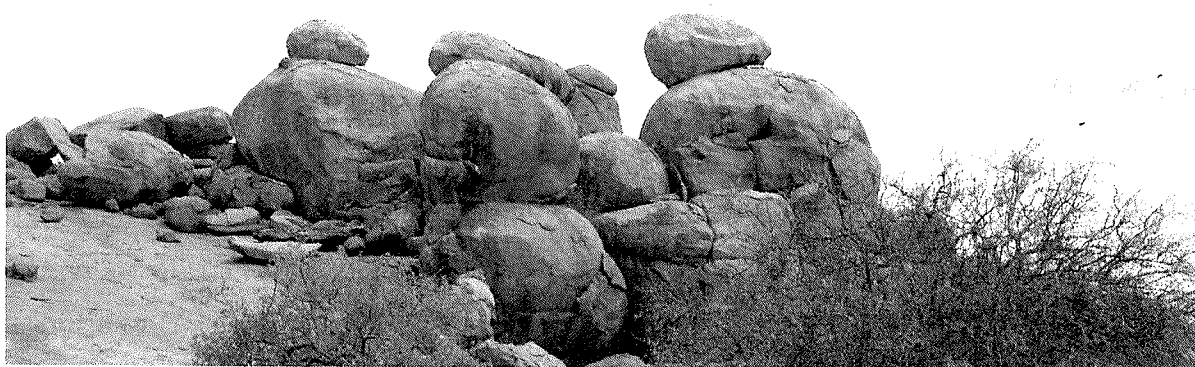


ARCHAEOLOGICAL AND HERITAGE IMPACT ASSESSMENT REPORT

For the Proposed Project Exploration Activities on Exclusive Prospecting Licence (EPL) No. 8506 is located North-east of Uis in the Erongo Region, Namibia.

Compiled by:

Excel Dynamics Solutions (Pty) Ltd



Prepared for:

Codebreak Investments (Pty) Ltd



As required under Section 53 (7) and Section 54 (7) of the National Heritage Act (No. 27 of 2004).

Exécutive summary

This report has assessed the archaeological and heritage implications of the proposed development which is located approximately 20 kilometres (km) near Uis in the Erongo Region. This study was conducted as part of the specialist input for the Environmental Application process i.e. Environmental Clearance Certificate (ECC) and thus, which will serve to inform the Environmental Scoping Assessment Report (ESA) and Environmental Management Plan (EMP) for the proposed project.

The site visits were conducted on the 28th of March 2023, and the following issues were identified from an archaeological and heritage point of view;

Grave and burial sites: As reported herein, graves and burial places were recorded at Aemas Bank Village only, the recommended measures are put in place to ensure graves, burial places and associated features are preserved in-situ and no disturbance should occur near or within these sacred places of cultural and social significance.

Rock outcrops and boulders: Interesting and unique features were recorded where granitic boulders and outcrops are located, from archaeological and heritage points of view, these features are important in understanding the past landscape utilization in its entirety, and thus the recommendation made here is to protect them in-situ and preserved in their natural state as they are.

In summary, it is the Author's considered opinion that the overall impact of the proposed project on archaeology and heritage resources is expected to be low given the fact that the adherence to the recommendations made herein, and implementation of chance finds is incorporated into the project environmental and management plan. Nonetheless, the Project Proponent is made aware of how to properly protect and preserve the visible archaeological materials, and heritage resources of the area. Also, the Project Proponent is advised to be cognizant of the provisions of the National Heritage Act, No 27 of 2004 regarding the prompt reporting of archaeological finds including the adoption of "*Chance Find Procedures*".

Therefore, it is recommended that the proposed development should comply and adhere to the conditions that the recommended mitigation measures put forth herein

(Section 16.2), and strictly *Chance Find Procedures* are implemented as part of the EMP and based on approval from the Authority. The recommended mitigations contained herein are for Archaeological and Heritage Impact Assessment only, nonetheless authorization applies and the proposed development project may only proceed based on the review and ultimately the approval from the National Heritage Council of Namibia.



Transaction Information

Reference Number	20230405-51495118
Recipient Name	National Heritage Council
Bank Name	Standard Bank Namibia
Branch Name	Ausspannplatz
Branch Code	082672
From	Excel Dynamic Solutions (Pty) Ltd
Recipient Account	589057014
Amount	N\$ 150.00
Action Date	06/04/2023
Transaction Description	Codebreak Pty AHIA - EPL 8506
Transaction Status	ENCR Processed
Transaction Type	Ben Payment



National Heritage Council of Namibia
7173 Lazarette House • Private Bag 12043, Ausspannplatz
• Windhoek, Namibia
(061) 244 375 • Fax: (061) 246 872 • E-mail: erica@nhc-nam.org

OFFICE OF THE DIRECTOR

APPLICATION FOR CONSENT

(Sections 53(7) and 55(8) of the National Heritage Act, 2004 (Act No.27 of 2004))

CONDITIONS AND INSTRUCTIONS

1. The receipt issued serves as a reference when making enquiries.

3. Instructions for completion:

Applicants must complete the relevant parts of this application.

A. APPLICANT'S DETAILS

1. Name and address of applicant

Codebreak Investments (Pty) Ltd

Contact person: Erastus S. Shapumba

Telephone: +264 811 280 192

Postal Address: P.O. Box 40115, Ausplanplatz, Windhoek.

Email: admin2@citypropertyone.com

2. Full name and designation of the person in charge of undertaking the works or activities:

Contact person: Erastus S. Shapumba



Telephone: +264 811 280 192

Postal Address: P.O. Box 40115, Ausplanplatz, Windhoek.

Email: admin2@citypropertyone.com

3 Full name and personal details of researcher, contractor or person in charge of the proposed works or activities.

Mr. Roland Mushi

Cell: +264 853332373

Email address: rolandm@edsnamibia.com Lt
rolandmushi@gmail.com

4. Academic qualifications, skills, occupation and competencies of the person in charge mentioned under A2 above.

MSc in Natural Resources Assessment and Management - Graduated from the Institute of Resource Assessment-University of Dar-Es-Salaam.

Bachelor of Arts (*Hons*) in History and Archaeology – Graduated from University of Dar-Es-Salaam.

- Areas of specialization; All archaeological and Heritage Impact Studies including Archaeological Impact Assessments, Heritage Impact Assessments, Archaeological and Cultural Heritage Management, Historical and Anthropological studies, Natural Resource Management and Environmental Assessments.

5. Previous permits issued in Namibia:

Yes _____

6. Period for which permit is required: From 30/04/2023
to 30/04/2026

7. Date by which permit is required: 30/04/2023

B. EXPORT AND TRANSPORTATION

8. Indicate whether this is an Application for:

Temporary Export Permanent Export Local Transportation

9. Name and address of Namibian institutions, if any, presently housing the protected place or protected object:

10. Name and address of local or foreign institution, if any, to which the protected place or protected object will be exported:

11. Description of the protected place or the protected object to be exported or transported. Indicate the number of items and, if applicable, the accession numbers given by the Namibian institution, if any, from which the place or object is on loan:

12. Description of the site (cave, rock shelter, grave, structure, midden, open surface site etc.) or geological formation from which the protected place or protected object originates:

13. Geographic location (farm, village, settlement, town, region, magisterial district, constituency, Global Positioning System coordinates) of the protected place or protected object:

14. Reason for transportation of the protected place or protected object (identification, exhibition etc.):

C: WORKS OR ACTIVITIES

15. Geographic location and address (farm, village, settlement, town, region, magisterial district, constituency, Global Positioning System coordinates) of the site, protected place or protected object where works or activities are proposed

Codebreak Investments (Pty) Ltd (*The Proponent*), has applied to the Ministry of Mines and Energy (MME) to be granted an Exclusive Prospecting License (EPL) No. 8506. The 15 342.8769 hectares (ha) EPL is located about 20 km Northeast of Uis in the Erongo Region.

The EPL covers the Otjohorongo and Okombahe Reserve. The proponent is interested in prospecting & exploring Base & Rare Metals, Dimension Stone, Industrial Minerals, and Precious Metals as a commodity of interest only.

Site coordinates (21.17088 S; 16.05528 E)

16. Detailed description of the nature of works or activities for which the permit is applied for: (e.g. excavation, construction, filming etc) (Attach additional and supporting information if the space on the form is insufficient.)

Prospecting and Mining activities

D: UNDERTAKING BY APPLICANT

17. I ERASTUS SHIKONGO SHAPUMBA (the person in charge of undertaking the works or activities) and (where applicable) being head of the CONE BREAK INVESTMENT (PTY) LTD institute, hereby undertake to strictly observe the terms and conditions under which the National Heritage Council may issue the permit.

Signature [Signature] dated 05/04/2023

18. I _____ the person in charge of _____ Institute where the protected place or protected object to be exported or transported is currently housed, do hereby state that I support / do not support the application. (Note: Please strike out the statement, which is not applicable to this declaration) (If applicable for purposes of the application.)

Signature _____ dated _____

19. I _____ the person in charge of _____

The _____ institute to which the protected place or protected object will be exported do hereby undertake that the protected place or protected object will be returned to Namibia during the period indicated in point A6 above. (If applicable for purposes of the application.)

Signature _____ dated _____

Permit No. _____
(Consecutive number & year of issue)

