-cm-thick flood units, each composed of very fine sand and silt with
387 ripple lamination (Fig. 9). These flood layers are capped by 2-mm-thick silt laminae with mud
388 cracks indicating post-flood surface exposure. The upper flood layer is covered by unbedded
389 aeolian sands. An OSL sample collected from the bottom flood unit yielded an age of 370 ± 80
390 years (A.D. 1640 ± 80). This sequence contains depositional evidence for the largest floods in
391 this reach over the last 400 years, with a minimum discharge of $8690 \text{ m}^3\text{s}^{-1}$.

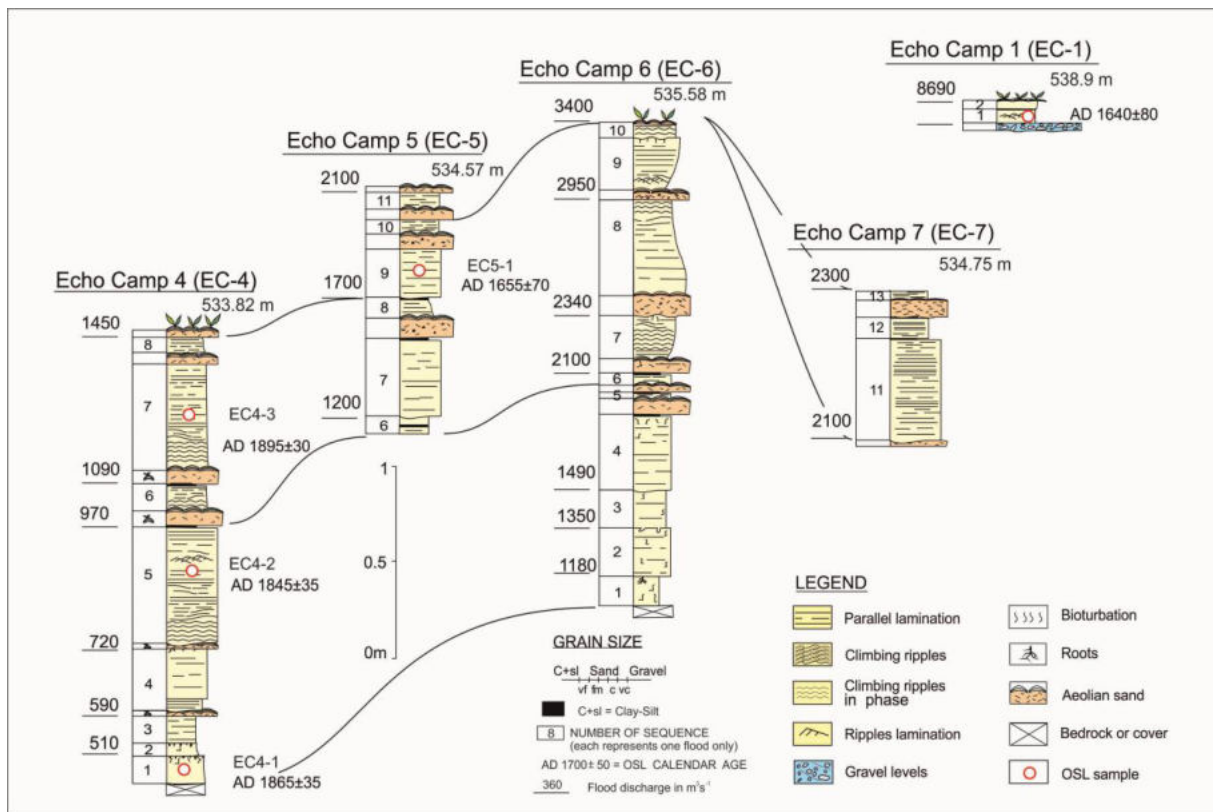
392 Sites EC-4 and EC-5 are located in a back-flooded tributary stream (90 m wide) entering the
393 canyon (Fig. 8B). In this tributary, a 4-m-thick flood deposit bench can be traced 150 m
394 upstream, thinning out as the channel rises in elevation upstream (Fig. 8B). A gully cutting
395 the flood bench across the valley shows a two-dimensional exposure of the SWD stratigraphy.
396 The EC-4 profile is composed of at least eight flood units characterised by fine and very fine
397 sands with parallel to wavy lamination and ripple marks indicating up-tributary flow direction
398 (Fig. 8C). The sand units are capped by 1-2 cm thick silt laminae. Some very fine sand to silt
399 massive sediments (2-5 cm in thickness) between flood beds is interpreted as aeolian deposits.
400 Profile EC-5 is on a transversal face of this bench showing a stratigraphic continuation of the
401 EC-4 stratigraphy (Fig. 8B). The outcrop shows six flood units; only three (9 to 11) of them
402 are not represented in EC-4 (Fig. 9). The sediments are composed of very fine sand to silt

403 with parallel lamination (Fig. 8D). Three OSL ages from EC-4 are 145 ± 35 (A.D. 1865 ± 35 ;
404 unit 1), 165 ± 35 (A.D. 1845 ± 35 ; unit 5), and 115 ± 30 (A.D. 1895 ± 30 ; unit 7). Unit 5 (A.D.
405 1845 ± 35) is stratigraphically younger than unit 1 (A.D. 1865 ± 35) which constrains unit 5 to
406 an age between A.D. 1830 and 1880. The chronological framework of these deposits revealed
407 younger ages than expected. Older flood deposits, if present, are likely buried by these
408 younger deposits, but limited field exposures did not allow confirmation. The minimum flood
409 discharges associated to bench elevation in EC-4 is $1450 \text{ m}^3\text{s}^{-1}$, whereas at EC-5 this
410 discharge exceeded $1800 \text{ m}^3\text{s}^{-1}$.

411 Sites EC-6 and EC-7 are in a small tributary valley, deeply incised into the old alluvial terrace
412 (Fig. 8A). The EC-6 stratigraphy shows at least 10 flood units, although the gravel bottom
413 was not reached in the trench (Fig. 9). The flood units are fine to very fine sand and silt with
414 parallel and wavy lamination. Sediments described at EC-7 abut the vertical face of the bench
415 forming site EC-6. Site EC-7 shows at least three flood units with flood stages lower than the
416 uppermost units in EC-6. Ages from EC-6 and EC-7 are not available — although its position,
417 number of units, and stratigraphy resemble those described in EC-4 and EC-5 — and were
418 likely deposited over the last 150 years. The estimated minimum discharges of these sites
419 range between 1180 and $3400 \text{ m}^3\text{s}^{-1}$.

420 The presence of old alluvial surfaces at different elevations along the Fish River Canyon is a
421 unique setting in searching for evidence of inundation and noninundation at elevations within
422 the uppermost flood stage limit (palaeohydrological bounds; Levish, 2002; Figs. 8A, 8E). On
423 several of these alluvial terraces along the study reach, soils and sediments were documented
424 in dug pits with the goal of finding evidence of surface stability and/or flooding. The
425 elevation of alluvial surfaces, without any flood evidence, provided a UB for flood stages,
426 corresponding to an associated peak discharge of $14,900$ - $16,140 \text{ m}^3\text{s}^{-1}$. These discharges are

427 almost twice the largest flood discharge estimates from palaeoflood sediments at site EC-1
 428 ($8690 \text{ m}^3\text{s}^{-1}$).



429
 430 Fig. 9. Stratigraphic profiles described and sampled at the Echo Camp reach indicating dated
 431 samples (OSL dates in years A.D.) and proposed correlations between sections. The GPS
 432 elevation (in m asl) of the stratigraphic site is indicated on the upper right side of the profile.

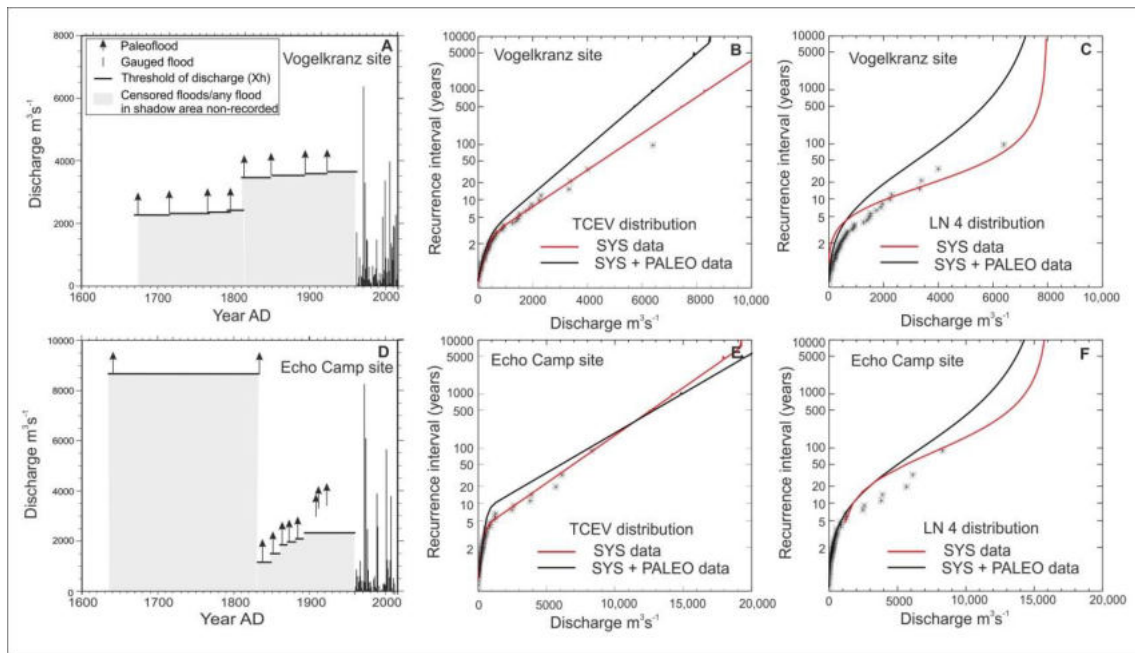
433
 434 **5. Flood frequency analyses using palaeoflood data**

435 Extreme value probability distributions were fitted to the annual series of maximum
 436 discharges (SYS) from instrumental records and to the combined sets of these annual series
 437 and the palaeoflood data (SYS+PALEO) to estimate values for several recurrence intervals.
 438 Several distributions were examined: exponential, lognormal, Gumbel, generalized extreme
 439 value (GEV), and two-component extreme value (TCEV). These distribution functions,

440 however, provide quantile extrapolation without limit on discharge values, possibly exceeding
441 the hydrometeorological potential of the catchment to produce floods of such high magnitude
442 (Enzel et al., 1993). Field expression of upper limits of flooding over a specific time interval,
443 described above as palaeohydrological bounds, were used to constrain the tail of flood-
444 frequency distributions (Levish, 2002; England et al., 2006). This UB gives more robust
445 frequency and magnitude estimates of rare and large floods (O'Connell et al., 2002). Some
446 distribution functions may incorporate an additional parameter as an upper limit to the random
447 variable (Botero and Frances, 2010). Here, we apply a UB distribution function to our case
448 studies, namely LN4, that is a transformation of lognormal distribution (Takara and Loebis,
449 1996).

450 For the Vogelkranz reach in the upper catchment, eight palaeoflood discharges were included
451 as LB types exceeding incrementally increasing discharge thresholds between 2260 and
452 $3650 \text{ m}^3\text{s}^{-1}$ over the time interval 1675-1962 (Fig. 10A). The systematic data from the Hardap
453 Dam recorded three floods exceeding the highest palaeoflood discharge threshold, namely the
454 1972 ($5500 \text{ m}^3\text{s}^{-1}$), 1973 ($3320 \text{ m}^3\text{s}^{-1}$), and 2000 ($3400 \text{ m}^3\text{s}^{-1}$) floods. Field evidence of the
455 1972 flood in the study reach is a tree log stuck in a rock crevice that provided a discharge of
456 $4800 \text{ m}^3\text{s}^{-1}$. A more recent HWM (driftwood) corresponded to only one flood exceeding the
457 coarse gravel terrace, meaning a discharge over $3300 \text{ m}^3\text{s}^{-1}$. These HWMs are likely
458 associated with the 1972 flood, although it was possibly left by the second largest flood in the
459 year 2000.

460



461

462 Fig. 10. Left column: palaeoflood and systematic discharges at Vogelkranz (A) and Echo
 463 Camp (D) sites. The horizontal shaded areas represent the discharge threshold values (Q_h) and
 464 the arrows the minimum discharge associated to the palaeoflood events used in the flood
 465 frequency analysis. Central column: two-component extreme value distributions fitted to
 466 annual series of systematic peak discharges and palaeoflood information for Vogelkranz (B)
 467 and Echo Camp (E). Right column: lognormal UB distribution function (LN4) fitted to
 468 annual series of systematic peak discharges and palaeoflood information for Vogelkranz (C)
 469 and Echo Camp (F).

470

471 The plotting positions of the Fish River show a change in the slope of the sample, the *dog-leg*
 472 effect (Potter, 1958), characteristic of torrential regime rivers (Fig. 10B). The TCEV
 473 distribution (Rossi et al., 1984) was found to best fit the data, since it provides a good
 474 representation of two flood populations; ordinary (e.g. local storms) and extraordinary floods
 475 (e.g. mesoscale convective systems). The incorporation of the palaeoflood data into the flood
 476 frequency analysis provided lower estimates for the flood quantiles. For instance, the 1%

477 annual exceedance probability (AEP) at Hardap Dam resulting from gauge data ($5200 \text{ m}^3\text{s}^{-1}$)
478 is about 15% higher than the one also using palaeoflood data ($4415 \text{ m}^3\text{s}^{-1}$). These results are
479 not unique, as in several cases longer records from historical or palaeoflood data may not
480 always contain evidence for floods larger than experienced in the instrumental record (55
481 years) — although this is not the more common case. We also note that the annual floods
482 from Hardap Dam were not obtained from a gauge station but from the rate of water input to
483 the reservoir. Moreover, stream flow from the Pakriem River that joins the Fish River
484 downstream of the palaeoflood study reach may contribute with a portion of the discharge
485 entering the dam explaining, in part, the anomalously high peak flows recorded in the Hardap
486 Dam. In the Vogelkranz reach, the field inspection of high alluvial surfaces provided a UB for
487 maximum peak flow of $6400 \text{ m}^3\text{s}^{-1}$, which corresponds to the ~500-year flood in the
488 instrumental record and the 2000-year flood using the palaeoflood record (Table 3). Note that
489 the largest flood evidence in the study reach for an ~400-year interval (A.D.~1617 to 2015)
490 was estimated at $4800 \text{ m}^3\text{s}^{-1}$ which corresponds to average recurrence intervals of 100 years
491 and 300 years from the SYS and the SYS+PALEO analyses respectively. In the FFA analysis
492 using the UB distribution function LN4, the UB estimated by the field evidence as $6400 \text{ m}^3\text{s}^{-1}$
493 matched the UB value calculated using the Kijko (2004) Generic Equation, in a similar
494 fashion as described by Botero and Frances (2010). This UB value allowed the use of the SYS
495 data and the comparison with the SYS+PALEO data at the Vogelkranz site and at Hardap
496 Dam, considering the proportional increase on drainage surface. The LN4 function shows a
497 good performance in the distribution fitted with palaeoflood data asymptotically approaching
498 the upper limit (Fig. 10C). The discharge for quantiles equal or lower than the 100-year flood
499 in the LN4 distribution for the SYS+PALAEO data set is similar to those obtained with the
500 TCEV distribution (Table 3). The largest palaeoflood HWM ($4800 \text{ m}^3\text{s}^{-1}$) is associated with
501 an average recurrence interval of 300 years. The LN4 distribution function fitted only to SYS

502 data also matches the flood data, but more rapidly approaching the upper limit than the one
503 fitted to the SYS+PALEO record (Fig. 10C). The LN4 shows the best fit to the plotting
504 positions, matching the dog-leg effect and the field evidence of the UB. This distribution is
505 highly recommended for estimating the discharges associated to quantiles of interest for dam
506 engineering in the Fish River catchment.

507

508 In the Echo Camp reach, the systematic records applicable to the Echo Camp reach are the
509 Seeheim station (46,400 km², since 1961) and the Ais-Ais weir (63,300 km², since 1976), the
510 former with higher annual maximum discharges owing to downstream flood peak attenuation.
511 In frequency analysis, the Seeheim station was used because it has the longest systematic
512 record and includes the 1972 flood, which is the largest on record. A total of 11 palaeoflood
513 data were included in the FFA as LB type, 10 post-dating A.D. 1837 and two post-dating A.D.
514 1640, found on the upper alluvial surface (Fig. 10D). The data input was supplemented with
515 the historical observation of water level for the 1912 flood at Seeheim, which was associated
516 with a discharge of 3200 m³s⁻¹ according to our hydraulic calculations. The TCEV
517 distribution function provided the best fit to the plotting positions, both using the SYS and the
518 SYS+PALAEO data sets (Fig. 10E). The incorporation of the palaeoflood data into the FFA
519 results in slightly higher values (~4-7%) in the magnitude of the higher flood quantiles
520 (Table 3). The largest palaeoflood discharge of 8690 m³s⁻¹ is associated with an average
521 recurrence interval of ~100 years, whereas the 1972 flood (8301 m³s⁻¹ at the Seeheim station)
522 is slightly below that return period. The inspection of high alluvial surfaces, in search of
523 flooded/nonflooded evidence, produced evidence that relates to a UB discharge of
524 16,140 m³s⁻¹. This discharge, according to the TCEV distribution, would be exceeded by a
525 2000-year flood (exceedance probability of 0.05%), suggesting an unrealistic high value
526 caused by artificial extrapolation of this unbounded parametric distribution function. The

527 analysis of the PALEO+SYS data with an LN4 UB frequency distribution fixing the UB to
528 $16,140 \text{ m}^3\text{s}^{-1}$ provides a better performance, reproducing the shape of the plotting positions
529 with a slow approach of the function to the upper limit (Fig. 10F). The largest palaeoflood of
530 $8690 \text{ m}^3\text{s}^{-1}$ is then associated with an average return interval of 250 years, consistent with the
531 two floods recorded on the upper alluvial surface since A.D. 1640.

532 **6. Discussion**

533 *6.1. Flood history and climatic context*

534 The Fish River contains abundant sedimentary evidence of ancient floods deposited mainly at
535 tributary mouths and expansion reaches and locally overlying high alluvial surfaces. The dry
536 environmental conditions of the region favour the preservation, identification, and persistence
537 of these fine-textured flood sediments and the contacts between flood units.

538 The atmospheric conditions leading to flooding are related to farther south than normal
539 incursions of the semipermanent Angola low-pressure cell and moisture advected from the
540 Indian Ocean and from the African tropics (Henschel et al., 2005, Grodek et al., 2013; Fig. 1).
541 The abnormal moisture transport toward central and southern Namibia produces higher than
542 average seasonal rain that may even penetrate the Namib Desert and cause regional flooding.
543 The general hydrological response of the catchment during the largest floods is corroborated
544 by mineralogical fingerprints from clay mineral assemblages (illite/chlorite ratio) of flood
545 sediments south of Ais-Ais, which shows influence from different catchments (Heine, 1987;
546 Heine and Vökel, 2010). This widespread flood response should also be reflected by the flood
547 stratigraphy with a similar temporal framework and number of flood units. The most complete
548 palaeoflood stratigraphy is found on tributary mouths and upstream of tributary valleys being
549 backflooded during high flood stages. In the Vogelkranz site, the stratigraphy contains at least
550 eight flood units, whereas in the Echo Camp site the tributary stratigraphy is composed of at

551 least eleven flood units. However, palaeoflood sedimentation on high alluvial surfaces
552 provides the most valuable palaeoflood data, allowing discernment of the number of floods
553 exceeding the elevation of some high alluvial surface over a certain time interval. In the
554 Vogelkranz site, eight and four flood sedimentary units were identified on two alluvial
555 surfaces that require a minimum discharge of 2250 and 3500 m³s⁻¹ for inundation
556 respectively. In the Echo Camp, two flood units deposited on a high alluvial surface show the
557 number of exceedances for discharges higher than 8690 m³s⁻¹ over the last 350 years,
558 providing critical data necessary for flood-frequency analysis. These discharges are
559 comparable to the largest peak flows recorded in the instrumental record for the 1972 flood,
560 namely 6400 and 8300 m³s⁻¹ respectively at the Hardap Dam and Seeheim gauge station,
561 although we modelled this flood in the studied reach at Vogelkranz as 4800 m³s⁻¹ according to
562 identified high-water marks.

563 The two studied palaeoflood sites agree on the timing of this past flood evidence, with the
564 oldest palaeoflood age of 310 ±65 years. The relatively short time record indicates either an
565 episodic erosion of older flood deposits by subsequent larger flooding or may indicate a
566 preceding interval of unknown length without major flooding. Sedimentary preservation
567 problems were also described on other palaeoflood studies on Namibian rivers (Heine, 2004;
568 Heine and Völkel, 2011). For instance, in the lower Fish River south of Ais-Ais, Heine (1987)
569 described at least two different accumulation phases of flood deposits, the youngest sequence
570 deposited by the 1962/1963 floods, but without evidence of flood sediments deposited during
571 the last centuries. Preservation of these deposits depends on the fluvial activity of tributary
572 streams and slope runoff, resulting in flood benches composed of multiple inset relationships
573 caused by cycles of erosion and aggradation in the valley.

574 During the overlapping historical interval (since A.D. 1800) and instrumental records (since
575 1962), a most likely date was assigned to each palaeoflood deposit coherent with bracketing

576 ages provided by the numerical geochronology. The assigned flood ages allow plotting (Figs.
577 10A, D) but does not affect the flood frequency analysis results. In the Vogelkranz reach, four
578 floods exceeding $3500 \text{ m}^3\text{s}^{-1}$, that post-date an OSL age of 165 ± 10 years, were assigned to
579 known rainy years at 1831, 1892-1893, 1923, and 1972. In the Echo Camp, the palaeofloods
580 recorded at the tributary stream post-date an OSL age of 145 ± 35 years and the overlying
581 seven to eight flood deposits probably occurred during the nineteenth century. Likely they
582 occurred in anomalous rainy years of 1814, 1831, 1863, 1863-1864, 1872-1873, 1892-1893,
583 1894, and 1898, according to regional historical data of wet years in the Fish River catchment
584 (Nicholson, 2001). Another set of flood units were deposited since 1900, with likely dates of
585 1909, 1923, 1972, 1976, 1988, 2000, and 2006, all with discharges over $2500 \text{ m}^3\text{s}^{-1}$.

586 The palaeoflood chronology shows a period of flood activity in the Fish River at A.D. 1640-
587 1700 that climatically corresponds to the Little Ice Age (LIA; A.D. 1300-1800; Tyson et al.,
588 2000). Similarly, increased moisture conditions during 1550-1700 and 1825-1900 were
589 recorded from middens of rock hyrax (*Procavia capensis*; Chase et al., 2009) in the Namib
590 Desert. In the central Namib (Swakop, Kuiseb, and Tsauchab rivers), evidence is seen of
591 flooding during the LIA, but field data suggest palaeoflood magnitudes minimally exceeded,
592 if at all, the most recent floods (Heine, 2004, 2011). In the Swakop River (Greenbaum et al.,
593 2014), the largest flood magnitudes were recorded between A.D. 1300 and 1850 and relate to
594 the transition from a drier climate to a colder and probably wetter climate during the LIA in
595 southern Africa (Tyson and Lindsay, 1992; Heine 2004). In the Kuiseb River only minor
596 changes in flood frequency were identified over the past millennium, with an increase in the
597 occurrence of large floods at A.D. 1565-1715, overlapping the Late Maunder Minimum
598 (1675-1715), a period associated with cold conditions in southern Africa (Grodek et al.,
599 2013). Similarly, the 5500-year palaeoflood record in the Orange River registered the most
600 catastrophic floods between A.D. 1453 and 1785 (Zawada and Smith, 1991; Zawada, 2000),

601 and farther south in the Buffels River flood frequency increased after A.D. 1600 in relation
602 with cooler regional conditions (Benito et al., 2011b). In the Fish River, large floods were
603 dated at 1640-1715, also coinciding with the Maunder Minimum (A.D. 1645-1715). Likewise,
604 our Fish River palaeoflood record points to climate variability exerting some control on flood
605 frequency, specifically a minor increase in flood frequency/magnitude during cold periods,
606 which likely coincide with more seasonal rains. The distribution of these rains would cover
607 extensive areas all over from the headwater in the escarpment to the Namib Desert, possibly
608 indicating a general increase in water availability. Despite the strong interannual flow changes
609 intrinsic to ephemeral rivers in arid and semiarid regions, our records show indications of
610 lower (centennial) variability of the occurrence of extreme floods over the past three
611 centuries.

612 *6.2. Critical assessment of the 1972 flood discharge estimates*

613 On 16 March 1972 a rapid filling of the Hardap Dam required the largest release of water ever
614 from the flood gates to prevent uncontrolled overtopping. Because no gauging structures were
615 located upstream of the dam, an inflowing flood hydrograph was estimated using gauge plate
616 readings and controlled releases from the dam flood gates. The incoming flood, initially
617 estimated at $6400 \text{ m}^3\text{s}^{-1}$, is the largest on record.

618 Determination of a robust discharge value, however, is critical for flood management in the
619 catchment. Differing values of the 1972 peak discharge rate in the incoming flood hydrograph
620 were reported, namely $6100 \text{ m}^3\text{s}^{-1}$ by Kovacs (1988), $6400 \text{ m}^3\text{s}^{-1}$ by NamWater (DWA), and
621 $6800 \text{ m}^3\text{s}^{-1}$ by Hattingh et al. (2011). Based on the original gauge plate reading, we carried out
622 a new water balance, revisiting the flood gate releases, the emergency spill release, and an
623 increase or reduction in dam capacity. The outcome of this analysis provided a maximum
624 inflow discharge rate of $5500 \text{ m}^3\text{s}^{-1}$.

625 During the palaeoflood study performed at Vogelkranz, evidence was found of a flood peak of
626 $4800 \text{ m}^3\text{s}^{-1}$, which passed down the Fish River in the 1970s. An additional source of flood
627 water is the Packriem River (1700 km^2 in drainage area), a tributary of the Fish River with its
628 confluence located directly upstream of the Hardap Dam. Therefore the 1972 flood, which
629 entered the Hardap Dam, could have consisted of the $4800 \text{ m}^3\text{s}^{-1}$ from the upper Fish River,
630 which passed the Vogelkranz site, plus an additional contribution mainly from the Packriem
631 River of $\sim 700 \text{ m}^3\text{s}^{-1}$ (13% of the total peak flow) for a peak flow of $5500 \text{ m}^3\text{s}^{-1}$. The additional
632 contribution of the Pakriem River, with a specific discharge of $0.4 \text{ m}^3 \text{ s}^{-1} \text{ km}^{-1}$, appears to be a
633 reasonable figure.

634

635 *6.3. Upper bound to floods magnitudes*

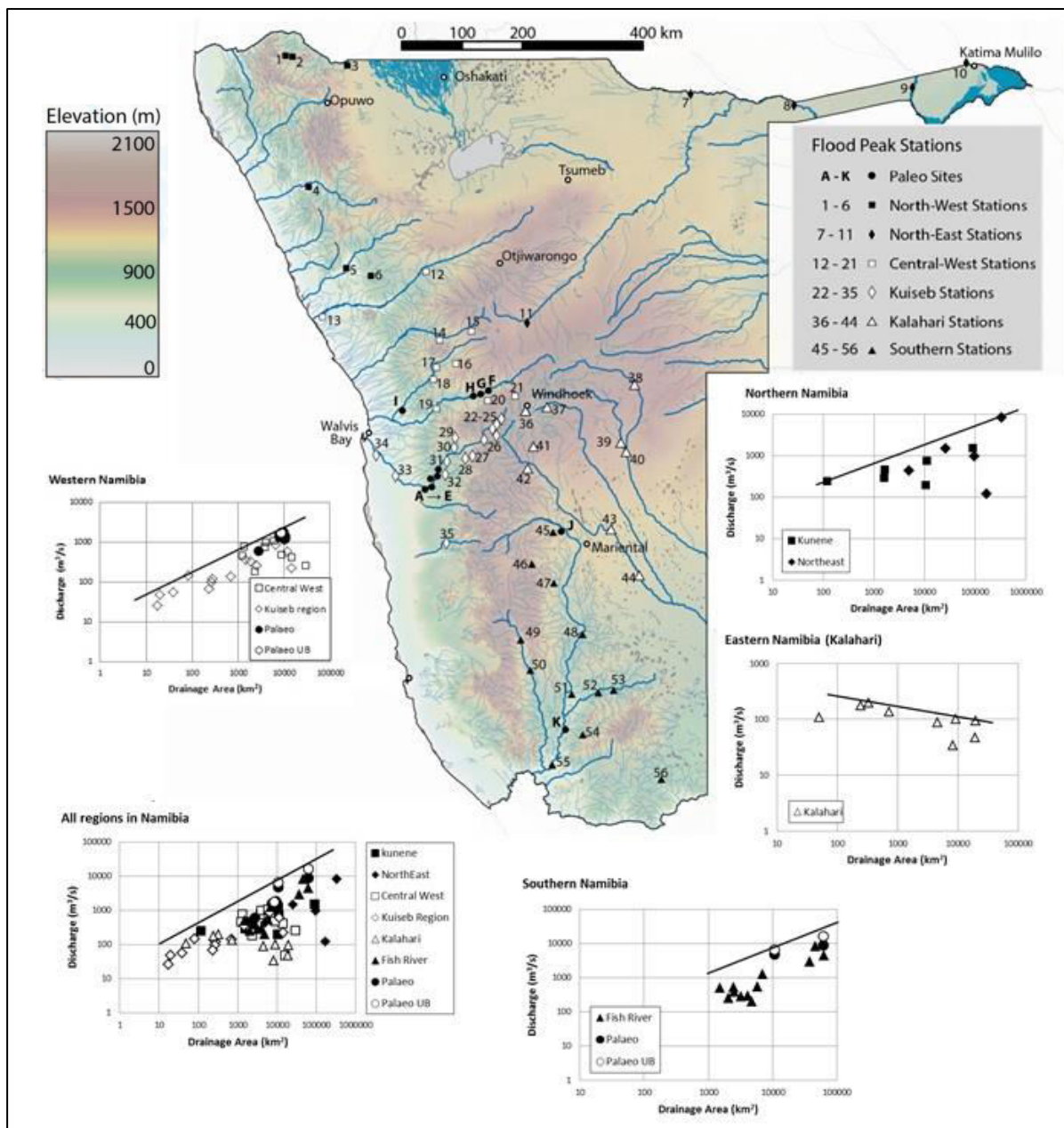
636 The ubiquitous presence of old alluvial surfaces with stable flat topography provides valuable
637 information about the largest stage of flooding in the study reach. The discharge estimation
638 associated to flooded/non-flooded surface allows setting a UB magnitude of flooding, which
639 can be incorporated in the flood frequency analysis. These high alluvial surfaces are typically
640 composed of gravel and boulders for which exposed surfaces are coated by desert varnish.
641 Desert or rock varnish is slow accreting (1–40 micron/ky) Mn, Fe and Si rich micron-size
642 laminae on sub-aerially exposed rock surfaces (Perry and Adams, 1978), typically formed on
643 regions with annual rainfall of 30-120 mm (Goldsmith et al., 2014). The detailed field
644 inspection of homogenous desert varnish over exposed gravels and boulders in the Echo camp
645 reach allows identifying high surfaces not affected by flood erosion over time intervals
646 probably covering several millennia of little or no inundation. Here, in the Fish River Canyon,
647 the UB flood indicators provide evidence that flooding over the past several thousand years
648 has not exceeded $16,140 \text{ m}^3\text{s}^{-1}$, although this may be a conservative upper bound. In the upper
649 Fish River catchment, upstream of the Hardap Dam, the identification of these non-flooded

650 upper alluvial and bedrock surfaces was supported by the contrast with flooded gravel
651 surfaces, as indicated by pocket accumulations of flood sand and silt. The non-inundated
652 surfaces upstream of Hardap Dam indicate no floods for several hundred or thousand years
653 with discharges greater than $6400 \text{ m}^3\text{s}^{-1}$, despite this value matching the largest flood
654 discharged reported by by NamWater (DWA for Hardap Dam systematic record). The
655 physical evidence of an upper bounded discharge of flooding was used to limit the
656 extrapolation of the discharge associated to flood quantiles (Botero and Frances, 2010). The
657 use of upper bounded distribution functions under the premise of maximum flood limit
658 provided a robust characterization of the palaeoflood and systematic records.

659

660 Palaeoflood data can provide a significant extension of the instrumental data series to help
661 define the maximum limit of flooding in the analysis of envelope curves (Enzel et al., 1993).
662 In Namibia, the empirically established upper limit of the regional maximum floods (RMF)
663 was described for Namibia by Kovačs (1988) and more recently revised by Cloete et al.
664 (2014). These regional enveloped curves were built from maximum monthly instantaneous
665 flood peak data from 55 river gauging stations, and almost 1900 station years of gauged data
666 (Cloete et al., 2014). Here, we plotted the maximum flood discharge vs drainage basin at
667 northern, south and central-west, and Eastern Namibian regions (Fig. 11). The extended
668 palaeoflood data records (HWMs and PSIs) plot on the upper range of flood discharges
669 produced at any given catchment area. Fig. 11 shows that the number of flood data for
670 catchments smaller than 100 km^2 is very limited. Hence, a potential application of palaeoflood
671 records could complete the lack of knowledge on flood discharges from small ungauged
672 catchments in Namibia.

673



674

675 Fig. 11. Envelope curves in Namibia. Palaeo UB refers to upper bound floods (field evidence
 676 of non-exceedence elevation).

677

678 *6.4. Extended flood records: implications for flood management and infrastructure design*

679 Most of the large infrastructures, mainly dams, built or planned for Namibia are dimensioned
 680 on the basis of available systematic records and calculation of the Probable Maximum Flood.

681 In the case of systematic records, the longest gauged data in the Fish River starts on 1961 (55

682 years) with little or scarce evidence on pre-instrumental observed or documented floods.
683 According to the U.S. Bureau of Reclamation (1999), at-a-site stream flow data used for flood
684 frequency relationship, limits the credible extrapolation for annual exceedance probability
685 typically to 1%, and in optimal conditions to 0.5%. Extended flood records using systematic
686 and palaeoflood information decrease the level of uncertainty of the quantile estimates, with
687 optimal limit of credible extrapolation to 0.25% annual exceedance probability. Nevertheless,
688 our observations and analyses corroborate the hypotheses that the largest flood in the Fish
689 River systematic record (the 1972 flood) is probably the largest flood of the last few centuries.

690 The Hardap Dam was completed in 1962 with a reservoir capacity of 295 Mm³ and supplies
691 water to irrigate 3000 hectares of farmland near the town of Mariental. The dam is 34 m high
692 and has a crest length of 865 m and crest a width of 6 m. Rehabilitation dam works have been
693 performed in 1981 and 1994-1996 to prevent leakage through holes and cracking in the
694 asphalt concrete sealing. The dam is equipped with four sluice-gated spillways with a
695 combined discharge capacity of 4700 m³s⁻¹ at the high flood level of 1138.2 m (asl). At the
696 non-overspill crest level of 1139.2 m (asl), a maximum discharge rate of 5000 m³s⁻¹ is
697 achieved through the gated spillway, and an additional 1250 m³s⁻¹ over the auxiliary spillway
698 (Hattingh, 2007). Moreover, the early 1960s original dam design for the most extreme flood
699 of 6400 m³s⁻¹ (exceedance probability of 0.1%) was close to estimates for the 1972 flood
700 (5500-6400 m³s⁻¹), casting doubt on the initial dam project calculations. The design floods for
701 the Hardap Dam were re-evaluated by Hattingh (2007) as part of a dam safety assessment
702 report commissioned by Ministry of Agriculture, Water and Forestry (MAWF). The revised
703 flood peaks were calculated using the General Extreme Value (GEV) distribution function
704 over the complete 65 year record (Table 4 ; Hattingh et al., 2011). The Hattingh' report
705 concluded that the 18 years hydrological record used in the original dam project design
706 resulted in under-sized spillway capacity, and discuss the need of more than 30 years record

707 (from the actual 65 years record) to calculate the range of flood peak probabilities of
708 exceedance. In their frequency analysis a discharge value of $6800 \text{ m}^3\text{s}^{-1}$ was estimated for the
709 1972 flood peak, a figure that overestimates the peak flow entering the Hardap Dam
710 according to our calculations of $5500 \text{ m}^3\text{s}^{-1}$, biasing flood frequency analysis results.
711 Nonetheless, the extrapolation of a 65 year record to derive expected values of discharge of
712 floods of 1000 to 5000 years return periods involves a high level of uncertainty.

713 In this regard, the value of paleoflood records is its potential to incorporate physical evidence
714 of rare floods and limits on their largest magnitude over much longer time intervals.
715 Palaeoflood hydrology has been used extensively by the U.S. Bureau of Reclamation
716 (Ostenaar et al., 1996; Levish, 2002; England et al., 2010) in applying paleohydrological
717 analysis toward dam-safety assessments. Flood frequency analysis with palaeoflood data
718 shows higher discharge values than the ones obtained by Hattingh (2007) for relatively high
719 probabilities of exceedance ($>1\%$; Table 4). However, the palaeoflood statistical model gives
720 lower discharges than Hattingh (2007) analysis for quantiles with low probabilities of
721 exceedance (0.1%). In fact, the Hattingh's revised design of the 500-yr flood is on the order of
722 the field evidence UB limit of discharge (i.e. $\sim 7100 \text{ m}^3\text{s}^{-1}$) assuming an additional
723 contribution of discharge from the Packriem River. The safety evaluation flood peak (SEF)
724 based on the probability of dam failure was calculated at $11,640 \text{ m}^3\text{s}^{-1}$ (obtained empirically to
725 represent probability of exceedance of 0.035% ; Hattingh, 2007). Our upper bounds,
726 tentatively estimated by lack of field evidence based on nonerosion evidence and
727 nonvarnished rocks, indicate that this discharge was not exceeded for millennia. This SEF
728 peak flow is 40% higher than the UB –observed by field evidence. From our results it is
729 evident that the original design for the Hardap Dam does not fulfil safety criteria despite the
730 reevaluation figures (Hattingh, 2007) overestimating flood quantiles according to our
731 palaeoflood estimations. Current design flood ($5000 \text{ m}^3\text{s}^{-1}$) and the safety check flood (6400

732 m^3s^{-1}) for the Hardap Dam are underestimated, and they should be modified to converge into
733 $6000 \text{ m}^3\text{s}^{-1}$ for design flood (0.1% exceedance probability) and $7000 \text{ m}^3\text{s}^{-1}$ for the safety check
734 flood (0.01% exceedance probability). Discharges obtained previously from SEF ($11,640 \text{ m}^3\text{s}^{-1}$)
735 overestimated the discharge results, and they do not provide a realistic estimation of dam
736 safety features (dam and spillway designs).

737 **7. Conclusions**

738 The scarcity of flow gauge records in dryland ephemeral rivers is a major limitation in the
739 assessment of flood hazards and water resources. This is of paramount importance given
740 current interest in assessing the response of such rivers to future global change, especially
741 when future climate scenarios predict reduced runoff but an increase in heavy rainfalls and
742 flood hazards, as is the case for dryland regions of southern Africa (Milly et al., 2005;
743 Kundzewicz et al., 2014). This paper presented a long-term flood record based on
744 sedimentary flood deposits (palaeofloods) for the Fish River, the largest ephemeral river of
745 Namibia. Two river reaches were studied: the upper catchment (Vogelkranz site) and the
746 lower catchment within the Fish River Canyon (Echo Camp site). At each reach, stratigraphic
747 sequences of flooding, ages (^{14}C , OSL) and flood discharges were established. In the
748 Vogelkranz site there is sedimentary evidence of at least eight flood units deposited by flows
749 with discharges $>2300 \text{ m}^3\text{s}^{-1}$ and four exceeding $3500 \text{ m}^3\text{s}^{-1}$. The oldest palaeoflood unit
750 according to OSL dating was deposited 310 ± 65 years ago (A.D. 1700 ± 65). The largest flood
751 discharge of $4800 \text{ m}^3\text{s}^{-1}$ was marked by a tree log stuck in a crevice against the left margin
752 cliff, likely corresponding to the 1972 flood according to the radiocarbon date. This flood was
753 recorded at the Hardap Dam located downstream with a discharge previously estimated
754 according to the dam level on $6400 \text{ m}^3\text{s}^{-1}$, and our calculations based on dam operation
755 balance lowers the peak inflow to $5500 \text{ m}^3\text{s}^{-1}$. The peak flow difference between Vogelkranz

756 and the Hardap Dam (13%) is attributed to the contribution from a tributary, the Pakriem
757 River, entering the Fish River at the tail of the Hardap reservoir. In the Fish River Canyon
758 (Echo Camp site) sedimentary evidence shows at least two large floods exceeding $8690 \text{ m}^3\text{s}^{-1}$,
759 the oldest dated as 370 ± 80 years ago (A.D. 1560-1720) deposited overlying a high alluvial
760 surface. A set of at least 10-11 flood units were deposited at tributary mouths, post-dating an
761 OSL age of 145 ± 35 years ago (A.D. 1840-1900), the highest with minimum discharges of
762 $3400 \text{ m}^3\text{s}^{-1}$. The palaeoflood chronology suggests that large floods occurred during the cold
763 episode known as Minimum Maunder (A.D. 1650-1715) with subsequent flooding during the
764 Little Ice Age (A.D. 1450-1850). The long-term picture of the palaeoflood record shows low
765 variability on the occurrence of extreme floods over the last three centuries, which points out
766 low regional flood sensitivity to past climate episodes in arid environments.

767

768 The flood frequency analysis using maximum likelihood estimators was carried out from
769 annual maximum listed on instrumental records (SYS) and also combining gauged with
770 palaeoflood data (PALEO+SYS). The two-component extreme value (TCEV) distribution
771 function was successfully applied in both study reaches. The fitted distribution function
772 shows an average return period of 300 and 100 years for the largest palaeoflood discharges
773 (4800 and $8690 \text{ m}^3\text{s}^{-1}$) in the upper (Vogelkranz) and lower (Echo Camp) reaches
774 respectively. The extrapolation of the distribution functions in the low exceedance probability
775 quantiles ($<0.1\%$) results in higher discharge values for the distribution fitted to only
776 systematic data sets compared to the combined palaeoflood-and-systematic records. A second
777 set of flood frequency analysis was carried out using UB distribution functions, namely LN4
778 distribution, with one parameter fixed to limit the highest maximum discharge. In a first
779 approximation the discharges associated with the elevation of high alluvial surfaces with lack
780 of flood evidence were used as palaeohydrological bounds (Levish, 2002). In the Vogelkranz

781 the upper bounded surfaces provided a maximum discharge of $6400 \text{ m}^3\text{s}^{-1}$, whereas in the
782 Echo Camp it was $16,140 \text{ m}^3\text{s}^{-1}$. The UB distribution functions provided the best performance
783 to the combined PALEO+SYS data set, with a slower behaviour approaching the upper limit
784 than the one fitted to the systematic record. The flood frequency analysis using centennial
785 flood data sets were discussed in the context of dam safety evaluation calculated from
786 traditional hydrological analysis, initially with 18-year gauge data sets and most recently
787 reevaluated with a 65-year flood annual record. Our frequency analysis shows more
788 conservative discharge values for the low probability quantiles ($< 0.1\%$) than the conventional
789 flood analysis. These results may have implications in relation to future plans to increase the
790 capacity of the dam spillway to meet the current safety standards.

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